

QUARTERLY STATEMENT

OF THE

**Nassau Life Insurance Company
of Kansas**

Of

**Overland Park
in the state of KS**

**to the Insurance Department
of the State of**

For the Period Ended
September 30, 2020

2020



QUARTERLY STATEMENT

As of September 30, 2020
of the Condition and Affairs of the

Nassau Life Insurance Company of Kansas

NAIC Group Code.....4736, 4736 (Current Period) (Prior Period)	NAIC Company Code..... 68284	Employer's ID Number..... 48-0557726
Organized under the Laws of KS	State of Domicile or Port of Entry KS	Country of Domicile US
Licensed as Business Type:	Life, Accident & Health	
Incorporated/Organized..... May 26, 1913	Commenced Business..... August 10, 1914	
Statutory Home Office	10851 Mastin Boulevard, Suite 1000 .. Overland Park .. KS .. US .. 66210 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	1064 Greenwood Blvd., Ste 260 .. Lake Mary .. FL .. US .. 32746 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	407-547-3800 <i>(Area Code) (Telephone Number)</i>
Mail Address	1064 Greenwood Blvd., Ste. 260 .. Lake Mary .. FL .. US .. 32746 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	One American Row .. Hartford .. CT .. US .. 06103 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	860-403-5210 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	N/A	
Statutory Statement Contact	Richard M Cannone <i>(Name)</i> rcannone@nsre.com <i>(E-Mail Address)</i>	407-547-3336 <i>(Area Code) (Telephone Number) (Extension)</i> 321-400-6326 <i>(Fax Number)</i>

OFFICERS

Name	Title	Name	Title
1. Phillip John Gass	President	2. Steve Lamar Carlton	Secretary
3. Richard Michael Cannone	Treasurer	4. Michael Alan Donovan	Actuary

OTHER

DIRECTORS OR TRUSTEES

Phillip John Gass	Kostas NMN Cheliotis	Thomas Martin Buckingham #	Richard Michael Cannone
Steve Lamar Carlton			

State of..... Florida
County of..... Seminole

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Phillip John Gass 1. (Printed Name) President (Title)	_____ (Signature) Steve Lamar Carlton 2. (Printed Name) Secretary (Title)	_____ (Signature) Richard Michael Cannone 3. (Printed Name) Treasurer (Title)
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Subscribed and sworn to before me
This _____ day of _____

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

	Current Statement Date			4
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	58,754,986		58,754,986	63,108,178
2. Stocks:				
2.1 Preferred stocks.....	2,360,000		2,360,000	2,360,000
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....2,064,937), cash equivalents (\$.....5,365,851) and short-term investments (\$.....0).....	7,430,787		7,430,787	7,495,754
6. Contract loans (including \$.....0 premium notes).....	137,769		137,769	126,573
7. Derivatives.....			0	
8. Other invested assets.....	1,574		1,574	1,609
9. Receivables for securities.....			0	15,685
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	68,685,116	0	68,685,116	73,107,799
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	590,410		590,410	751,447
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	51,233		51,233	284,968
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	258,391		258,391	328,776
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	429,000		429,000	523,745
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	44,772		44,772	
18.2 Net deferred tax asset.....	2,164,765	1,715,128	449,637	365,119
19. Guaranty funds receivable or on deposit.....	167,719		167,719	167,378
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	2,786		2,786	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	4,340	4,340	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	72,398,535	1,719,468	70,679,067	75,529,231
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	72,398,535	1,719,468	70,679,067	75,529,231

DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Agent Balances.....	4,340	4,340	0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	4,340	4,340	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....5,174,518 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	5,174,518	5,248,934
2. Aggregate reserve for accident and health contracts (including \$...7,289,347 Modco Reserve).....	46,219,248	42,624,062
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....		
4. Contract claims:		
4.1 Life.....	175,445	269,425
4.2 Accident and health.....	1,470,310	2,435,586
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....		
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....		
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$....145,702 accident and health premiums.....	149,962	240,211
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....		
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$....351,687 ceded.....	351,687	475
9.4 Interest Maintenance Reserve.....	1,046,665	1,200,165
10. Commissions to agents due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....		
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....	93,249	179,553
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....		
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....	278,645	67,952
15.2 Net deferred tax liability.....		
16. Unearned investment income.....		
17. Amounts withheld or retained by reporting entity as agent or trustee.....	3,801	21,319
18. Amounts held for agents' account, including \$....269 agents' credit balances.....	269	109
19. Remittances and items not allocated.....	1,172,044	631,526
20. Net adjustment in assets and liabilities due to foreign exchange rates.....		
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....		
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	449,414	561,321
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
24.04 Payable to parent, subsidiaries and affiliates.....	221,893	3,524,004
24.05 Drafts outstanding.....		
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....		
24.08 Derivatives.....		
24.09 Payable for securities.....	113,529	
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	387,604	342,864
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	57,308,283	57,347,506
27. From Separate Accounts statement.....		
28. Total liabilities (Lines 26 and 27).....	57,308,283	57,347,506
29. Common capital stock.....	2,502,600	2,502,600
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....	73,436,000	73,436,000
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	(62,567,817)	(57,756,875)
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 29 \$.....0).....		
36.20.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	10,868,183	15,679,125
38. Totals of Lines 29, 30 and 37.....	13,370,784	18,181,725
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	70,679,067	75,529,231

DETAILS OF WRITE-INS

2501. Abandoned Property.....	271,844	243,953
2502. Other Payables.....	115,760	98,911
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	387,604	342,864
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	7,087,370	8,600,960	11,231,140
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	1,771,335	1,991,938	2,588,394
4. Amortization of Interest Maintenance Reserve (IMR).....	278,922	350,182	469,252
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....			
6. Commissions and expense allowances on reinsurance ceded.....	855,692	699,369	988,270
7. Reserve adjustments on reinsurance ceded.....	(513,792)	(166,443)	(51,167)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	515	3,910,930	4,128,891
9. Totals (Lines 1 to 8.3).....	9,480,041	15,386,936	19,354,780
10. Death benefits.....	603,094	444,136	744,060
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....			
13. Disability benefits and benefits under accident and health contracts.....	6,163,108	8,652,461	10,790,686
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	45,854	24,823	42,978
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....	1,711		9,643
18. Payments on supplementary contracts with life contingencies.....			
19. Increase in aggregate reserves for life and accident and health contracts.....	3,520,770	2,618,177	1,598,845
20. Totals (Lines 10 to 19).....	10,334,537	11,739,597	13,186,212
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	43,743	44,381	59,809
22. Commissions and expense allowances on reinsurance assumed.....			
23. General insurance expenses and fraternal expenses.....	3,000,196	2,193,098	3,207,497
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	404,221	335,206	413,440
25. Increase in loading on deferred and uncollected premiums.....	(8,391)	20,409	25,683
26. Net transfers to or (from) Separate Accounts net of reinsurance.....			
27. Aggregate write-ins for deductions.....	0	0	0
28. Totals (Lines 20 to 27).....	13,774,305	14,332,691	16,892,641
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	(4,294,264)	1,054,245	2,462,139
30. Dividends to policyholders and refunds to members.....			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	(4,294,264)	1,054,245	2,462,139
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	210,768	148,398	420,304
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	(4,505,032)	905,847	2,041,835
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$....(33,130) (excluding taxes of \$....33,130 transferred to the IMR).....	(111,870)	37,515	37,515
35. Net income (Line 33 plus Line 34).....	(4,616,902)	943,362	2,079,350
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	18,181,725	16,951,505	16,951,505
37. Net income (Line 35).....	(4,616,902)	943,362	2,079,350
38. Change in net unrealized capital gains (losses) less capital gains tax of \$....(166).....	(625)	(289)	791
39. Change in net unrealized foreign exchange capital gain (loss).....			
40. Change in net deferred income tax.....	1,229,555	72,574	(30,091)
41. Change in nonadmitted assets.....	(1,088,983)	(49,086)	(114,537)
42. Change in liability for reinsurance in unauthorized and certified companies.....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....			
44. Change in asset valuation reserve.....	111,907	(74,994)	(110,770)
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
47. Other changes in surplus in Separate Accounts Statement.....			
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....			
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....			
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	(445,893)	(445,893)	(594,524)
54. Net change in capital and surplus (Lines 37 through 53).....	(4,810,941)	445,674	1,230,220
55. Capital and surplus as of statement date (Lines 36 + 54).....	13,370,784	17,397,179	18,181,725
DETAILS OF WRITE-INS			
08.301. Miscellaneous Income.....	515	2,639	220,599
08.302. Assets Transferred on Reinsurance Recaptured.....		3,908,291	3,908,292
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	515	3,910,930	4,128,891
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	0	0	0
5301. Change in Deferred Ceding Allowance.....	(445,893)	(445,893)	(594,524)
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	(445,893)	(445,893)	(594,524)

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	7,309,632	7,810,681	10,804,082
2. Net investment income.....	2,049,481	2,168,675	2,680,957
3. Miscellaneous income.....	(103,479)	3,997,963	4,471,470
4. Total (Lines 1 through 3).....	9,255,634	13,977,319	17,956,509
5. Benefit and loss related payments.....	7,282,067	8,847,358	11,926,543
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	3,490,064	2,779,052	4,225,945
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	44,847	334,674	334,673
10. Total (Lines 5 through 9).....	10,816,978	11,961,083	16,487,161
11. Net cash from operations (Line 4 minus Line 10).....	(1,561,344)	2,016,236	1,469,348
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	5,670,318	4,684,444	6,050,821
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....	4,387		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	129,214	184,184	5,139,230
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	5,803,919	4,868,628	11,190,051
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	1,507,448	8,971,606	10,015,966
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....	4,353		
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	1,511,801	8,971,606	10,015,966
14. Net increase or (decrease) in contract loans and premium notes.....	11,196	10,506	8,426
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	4,280,923	(4,113,484)	1,165,659
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(2,784,546)	(1,924,932)	(798,796)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(2,784,546)	(1,924,932)	(798,796)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(64,967)	(4,022,180)	1,836,211
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	7,495,754	5,659,546	5,659,543
19.2 End of period (Line 18 plus Line 19.1).....	7,430,787	1,637,366	7,495,754

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	2,586,563	2,833,602	3,637,604
3. Ordinary individual annuities.....	45,643	5,175	6,900
4. Credit life (group and individual).....			
5. Group life insurance.....			
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....	8,311,050	9,903,533	13,262,884
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	10,943,256	12,742,310	16,907,389
12. Fraternal (Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	10,943,256	12,742,310	16,907,389
14. Deposit-type contracts.....			
15. Total (Lines 13 and 14).....	10,943,256	12,742,310	16,907,389

DETAILS OF WRITE-INS

1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

1. Summary of Significant Accounting Policies

A. Accounting Practices

Nassau Life Insurance Company of Kansas (the “Company”) presents these financial statements on the basis of accounting practices prescribed or permitted by the Kansas Department of Insurance (the “State”). For the period ended September 30, 2020 and year ended December 31, 2019, there were no material net income, statutory surplus or risk-based capital differences between the National Association of Insurance Commissioners *Accounting Practices and Procedures Manual (SAP)* and the accounting principles prescribed or permitted by the State.

	<u>State of</u> <u>Domicile</u>	September 30, <u>2020</u>	December 31, <u>2019</u>
NET INCOME			
(1) Company state basis (Page 4, Line 35, Columns 1 & 2)	KS	\$ (4,616,902)	\$2,079,350
(4) NAIC SAP (1-2-3=4)	KS	\$ (4,616,902)	\$2,079,350
SURPLUS			
(5) Company state basis (Page 3, Line 38, Columns 1 & 2)	KS	\$ 17,409,648	\$18,181,725
(8) NAIC SAP (5-6-7=8)	KS	\$ 17,409,648	\$18,181,725

B. No change.

C. 1-5 No change.

6. Loan-backed bonds and structured securities are stated at either amortized cost or the lower of amortized cost or fair value using the interest method, including anticipated prepayments at the date of purchase. Significant changes in estimated cash flows from the original purchase assumptions are accounted for using the prospective method.

7-13. No change.

D. There is no substantial doubt about the Company’s ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

No changes.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A-C. Not applicable

D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from industry pre-payment models or internal estimates. These assumptions are consistent with current interest rates and the economic environment.

2. – 3. Not applicable.

4. The Company had no impaired securities for which an other than temporary impairment had not been recognized in earnings as a realized loss.

a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$(127,444)
2. 12 Months or Longer	\$(1,373,202)

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 2,158,189
2. 12 Months or Longer	\$ 4,560,290

5. All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than temporary impairment should be recognized. For securities whose present value of cash flows expected to be collected was less than the amortized cost basis of the security at September 30,2020 an other-than-temporary impairment was recognized. The Company has evaluated its cash flow requirements and believes that its liquidity is adequate and it will not be required to sell other securities before recovery of their cost basis. As of September 30, 2020 the Company can assert that it has the intent and believes that it has the ability to hold these securities long enough to allow the cost basis of these securities to be recovered. The conclusions are supported by a detailed analysis of the underlying credit and cash flows on each security. It is possible that the Company could recognize other-than-temporary impairment in the future on some of the securities held at September 30, 2020 if future events, information and the passage of time cause it to conclude that declines in value are other-than-temporary.

E-G. Not applicable.

H. No material changes.

I & J. Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

7. Investment Income

Not applicable.

8. Derivatives Instruments

Not applicable.

9. Income Taxes

No material changes.

10. Information Concerning Parent, Subsidiaries Affiliates and Other Related Parties

A, B, C. On September 30, 2020 the Company was contributed by its parent, The Nassau Companies, to an affiliate, Nassau Life and Annuity Company. The capital contribution was made in the form of common stock in affiliate. As a result, the Company is now a wholly owned subsidiary of Nassau Life and Annuity Company.

D. At September 30, 2020, the Company reported \$2,786 receivable from and \$221,893 payable to, respectively, its affiliates. Payment terms require the settlement of these funds within 90 days.

E-J. No change.

K-L. Not applicable.

11. Debt

Not applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A-F. Not applicable.

G. No change.

H-I. Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

Not applicable.

14. Contingencies

No change.

15. Leases

No change.

16. Information about Financial Instruments with Off-Balance Sheet Risk and with Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales – Not applicable.

B. Transfer and Servicing of Financial Assets - Not applicable.

C. Wash Sales - Not applicable.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and Uninsured Portion of Partially Insured Plans

No change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No change.

20. Fair Value Measurement

A.

1. None

2. Fair Value Measurements (Level 3) of the Fair Value Hierarchy – Not applicable.

3. No Level 3 transfers TO or FROM has taken place in 2020.

4. Descriptions of valuation techniques and the inputs used in the fair value measurement

a) Level 2 – inputs to the valuation methodology include quoted prices for similar assets and liabilities in active markets, and inputs that are observable for the asset or liability, either directly or indirectly, for substantially the full term of the financial instrument. Examples of such instruments include government-backed mortgage products, collateralized mortgages, debt obligations and certain high-yield debt securities.

- b) Level 3 - inputs to the valuation methodology are unobservable and significant to the fair value measurement. Unobservable inputs reflect management's best estimate of what hypothetical market participants would use to determine fair value. Examples of valuation techniques used based on unobservable inputs include, but are not limited to, internal models, direct broker quotes and professional judgment.

B. Not applicable

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 73,706,144	\$ 58,754,986		\$ 53,849,051	\$ 9,857,093		
Short term & Cash Equivalents	\$ 7,430,787	\$ 7,430,787	\$ 2,064,937	\$ 5,365,851			
Preferred Stock	\$ 2,466,000	\$ 2,360,000		\$ 2,466,000			

D. Not applicable.

21. Other Items

A-B. Not applicable.

C. Other Disclosures and Unusual Items

- The Company elected to use rounding in the reporting of certain amounts in this statement, where noted.
- The Company is continuously monitoring the market and economic turbulence arising from COVID-19, which was declared a pandemic in March 2020 by the World Health Organization. The pandemic, along with efforts to slow and manage the spread of the virus, have resulted in unprecedented impacts on the economy, however, significant monetary support by the Federal Reserve has softened the impact of COVID on capital markets. The Company has seen COVID-19 impacts on mortality in its life business as well as the investment portfolio, including lower net investment income and impairment losses on certain fixed maturity investments and market valuations, which are down year-to-date, despite increases during the 3rd quarter. However, it is still too early for the Company to fully assess the impact of the pandemic on policyholder behavior and underwriting risks and the mid-to-long-term impact on the Company's investments. While capital markets have improved, the fundamental impacts of COVID persist and will continue to do so until we have a viable treatment. In light of the uncertainty as to the length or severity of this pandemic, the Company cannot reasonably estimate the full impact of the pandemic on its operations and financial statements at this time, although it could be material.

D-J. Not applicable

22. Events Subsequent

In connection with the preparation of the financial statements, the Company considered for disclosure events that occurred subsequent to September 30, 2020 through November 13, 2020 for the statements issued on November 13, 2020.

23. Reinsurance

No changes.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Not applicable.

25. Change in Incurred Losses and Loss Adjustment Expenses

The following table provides a reconciliation of the beginning and ending balances of unpaid accident and health claim liabilities, net of reinsurance recoverables as follows:

	Year to date ending September	
	2020	2019
	(In Thousands)	
Unpaid claims liabilities, at beginning of year	\$ 12,450	\$ 13,923
Add provision for claims, net of reinsurance, occurring in:		
Current year	5,981	10,525
Prior years	(799)	(902)
Net incurred losses during the current year	5,182	9,623
Deduct payments for claims, net of reinsurance, occurring in:		
Current year	2,700	5,136
Prior years	4,419	5,960
Net claim payments during the current year	7,118	11,096
Unpaid claim liabilities, at end of year	\$ 10,514	\$ 12,450

The foregoing reconciliation reflects a redundancy in the December 31, 2018 net reserves of \$902,000 in 2019 and a redundancy in the December 2019 net reserves of \$799,000 in 2020. A ceded modified coinsurance treaty was entered into during 2016, which impacts paid claims. The difference in reserve adequacy is primarily due to the ceded modified coinsurance treaty, as well as interest accrued on certain long duration reserves, as noted below. During 2018 and 2019, the claims termination experience for long-term care contracts was studied and appropriate adjustments were made to the valuation morbidity assumptions consistent with the findings of the study.

26. Intercompany Pooling Arrangements- Not applicable.

27. Structured Settlements – Not applicable

28. Health Care Receivables

No change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

1.	Liability carried for premium deficiency reserves	\$	5,200,000
2.	Date of the most recent evaluation of this liability	9/30/2020
3.	Was anticipated investment income utilized in the calculation?	Yes [X]	No []

31. Reserves for Life and Annuity Contracts

No change.

32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant change.

34. Premium and Annuity Considerations Deferred and Uncollected

A. Deferred and uncollected life insurance premiums and annuity considerations as of September 30, 2020 were as follows:

	<u>Type</u>	<u>Gross</u>	<u>Net of Loading</u>
(1)	Industrial	\$	\$
(2)	Ordinary new business	\$	\$
(3)	Ordinary renewal	\$ 289,372	\$ 262,731
(4)	Credit Life	\$	\$
(5)	Group Life	\$	\$
(6)	Group Annuity	\$	\$
(7)	Totals	\$ 289,372	\$ 262,731

35. Separate Accounts

The Company has no Separate Accounts.

36. Loss/Claim Adjustment Expenses

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2019
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2014
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/14/2016
- 6.4 By what department or departments?
Kansas Department of Insurance in conjunction with Texas Department of Insurance as the lead state in a group exam
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
1851 Securities, Inc.	Hartford, CT	NO	NO	NO	YES
Angel Island Capital Management, LLC	San Francisco, CA	NO	NO	NO	YES
Angel Island Capital Services, LLC	San Francisco, CA	NO	NO	NO	YES
Aperio Group, LLC	Sausalito, CA	NO	NO	NO	YES
Coramerica Capital, LLC	El Segundo, CA	NO	NO	NO	YES
Golden Gate Private Equity, Inc.	San Francisco, CA	NO	NO	NO	YES
Green Street Advisors, UK, Limited	London, UK	NO	NO	NO	YES
Green Street Investors, LLC	Newport Beach, CA	NO	NO	NO	YES
Green Street Trading, LLC	Newport Beach, CA	NO	NO	NO	YES
Nassau CorAmerica, LLC	El Segundo, CA	NO	NO	NO	YES
Nassau Corporate Credit LLC	Darien, CT	NO	NO	NO	YES
NCC CLO Manager LLC	Darien, CT	NO	NO	NO	YES
Saybrus Equity Services, LLC	Hartford, CT	NO	NO	NO	YES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []

14.2 If yes, please complete the following:

		1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	\$ 2,154,635	\$ 2,133,383
14.22	Preferred Stock	0	0
14.23	Common Stock	0	0
14.24	Short-Term Investments	0	0
14.25	Mortgage Loans on Real Estate	0	0
14.26	All Other	0	0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 2,154,635	\$ 2,133,383
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 2,154,635	\$ 2,133,383

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 New York Plaza, 12th Floor, New York, NY 10004-2413
US Bank Institutional Trust & Custody	50 S 16th St., Suite 2500 Philadelphia, PA 19102

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
N/A	N/A	N/A

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
N/A	N/A		N/A

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

Goldman Sachs Asset Management	U
Nassau Asset Management Co.	A
Shott Capital Management	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107738	Goldman Sachs Asset Management	CF5M58QA35CFPUX70H17	SEC / Oct-1990 / 801-37591	NO
	Nassau Asset Management Co.			DS
CRD# 10084	Shott Capital Management		SEC	NO

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes No

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The security was purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes No

GENERAL INTERROGATORIES (continued)

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident and Health Companies/Fraternal Benefit Societies

1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:			
1.1	Long-term mortgages in good standing		Amount	
1.11	Farm mortgages.....	\$		
1.12	Residential mortgages.....	\$		
1.13	Commercial mortgages.....	\$		
1.14	Total mortgages in good standing.....	\$.0
1.2	Long-term mortgages in good standing with restructured terms			
1.21	Total mortgages in good standing with restructured terms.....	\$		
1.3	Long-term mortgage loans upon which interest is overdue more than three months			
1.31	Farm mortgages.....	\$		
1.32	Residential mortgages.....	\$		
1.33	Commercial mortgages.....	\$		
1.34	Total mortgages with interest overdue more than three months.....	\$.0
1.4	Long-term mortgage loans in process of foreclosure			
1.41	Farm mortgages.....	\$		
1.42	Residential mortgages.....	\$		
1.43	Commercial mortgages.....	\$		
1.44	Total mortgages in process of foreclosure.....	\$.0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$.0
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter			
1.61	Farm mortgages.....	\$		
1.62	Residential mortgages.....	\$		
1.63	Commercial mortgages.....	\$		
1.64	Total mortgages foreclosed and transferred to real estate.....	\$.0
2.	Operating Percentages:			
2.1	A&H loss percent.....			
2.2	A&H cost containment percent.....			
2.3	A&H expense percent excluding cost containment expenses.....			
3.1	Do you act as a custodian for health savings accounts?.....		Yes []	No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date.....	\$		
3.3	Do you act as an administrator for health savings accounts?.....		Yes []	No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date.....	\$		
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....		Yes [X]	No []
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....		Yes []	No []

Fraternal Benefit Societies Only:

5.1	In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?	Yes []	No []	N/A [X]
5.2	If no, explain:	<hr/>		

6.1	Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?	Yes []	No [X]
6.2	If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?		

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
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NONE

Nassau Life Insurance Company of Kansas SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	2	3	Direct Business Only					
			Life Contracts		4	5	6	7
			Life Insurance Premiums	Annuity Considerations				
States, Etc.	Active Status (a)							
1. Alabama	AL	L	32,737		18,031		50,768	
2. Alaska	AK	N	3,380		376		3,756	
3. Arizona	AZ	L	13,748		28,664		42,412	
4. Arkansas	AR	L	145,611		261,542		407,153	
5. California	CA	L	8,892		30,293		39,185	
6. Colorado	CO	L	35,729		94,137		129,866	
7. Connecticut	CT	N	810		4,083		4,893	
8. Delaware	DE	L					0	
9. District of Columbia	DC	N					0	
10. Florida	FL	L	183,872		592,963		776,835	
11. Georgia	GA	L	71,000		107,768		178,768	
12. Hawaii	HI	N			35		35	
13. Idaho	ID	L	1,391		2,614		4,005	
14. Illinois	IL	L	106,957		731,287		838,244	
15. Indiana	IN	L	128,139		802,612		930,751	
16. Iowa	IA	L	19,063		77,313		96,376	
17. Kansas	KS	L	70,562		8,761		79,323	
18. Kentucky	KY	L	102,913		123,985		226,898	
19. Louisiana	LA	L	52,327		55,019		107,346	
20. Maine	ME	N	447		36		483	
21. Maryland	MD	L	4,370		6,403		10,773	
22. Massachusetts	MA	L	3,186		423		3,609	
23. Michigan	MI	L	4,164		29,968		34,132	
24. Minnesota	MN	L	6,202		6,489		12,691	
25. Mississippi	MS	L	92,283		135,249		227,532	
26. Missouri	MO	L	161,549		82,549		244,098	
27. Montana	MT	L	5,266		10,223		15,489	
28. Nebraska	NE	L	35,084	36,468	132,261		203,813	
29. Nevada	NV	L	6,357		18,164		24,521	
30. New Hampshire	NH	N	856				856	
31. New Jersey	NJ	N	2,153		6,508		8,661	
32. New Mexico	NM	L	3,214		25,947		29,161	
33. New York	NY	N	3,046		18,729		21,775	
34. North Carolina	NC	L	124,117		405,142		529,259	
35. North Dakota	ND	L	851		19,136		19,987	
36. Ohio	OH	L	114,275		336,460		450,735	
37. Oklahoma	OK	L	112,173		108,162		220,335	
38. Oregon	OR	L	43,458		363,400		406,858	
39. Pennsylvania	PA	L	4,763		23,854		28,617	
40. Rhode Island	RI	N	135		2,526		2,661	
41. South Carolina	SC	L	87,424		406,032		493,456	
42. South Dakota	SD	L	3,462		74,508		77,970	
43. Tennessee	TN	L	218,650		387,363		606,013	
44. Texas	TX	L	338,593		1,355,220		1,693,813	
45. Utah	UT	L	26,266		75,139		101,405	
46. Vermont	VT	N	440				440	
47. Virginia	VA	L	181,885	9,175	1,293,134		1,484,194	
48. Washington	WA	L	3,762		20,136		23,898	
49. West Virginia	WV	N	2,632		5,040		7,672	
50. Wisconsin	WI	L	11,764		15,522		27,286	
51. Wyoming	WY	L	6,236		7,844		14,080	
52. American Samoa	AS	N					0	
53. Guam	GU	N					0	
54. Puerto Rico	PR	N					0	
55. US Virgin Islands	VI	N	369				369	
56. Northern Mariana Islands	MP	N					0	
57. Canada	CAN	N					0	
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0	0
59. Subtotal	XXX		2,586,563	45,643	8,311,050	0	10,943,256	0
90. Reporting entity contributions for employee benefit plans	XXX						0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		(2,505)		429,999		427,494	
94. Aggregate other amounts not allocable by State	XXX		0	0	0	0	0	0
95. Totals (Direct Business)	XXX		2,584,058	45,643	8,741,049	0	11,370,750	0
96. Plus Reinsurance Assumed	XXX						0	
97. Totals (All Business)	XXX		2,584,058	45,643	8,741,049	0	11,370,750	0
98. Less Reinsurance Ceded	XXX		2,077,111	45,643	1,938,363		4,061,117	
99. Totals (All Business) less Reinsurance Ceded	XXX		506,947	0	6,802,686	0	7,309,633	0

DETAILS OF WRITE-INS

58001.	XXX						0	
58002.	XXX						0	
58003.	XXX						0	
58998. Summary of remaining write-ins for line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0	0
9401.	XXX						0	
9402.	XXX						0	
9403.	XXX						0	
9498. Summary of remaining write-ins for line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 40
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0

R - Registered - Non-domiciled RRGs..... 0
Q - Qualified - Qualified or accredited reinsurer..... 0
N - None of the above - Not allowed to write business in the state..... 17

**Schedule Y – Information Concerning Activities of Insurer
Members of a Holding Company Group
Part 1 Organizational Chart**

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
David Dominik (sole member of GGCOF Management, LLC)					
GGCOF Management, LLC	DE		46-4477754	David Dominik	100
Golden Gate Private Equity, Inc.	DE		94-3361271	GGCOF Management, LLC	100
GGC Fund III ¹	CYM				100 ²
Nassau NAMCO Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau NAMCO Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
Nassau Saybrus Blocker LLC	CYM			Golden Gate Capital Opportunity Fund-A, L.P	Contract
Nassau Saybrus Splitter, L.P.	CYM			GGC Opportunity Fund Management, L.P.	Contract
GGCOF Nassau Investments, L.P.	CYM		98-1472055	Golden Gate Capital Opportunity Fund, L.P.	Contract
GGCOF Executive Co-Invest, L.P.	CYM			Individuals	Contract
GGCOF Executive Co-Invest Holdings, Ltd.	CYM			Individuals	Contract
NRH, L.P.	CYM		98-1250709	GGCOF Executive Co-Invest Holdings, Ltd.	Contract
Nassau UK Ltd.	GBR		98-1269725	NRH, L.P.	100
Nassau Cayman Ltd.	CYM		N/A	Nassau UK Ltd.	100
Nassau Re (Cayman) Ltd.	CYM		N/A	NRH, L.P. ³	100
Nassau TRF SPV Ltd.	CYM		N/A	Nassau Re (Cayman) Ltd.	100
Nassau Financial Group GP Ltd.	CYM		98-1421610	Individuals	Contract
Nassau Financial Group, L.P.	CYM		98-1421752	Nassau Financial Group GP Ltd.	Contract
Nassau Asset Management LLC	DE		81-0773412	Nassau Financial Group, L.P.	100
Nassau CorAmerica LLC	DE		26-4145792	Nassau Asset Management LLC	100
Nassau CorAmerica Loan Company LLC	DE		26-4145792	Nassau CorAmerica LLC	100
Nassau CorAmerica Advisors LLC	DE		46-3781541	Nassau CorAmerica LLC	100
Nassau CorAmerica FL1 GP LLC	DE		N/A	Nassau CorAmerica LLC	100
Nassau CorAmerica 2019-FL1 LLC	DE		84-3007139	Nassau CorAmerica FL1 GP LLC	Contract
Nassau CorAmerica 2019-FL1 (Cayman) LP	CYM		84-2246947	Nassau CorAmerica FL1 GP LLC	Contract
Nassau Corporate Credit LLC	DE		35-2586068	Nassau Asset Management LLC	100
NCC CLO Manager LLC	DE		N/A	Nassau Corporate Credit LLC	100

¹ "GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.

² Percentage represents the aggregate ownership among the GGC Fund III entities.

³ Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
NCC Management LLC	DE		82-4813430	Nassau Corporate Credit LLC	100
Nassau Private Credit LLC	DE		83-2636471	Nassau Corporate Credit LLC	100
Nassau Private Credit GP LLC	DE		83-2654128	Nassau Corporate Credit LLC	100
Nassau Private Credit Onshore Fund LP	DE		83-2670988	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Master Fund LP	CYM		N/A	Nassau Private Credit GP LLC	Contract
Nassau Private Credit Offshore Fund LP	CYM		98-1476251	Nassau Private Credit GP LLC	Contract
NPC SGP LLC	DE		84-2253380	Nassau Corporate Credit LLC	100
Nassau NCC Blocker (UK) Ltd.	CYM			Nassau Corporate Credit LLC	100
NCC Management (UK) Ltd.	UK			Nassau Corporate Credit LLC	100
Nassau Corporate Credit (UK) LLP	UK			Nassau NCC Blocker (UK) Ltd.	99 ⁴
Nassau Alternative Investments LLC	DE		83-2559102	Nassau Asset Management LLC	100
NAMCO Services LLC	DE		84-3366256	Nassau Asset Management LLC	100
NSRE Saybrus Holdings, LLC	DE		81-3180396	Nassau Financial Group, L.P.	100
Saybrus Partners, LLC	DE		27-0671327	NSRE Saybrus Holdings, LLC	86.2
Dedicated Distribution Partners, LLC	DE		83-3163443	Saybrus Partners, LLC	100
Saybrus Management Holding Company Inc.	DE		37-1872368	Management; NSRE Saybrus Holdings, LLC	Contract
Saybrus Holdings, LLC	DE		27-1629423	Saybrus Partners, LLC	100
Saybrus Equity Services, LLC	DE		27-1869321	Saybrus Holdings, LLC	100
Nassau Insurance Group Holdings GP, LLC	DE		47-5299012	Nassau Financial Group GP Ltd.	Contract
Nassau Insurance Group Holdings, L.P.	DE		38-3981572	Nassau Insurance Group Holdings GP, LLC	Contract
The Nassau Companies	DE		47-5128985	Nassau Insurance Group Holdings, L.P.	100
Nassau Life and Annuity Company	CT	93734	43-1240953	The Nassau Companies	100
Lynbrook Re, Inc.	VT	16585	83-4356685	Nassau Life and Annuity Company	100
Nassau Life Insurance Company of Texas	TX	62359	36-1824600	Nassau Life and Annuity Company	100
Nassau Life Insurance Company of Kansas	KS	68284	48-0557726	Nassau Life and Annuity Company	100
Nassau Co-Invest Fund LLC	DE			The Nassau Companies	100
Sunrise Re, Inc.	VT		84-3945103	The Nassau Companies	100
Nassau Re/Imagine LLC	DE			Nassau Insurance Group Holdings, L.P.	100
The Nassau Companies of New York	DE		06-1599088	The Nassau Companies	100
Nassau CLO SPV-I LLC	DE		82-3502154	The Nassau Companies of New York	56 ⁵
Nassau CLO SPV-II LLC	DE		83-1785900	The Nassau Companies of New York	54.34 ⁶

⁴ NCC Management (UK) Ltd. owns 1%

⁵ Employees of The Nassau Companies of New York and its affiliates own 40.25%.

⁶ Employees of The Nassau Companies of New York and its affiliates own 42.88%.

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Nassau Employee Co-Invest Fund I LLC	DE		84-3684885	The Nassau Companies of New York	51.26 ⁷
Nassau Life Insurance Company	NY	67814	06-0493340	The Nassau Companies of New York	100
PM Holdings, Inc.	CT		06-1065485	Nassau Life Insurance Company	100
Phoenix Founders, Inc.	CT		06-0860167	PM Holdings, Inc.	100
Nassau 2019 CFO LLC	DE		84-2346847	Nassau Life Insurance Company	76.73 ⁸
Nassau 2019 CFO Fund LLC	DE		83-2511417	Nassau 2019 CFO LLC	100
Foresters Financial Holding Company	DE		13-2608329	Nassau Life Insurance Company	100
PHL Delaware LLC	DE			Nassau Insurance Group Holdings, L.P.	100
DSM Sands LLC	DE		N/A	PHL Delaware LLC	100
PHL Variable Insurance Company	CT	93548	06-1045829	PHL Delaware LLC	100
Concord Re, Inc	CT		84-3620646	PHL Variable Insurance Company	100
Westgate Delaware LLC.	DE			PHL Delaware LLC	100
Magni Re (Cayman) Ltd.	CYM			Westgate Delaware LLC	100
Nassau Reinsurance LLC	DE		47-3724102	Nassau Insurance Group Holdings, L.P.	100
NSRE BD Holdco LLC	DE		83-2726475	Nassau Reinsurance LLC	100
1851 Securities, Inc.	DE		27-1619945	NSRE BD Holdco LLC	100
Nassau Cayman Brac Holding Company	DE		83-1443085	Nassau Insurance Group Holdings, L.P.	100
Nassau Re (Cayman Brac) Ltd.	CYM			Nassau Cayman Brac Holding Company	100
GGC Opportunity Fund Management GP, Ltd.	CYM			GGC Opportunity Fund Management GP, Ltd.	Board
GGC Opportunity Fund Management, L.P.	CYM		98-0546997	GGC Opportunity Fund Management GP, Ltd.	Contract
GGC Opportunity Fund Entities ⁹	CYM			GGC Opportunity Fund Management, L.P.	Contract
Financial American Holdings Corporation	DE		27-2822251	GGC Opportunity Fund Entities ¹⁰	87.6 ¹¹
Financial American Insurance Services, Inc.	FL		37-1484076	Financial American Holdings Corporation	100
Warranty TopCo, Inc.	DE		26-3854933	Golden Gate Fund I and II Entities ¹²	41.6 ¹³
Warranty TopCo, Inc.	DE		26-3854933	GGCOF Entities ¹⁴	42.3 ¹⁵

⁷ Employees of The Nassau Companies of New York and its affiliates own 48.74%.

⁸ PHL Variable Insurance Company and an employee of The Nassau Companies of New York own 23.27%.

⁹ The GGC Opportunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund-A, L.P. (#98-0546999); (iii) GGCOF Co-Invest, L.P. (#98-0572954); (iv) GGCOF Third Party Co-Invest, L.P. (#98-0572955); (v) GGCOF Executive Co-Invest, L.P. (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).

¹⁰ Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.

¹¹ Three individuals own 12.37%. No one individual owns more than 10%.

¹² The Golden Gate Fund I and II Entities are comprised of: (i) Golden Gate Capital Investment Fund II, L.P., (ii) Golden Gate Capital Investment Fund II (AI), L.P., (iii) Golden Gate Capital Associates II-QP, LLC, (iv) Golden Gate Capital Associates II-AI, LLC, (v) CCG AV, LLC – Series C, (vi) CCG AV, LLC – Series G, (vii) CCG AV, LLC – Series I (Bain).

¹³ The GGCOF Entities own 42.3%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.

¹⁴ The GGCOF Entities are comprised of (i) Golden Gate Capital Opportunity Fund, L.P., (ii) Golden Gate Capital Opportunity Fund-A, L.P., (iii) GGCOF Co-Invest, L.P. and (iv) GGCOF Third-Party Co-Invest, L.P.

¹⁵ The Golden Gate Fund I and II Entities own 41.6%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
Interstate National Corporation	DE		20-4197367	Warranty TopCo, Inc.	100
DRG, Inc. d/b/a Payment Insured Plan, Inc.	OR		93-1160837	Interstate National Corporation	100
PIPCO Reinsurance Company, Ltd.	TCA		98-0159153	Interstate National Corporation	100
National Warranty Corporation	OR		93-1198148	Interstate National Corporation	100
Interstate National Dealer Services, Inc.	DE		11-3078398	Interstate National Corporation	100
Interstate National Dealer Services of Florida, Inc.	FL		11-3284019	Interstate National Dealer Services, Inc.	100
Interstate Administrative Services, Inc.	DE		20-1549705	Interstate National Dealer Services, Inc.	100
INDS Canada Holdings, Inc.	DE		20-8070016	Interstate National Dealer Services, Inc.	100
Interstate National Dealer Services Canada Corp.	Canada		98-1339130	INDS Canada Holdings, Inc.	100
Warranty Direct, Inc.	DE		11-3272124	Interstate National Dealer Services, Inc.	100
Lasas Technologies, Inc.	FL		65-0868022	Interstate National Dealer Services, Inc.	100
National Service Contract Insurance Company Risk Retention Group, Inc.	DC	10234	99-0319305	Interstate National Dealer Services, Inc.	100
Williston Holdings LLC	DE		27-1434376	GGC Opportunity Fund Entities	96.0 ¹⁶
Williston Financial Group LLC	DE		27-1434397	Williston Holdings LLC	100
75 Peachtree LLC	DE		36-4875018	Williston Financial Group LLC	100
264 Halsey LLC	DE		35-2651827	Williston Financial Group LLC	100
Valustrust Solutions, LLC	KS		27-3972891	Williston Financial Group LLC	100
WFG Lender Services, LLC	MN		20-5836762	Williston Financial Group LLC	100
WFG Lender Services, LLC	AL		80-0936767	WFG Lender Services, LLC	100
WFG Lender Services, LLC	AR		80-0766075	WFG Lender Services, LLC	100
WFG Lender Services, LLC	TX		26-4627021	WFG Lender Services, LLC	100
WFGLS Title Agency of Utah, LLC	UT		20-8801713	WFG Lender Services, LLC	100
WFG National Title Company of Texas, LLC	TX		27-0890944	Williston Financial Group LLC	100
Universal Title Partners, LLC	TX		27-1273851	WFG National Title Company of Texas, LLC ¹⁷	51.0
Universal Title Partners, LLC	TX		27-1273851	Newmark Homes Houston, LLC ¹⁸	49.0
WFG National Title Insurance Company	SC	51152	57-0575396	Williston Financial Group LLC	100
WFG National Title Company of Washington, LLC	WA		26-0522240	WFG National Title Insurance Company	100
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	Kachemak Bay Title Agency, Inc. ¹⁹	90.0
Homer Recording District Joint Title Plant Company LLC	AK		47-2160319	WFG National Title Insurance Company ²⁰	10.0
Williston Enterprise Solutions & Technology, LLC	DE		45-2654474	Williston Financial Group LLC	100

¹⁶ Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.

¹⁷ Newmark Homes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.

¹⁸ WFG National Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.

¹⁹ WFG National Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC.

²⁰ Kachemak Bay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.

Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	NAIC Company Code	ID Number	Directly Controlled By	Ownership Percentage
ITC Holdings, Inc.	CA		80-0268853	Williston Financial Group LLC	100
WFG National Title Company of California	CA		95-3621359	ITC Holdings, Inc.	100
Alliance Title Agency, LLC	AZ		85-1213894	ITC Holdings, Inc.	40% ²¹
WFG National Title Company of Clark County WA, LLC	WA		38-4008723	Williston Financial Group LLC	100
19 th Street Palm Harbor LLC	DE			Williston Financial Group LLC	100

Q12.4

²¹ Alliance Partners, LLC owns the remaining 60% of Alliance Title Agency, LLC

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Members															
4736	GGC Group.....		06-1599088..		1129033		The Nassau Companies of New York.....	DE.....	UIP.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						DSM Sands LLC.....	DE.....	NIA.....	PHL Delaware LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		82-3502154..				Nassau CLO SPV-I LLC.....	DE.....	NIA.....	The Nassau Companies of New York.....	Ownership.....	56.000	David Dominik.....	..N.....	[1].....
4736	GGC Group.....	67814..	06-0493340..		1159567		Nassau Life Insurance Company.....	NY.....	IA.....	The Nassau Companies of New York.....	Ownership.....	100.000	David Dominik & GGCOF Management LLC.....	..N.....	
4736	GGC Group.....		06-1065485..				PM Holdings, Inc.....	CT.....	DS.....	Nassau Life Insurance Company.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		27-1619945..		1482939		1851 Securities, Inc.....	DE.....	DS.....	NSRE BD Holdco LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....		06-0860167..				Phoenix Founders, Inc.....	CT.....	DS.....	PM Holdings, Inc.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....	93548..	06-1045829..		1031223		PHL Variable Insurance Company.....	CT.....	IA.....	PHL Delaware LLC.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....	93734..	43-1240953..		13118221		Nassau Life and Annuity Company.....	CT.....	UDP.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	..N.....	
4736	GGC Group.....						GGC Opportunity Fund Management GP, Ltd.....	CYM.....	UIP.....	GGC Opportunity Fund Management GP, Ltd.....	Board of Directors			..N.....	
4736	GGC Group.....		98-0546997..				GGC Opportunity Fund Management, L.P.....	CYM.....	UIP.....	GGC Opportunity Fund Management, GP, Ltd.....	Contract.....		GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....						GGC Opportunity Fund Entities.....	CYM.....	UIP.....	GGC Opportunity Fund Management, L.P.....	Contract.....		GGC Opportunity Fund Management GP, Ltd.....	..N.....	[2].....
4736	GGC Group.....		27-2822251..				Financial American Holdings Corporation.....	DE.....	NIA.....	GGC Opportunity Fund Entities.....	Ownership.....	87.600	GGC Opportunity Fund Management GP, Ltd.....	..N.....	[3].....
4736	GGC Group.....		37-1484076..				Financial American Insurance Services, Inc.....	FL.....	NIA.....	Financial American Holdings Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		26-3854933..				Warranty TopCo, Inc.....	DE.....	NIA.....	Golden Gate Fund I and II Entities.....	Ownership.....	41.600	GGC Opportunity Fund Management GP, Ltd.....	..N.....	[4], [5].....
4736	GGC Group.....		26-3854933..				Warranty TopCo, Inc.....	DE.....	NIA.....	GGCOF Entities.....	Ownership.....	42.300	GGC Opportunity Fund Management GP, Ltd.....	..N.....	[6], [7].....
4736	GGC Group.....		20-4197367..				Interstate National Corporation.....	DE.....	NIA.....	Warranty TopCo, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		11-3078398..				Interstate National Dealer Services, Inc.....	DE.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		11-3284019..				Interstate National Dealer Services of Florida, Inc.....	FL.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		20-1549705..				Interstate Administrative Services, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		20-8070016..				INDS Canada Holdings, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		98-1339130..				Interstate National Dealer Services Canada Corp.....	CAN.....	NIA.....	INDS Canada Holdings, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		11-3272124..				Warranty Direct, Inc.....	DE.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		65-0868022..				Lasas Technologies, Inc.....	FL.....	NIA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....	10234..	99-0319305..				National Service Contract Insurance Company Risk Retention Group, Inc.....	DC.....	IA.....	Interstate National Dealer Services, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		93-1160837..				DRG, Inc. d/b/a Payment Insured Plan, Inc.....	OR.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		98-0159153..				PIPCO Reinsurance Company, Ltd.....	TCA.....	IA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		93-1198148..				National Warranty Corporation.....	OR.....	NIA.....	Interstate National Corporation.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		27-1434376..				Williston Holdings LLC.....	DE.....	NIA.....	GGC Opportunity Fund Entities.....	Ownership.....	96.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	[8].....
4736	GGC Group.....		27-1434397..				Williston Financial Group LLC.....	DE.....	NIA.....	Williston Holdings LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	
4736	GGC Group.....		36-4875018..				75 Peachtree LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.....	..N.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group.....		35-2651827..				264 Halsey LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		27-3972891..				Valustrust Solutions, LLC.....	KS.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		20-5836762..				WFG Lender Services, LLC.....	MN.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		80-0936767..				WFG Lender Services, LLC.....	AL.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		80-0766075..				WFG Lender Services, LLC.....	AR.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		26-4627021..				WFG Lender Services, LLC.....	TX.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		20-8801713..				WFGLS Title Agency of Utah, LLC.....	UT.....	NIA.....	WFG Lender Services, LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		27-0890944..				WFG National Title Company of Texas, LLC.....	TX.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		27-1273851..				Universal Title Partners, LLC.....	TX.....	NIA.....	WFG National Title Company of Texas, LLC..	Ownership.....	51.000	GGC Opportunity Fund Management GP, Ltd.N.....	[9].....	
4736	GGC Group.....		27-1273851..				Universal Title Partners, LLC.....	TX.....	NIA.....	Newmark Homes Houston, LLC.....	Ownership.....	49.000	Unknown (not affiliated with the GGC Group).N.....	[10].....	
4736	GGC Group.....	51152..	57-0575396..				WFG National Title Insurance Company.....	SC.....	IA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		26-0522240..				WFG National Title Company of Washington, LLC	WA.....	NIA.....	WFG National Title Insurance Company.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		47-2160319..				Homer Recording District Joint Title Plant Company LLC	AK.....	NIA.....	WFG National Title Insurance Company.....	Ownership.....	10.000	GGC Opportunity Fund Management GP, Ltd.N.....	[11].....	
4736	GGC Group.....		47-2160319..				Homer Recording District Joint Title Plant Company LLC	AK.....	NIA.....	Kachemak Bay Title Agency, Inc.....	Ownership.....	90.000	Unknown (not affiliated with the GGC Group).N.....	[12].....	
4736	GGC Group.....		45-2654474..				Williston Enterprise Solutions & Technology, LLC	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		80-0268853..				ITC Holdings, Inc.....	CA.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		95-3621359..				WFG National Title Company of California	CA.....	NIA.....	ITC Holdings, Inc.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		38-4008723..				WFG National Title Company of Clark County WA, LLC	WA.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	GGC Opportunity Fund Management GP, Ltd.N.....		
4736	GGC Group.....		46-4477754..				GGCOF Management, LLC.....	DE.....	NIA.....	David Dominik.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....		94-3361271..				Golden Gate Private Equity, Inc.....	DE.....	NIA.....	GGCOF Management, LLC.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....		N/A.....				GGC Fund III.....	CYM.....	NIA.....		Ownership.....	100.000		[13], [14].....	
4736	GGC Group.....		98-1250709..				NRH, L.P.....	CYM.....	NIA.....	GGCOF Executive Co-Invest Holdings, Ltd....	Contract.....				
4736	GGC Group.....		98-1269725..				Nassau UK Ltd.....	GBR.....	NIA.....	NRH, L.P.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....		N/A.....				Nassau Cayman Ltd.....	CYM.....	NIA.....	Nassau UK Ltd.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....		N/A.....				Nassau Re (Cayman) Ltd.....	CYM.....	NIA.....	NRH, L.P.....	Ownership.....	100.000	David Dominik.....	[15].....	
4736	GGC Group.....		N/A.....				Magni Re (Cayman) Ltd.....	CYM.....	NIA.....	NRH, L.P.....	Contract.....		David Dominik.....		
4736	GGC Group.....		47-5299012..				Nassau Insurance Group Holdings GP, LLC.....	DE.....	NIA.....	Nassau Financial Group, GP Ltd.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....		38-3981572..				Nassau Insurance Group Holdings, L.P.....	DE.....	UIP.....	Nassau Insurance Group Holdings GP, LLC...	Contract.....		David Dominik.....		
4736	GGC Group.....		47-5128985..				The Nassau Companies.....	DE.....	UIP.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....		
4736	GGC Group.....	62359..	36-1824600..				Nassau Life Insurance Company of Texas.....	TX.....	IA.....	Nassau Life and Annuity Company.....	Ownership.....	100.000	David Dominik.....	[16].....	
4736	GGC Group.....	68284..	48-0557726..				Nassau Life Insurance Company of Kansas.....	KS.....	RE.....	Nassau Life and Annuity Company.....	Ownership.....	100.000	David Dominik.....		

Q13.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q13.2

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4736	GGC Group.....		81-3180396..				NSRE Saybrus Holdings, LLC.....	DE.....	NIA.....	Nassau Financial Group, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		27-0671327..				Saybrus Partners, LLC.....	DE.....	NIA.....	NSRE Saybrus Holdings, LLC.....	Ownership.....	86.200	David Dominik.....	N.....	
4736	GGC Group.....		37-1872368..				Saybrus Management Holding Company Inc.....	DE.....	NIA.....	Management, NSRE Saybrus Holdings, LLC...	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		27-1629423..				Saybrus Holdings, LLC.....	DE.....	NIA.....	Saybrus Partners, LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		27-1869321..				Saybrus Equity Services, LLC.....	DE.....	NIA.....	Saybrus Holdings, LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		47-3724102..				Nassau Reinsurance LLC.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-2726475..				NSRE BD Holdco LLC.....	DE.....	NIA.....	Nassau Reinsurance LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		81-0773412..				Nassau Asset Management LLC.....	DE.....	NIA.....	Nassau Financial Group, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		26-4145792..				Nassau CorAmerica LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		26-4145792..				Nassau CorAmerica Loan Company LLC.....	DE.....	NIA.....	Nassau CorAmerica LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		46-3781541..				Nassau CorAmerica Advisors LLC.....	DE.....	NIA.....	Nassau CorAmerica LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		35-2586068..				Nassau Corporate Credit LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		N/A.....				NCC CLO Manager LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		82-4813430..				NCC Management LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-1443085..				Nassau Cayman Brac Holding Company.....	DE.....	NIA.....	Nassau Insurance Group Holdings, LP.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau Re (Cayman Brac) Ltd.....	CYM.....	NIA.....	Nassau Cayman Brac Holding Company.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau TRF SPV Ltd.....	CYM.....	NIA.....	Nassau Re (Cayman) Ltd.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau NAMCO Blocker LLC.....	CYM.....	NIA.....	Golden Gate Capital Opportunity Fund-A, L.P.	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau NAMCO Splitter, L.P.....	CYM.....	NIA.....	GGC Opportunity Fund Management, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau Saybrus Blocker LLC.....	CYM.....	NIA.....	Golden Gate Capital Opportunity Fund-A, L.P.	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau Saybrus Splitter, L.P.....	CYM.....	NIA.....	GGC Opportunity Fund Management, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		98-1421610..				Nassau Financial Group GP Ltd.....	CYM.....	NIA.....	Individuals.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		98-1421752..				Nassau Financial Group, L.P.....	CYM.....	NIA.....	Nassau Financial Group GP Ltd.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						Nassau Co-Invest Fund LLC.....	DE.....	NIA.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....						PHL Delaware LLC.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-2559102..				Nassau Alternative Investments LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-2636471..				Nassau Private Credit LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-2654128..				Nassau Private Credit GP LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....	N.....	
4736	GGC Group.....		83-2670988..				Nassau Private Credit Onshore Fund LP.....	DE.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....		David Dominik.....	N.....	
4736	GGC Group.....		N/A.....				Nassau Private Credit Master Fund LP.....	CYM.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....		David Dominik.....	N.....	
4736	GGC Group.....		98-1476251..				Nassau Private Credit Offshore Fund LP.....	CYM.....	NIA.....	Nassau Private Credit GP LLC.....	Contract.....		David Dominik.....	N.....	
4736	GGC Group.....		83-1785900..				Nassau CLO SPV-II LLC.....	DE.....	NIA.....	The Nassau Companies of New York.....	Ownership.....	54.340	David Dominik.....	N.....	[17]
4736	GGC Group.....		84-2346847..				Nassau 2019 CFO LLC.....	DE.....	NIA.....	Nassau Life Insurance Company.....	Ownership.....	76.730	David Dominik.....	N.....	[18]
4736	GGC Group.....		N/A.....				Nassau CorAmerica FL1 GP LLC.....	DE.....	NIA.....	Nassau CorAmerica LLC.....	Contract.....		David Dominik.....	N.....	
4376	GGC Group.....		84-2253380..				NPC SGP LLC.....	DE.....	NIA.....	Nassau Corporate Credit LLC.....	Contract.....		David Dominik.....	N.....	
4376	GGC Group.....	16585..	83-4356685..				Lynbrook Re, Inc.....	VT.....	IA.....	Nassau Life and Annuity Company.....	Ownership.....	100.000	David Dominik.....	N.....	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
4376	GGC Group.....		84-3007139..				Nassau CorAmerica 2019-FL1 LLC.....	DE.....	NIA.....	Nassau CorAmerica FL1 GP LLC.....	Contract.....		David Dominik.....N.....	
4376	GGC Group.....		84-2246947..				Nassau CorAmerica 2019-FL1 (Cayman) LP....	CYM.....	NIA.....	Nassau CorAmerica FL1 GP LLC.....	Contract		David Dominik.....N.....	
4376	GGC Group.....		84-3366256..				NAMCO Services LLC.....	DE.....	NIA.....	Nassau Asset Management LLC.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....		83-2511417..				Nassau 2019 CFO Fund LLC.....	DE.....	NIA.....	Nassau 2019 CFO LLC.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....						Westgate Delaware LLC.....	DE.....	NIA.....	PHL Delaware LLC.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....		83-3163443..				Dedicated Distribution Partners, LLC.....	DE.....	NIA.....	Saybrus Partners, LLC.....	Contract		David Dominik.....N.....	
4376	GGC Group.....		84-3945103..				Sunrise Re, Inc.....	VT.....	IA.....	The Nassau Companies.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....		84-3620646..				Concord Re, Inc.....	CT.....	IA.....	PHL Variable Insurance Company.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....		84-3684885..				Nassau Employee Co-Invest Fund I LLC.....	DE.....	NIA.....	The Nassau Companies of New York.....	Ownership.....		David Dominik.....N.....	[19].....
4376	GGC Group.....		98-1472055..				GGCOF Nassau Investments, L.P.....	CYM.....	NIA.....	Golden Gate Capital Opportunity Fund, L.P....	Contract.....		David Dominik.....N.....	
4376	GGC Group.....						Alliance Title Agency, LLC.....	AZ.....	NIA.....	ITC Holdings, Inc.....	Ownership.....	40.000	David Dominik.....N.....	[20].....
4376	GGC Group.....						Nassau NCC Blocker (UK) Ltd.....	CYM.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....						NCC Management (UK) Ltd.....	GBR.....	NIA.....	Nassau Corporate Credit LLC.....	Ownership.....	100.000	David Dominik.....N.....	
4376	GGC Group.....						Nassau Corporate Credit (UK) LLP.....	GBR.....	NIA.....	Nassau NCC Blocker (UK) Ltd.....	Ownership.....	99.000	David Dominik.....N.....	[21].....
4376	GGC Group.....						Nassau Re/Imagine LLC.....	DE.....	NIA.....	Nassau Insurance Group Holdings, L.P.....	Ownership	100.000	David Dominik.....N.....	
4376	GGC Group.....		13-2608329..				Foresters Financial Holding Company.....	DE.....	NIA.....	Nassau Life Insurance Company.....	Ownership.....	100.000N.....N.....	
4376	GGC Group.....						19th Street Palm Harbor LLC.....	DE.....	NIA.....	Williston Financial Group LLC.....	Ownership.....	100.000	David Dominik.....N.....	

Q13.3

Aster	Explanation
[1]	Employees of The Nassau Companies of New York and its affiliates own 44.00%.
[2]	The GGC Opportunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund-A, L.P. (#98-0546999); (iii) GGCOF Co-Invest, L.P. (#98-0572954); (iv) GGCOF Third Party Co-Invest, L.P. (#98-0572955); (v) GGCOF Executive Co-Invest, L.P. (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).
[3]	Three individuals own 12.37%. No one individual owns more than 10%.
[4]	The Golden Gate Fund I and II Entities are comprised of: (i) Golden Gate Capital Investment Fund II, L.P., (ii) Golden Gate Capital Investment Fund II (AI), L.P., (iii) Golden Gate Capital Associates II-QP, LLC, (iv) Golden Gate Capital Associates II-AI, LLC, (v) CCG AV, LLC - Series C, (vi) CCG AV, LLC - Series G, (vii) CCG AV, LLC - Series I (Bain).
[5]	The GGCOF Entities own 42.3%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.
[6]	The GGCOF Entities are comprised of (i) Golden Gate Capital Opportunity Fund, L.P., (ii) Golden Gate Capital Opportunity Fund-A, L.P., (iii) GGCOF Co-Invest, L.P. and (iv) GGCOF Third-Party Co-Invest, L.P.
[7]	The Golden Gate Fund I and II Entities own 41.6%. Members of management and individuals who sold their business to Warranty Topco, Inc. own the remaining 16.1%. None of these individuals own more than 10%.
[8]	Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.
[9]	Newmark Homes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.
[10]	WFG National Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.
[11]	Kachemak Bay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.
[12]	WFG National Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC.
[13]	"GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.
[14]	Percentage represents the aggregate ownership among the GGC Fund III entities.
[15]	Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.
[16]	Name changed from "Constitution Life Insurance Company" on June 27, 2019
[17]	Employees of The Nassau Companies of New York. and its affiliates own 42.88%.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
[18]	PHL Variable Insurance Company and an employee of The Nassau Companies of New York own 23.27%.														
[19]	Employees of the Nassau Companies of New York and its affiliates own 48.74%														
[20]	Alliance Partners, LLC owns the remaining 60% of Alliance Title Agency, LLC														
[21]	NCC Management (UK) Ltd. owns 1%														

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	<u>SEE EXPLANATION</u>
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	<u>SEE EXPLANATION</u>
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	<u>SEE EXPLANATION</u>
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	<u>SEE EXPLANATION</u>
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	<u>SEE EXPLANATION</u>
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	<u>SEE EXPLANATION</u>
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	<u>SEE EXPLANATION</u>
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	<u>N/A</u>

Explanations:

1. Business not written
2. Business not written
3. Business not written
4. Business not written
5. Business not written
6. Business not written
7. Business not written
8. Not Applicable for 1st and 3rd Quarters

Bar Code:



NONE

Nassau Life Insurance Company of Kansas
SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,609	818
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....	4,353	
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		791
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	4,387	
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	1,575	1,609
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	1,575	1,609

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	65,468,178	61,383,016
2. Cost of bonds and stocks acquired.....	1,507,447	10,015,964
3. Accrual of discount.....	(9,031)	282,935
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	157,759	245,400
6. Deduct consideration for bonds and stocks disposed of.....	5,670,318	6,050,821
7. Deduct amortization of premium.....	49,050	408,316
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....	290,000	
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	61,114,985	65,468,178
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	61,114,985	65,468,178

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	21,516,717	6,557	44,679	(23,994)	24,735,323	21,516,717	21,454,602	26,167,715
2. NAIC 2 (a).....	35,559,015	127,908	1,048,235	(724,928)	33,561,531	35,559,015	33,913,760	34,539,242
3. NAIC 3 (a).....	543,677		617	182	76,005	543,677	543,242	
4. NAIC 4 (a).....	2,126,475			716,908	2,365,217	2,126,475	2,843,383	2,401,221
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....					0		0	
7. Total Bonds.....	59,745,883	134,466	1,093,531	(31,831)	60,738,075	59,745,883	58,754,987	63,108,178
PREFERRED STOCK								
8. NAIC 1.....							0	
9. NAIC 2.....	2,360,000				2,360,000	2,360,000	2,360,000	2,360,000
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	2,360,000	0	0	0	2,360,000	2,360,000	2,360,000	2,360,000
15. Total Bonds and Preferred Stock.....	62,105,883	134,466	1,093,531	(31,831)	63,098,075	62,105,883	61,114,987	65,468,178

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....		X			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	(1)	(1)
2. Cost of short-term investments acquired.....		
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	(1)	(1)
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	(1)	(1)

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of cash equivalents acquired.....	5,375,466	
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	9,615	
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	5,365,851	0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	5,365,851	0

**Sch. A Pt. 2
NONE**

**Sch. A Pt. 3
NONE**

**Sch. B - Pt. 2
NONE**

**Sch. B - Pt. 3
NONE**

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership	
		3 City	4 State										
Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated													
000000 00 0	CVC Credit Partners US DL II LP 2139		DE	CVC Credit Partners US DL II LP 2139		10/29/2018			.571		2,329		
1999999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated									.0	.571	.0	2,329	XXX
4899999. Subtotal - Unaffiliated									.0	.571	.0	2,329	XXX
5099999. Totals									.0	.571	.0	2,329	XXX

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
NONE																			

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
Bonds - Industrial and Miscellaneous									
23248Q AA 4	CVC US DIRECT LENDING FUND II (RN) LP 6		07/24/2020	Direct		17,125	17,125		2PL
23248Q AB 2	CVC US DIRECT LENDING FUND II (RN) LP 6		07/24/2020	Direct		1,332	1,332		2PL
70450Y AJ 2	PAYPAL HOLDINGS INC		09/29/2020	BARCLAYS CAPITAL INC		55,806	50,000	600	2FE
824348 BM 7	SHERWIN-WILLIAMS CO		09/29/2020	BARCLAYS CAPITAL INC		53,646	50,000	889	2FE
87289B AA 2	TCPDL818-A1 - CDO		09/28/2020	DIRECT		6,557	6,557		1FE
3899999	Total - Bonds - Industrial and Miscellaneous					134,466	125,014	1,490	XXX
8399997	Total - Bonds - Part 3					134,466	125,014	1,490	XXX
8399999	Total - Bonds					134,466	125,014	1,490	XXX
9999999	Total - Bonds, Preferred and Common Stocks					134,466	XXX	1,490	XXX

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
Bonds - U.S. Special Revenue and Special Assessment																					
313398	M8 9		09/01/2020	FHR 2332G ZL - CMO/RMBS		13,766	13,766	14,522	14,132		(366)		(366)		13,766			0	623	07/15/2031	1
31394J	YA 9		09/01/2020	FHR 2697 LG - CMO/RMBS		6,692	6,692	6,048	6,565		127		127		6,692			0	200	10/15/2023	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					20,458	20,458	20,570	20,697	0	(238)	0	(238)	0	20,458	0	0	0	823	XXX	XXX
Bonds - Industrial and Miscellaneous																					
123262	AN 7		09/15/2020	BJETS 2018-1 A - ABS		15,596	15,596	15,596	15,595		1		1		15,596			0	467	02/15/2033	1FE
26923*	AA 7		09/15/2020	National Oceanic & Atmospheric Admin		5,652	5,652	5,652	5,652		(0)		(0)		5,652			0	159	11/15/2030	1PL
39806*	AA 2		07/31/2020	GREYSTONE SELECT TL B 1L FLT 04-2024		617	617	611	617		1		1		617			0	30	04/17/2024	3PL
40867#	AD 6		09/10/2020	YUBA COUNTY CA (HAMPAC LLC) 4.25 08-2042		2,973	2,973	2,973	2,973		(0)		(0)		2,973			0	85	08/10/2042	1
55281Q	AE 6		07/20/2020	MCFCL VIII COM - CDO	C	36,567	156,418	156,261	37,104		(537)		(537)		36,567			0	5,842	07/18/2030	2FE
61034L	AE 5		07/15/2020	MCMML VI COM - CDO	C	11,668	11,668	11,555	11,194		474		474		11,668			0		04/15/2030	2FE
617446	7P 8		07/24/2020	MORGAN STANLEY		1,000,000	1,000,000	1,004,450	1,000,345		(345)		(345)		1,000,000			0	55,000	07/24/2020	2FE
63170N	AG 6		07/15/2020	NCC 2018-I RA - CDO			10,375	10,129	(185)		185		185					0	131	07/15/2031	1FE
63170N	AH 4		07/15/2020	NCC 2018-I RB - CDO			11,148	10,815	(209)		209		209					0	152	07/15/2031	2FE
3899999	Total - Bonds - Industrial and Miscellaneous					1,073,073	1,214,447	1,218,042	1,073,085	0	(12)	0	(12)	0	1,073,073	0	0	0	61,867	XXX	XXX
8399997	Total - Bonds - Part 4					1,093,531	1,234,905	1,238,612	1,093,781	0	(251)	0	(251)	0	1,093,531	0	0	0	62,690	XXX	XXX
8399999	Total - Bonds					1,093,531	1,234,905	1,238,612	1,093,781	0	(251)	0	(251)	0	1,093,531	0	0	0	62,690	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					1,093,531	XXX	1,238,612	1,093,781	0	(251)	0	(251)	0	1,093,531	0	0	0	62,690	XXX	XXX

QE05

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DB - Pt. E
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
JPMorgan Chase Bank N.A.....	New York, NY.....				2,346,483	2,371,604	2,030,786	XXX
Wells Fargo.....	San Francisco, CA.....				470,956	177,431	325,485	XXX
First National Bank of Omaha.....	Omaha, NE.....				(259,146)	(294,311)	(301,724)	XXX
US Bank.....	Cincinnati, OH.....				954	26,329	10,390	XXX
0199998. Deposits in.....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX			1,169			XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	2,560,415	2,281,053	2,064,937	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	2,560,415	2,281,053	2,064,937	XXX
0599999. Total Cash.....	XXX	XXX	0	0	2,560,415	2,281,053	2,064,937	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
Exempt Money Market Mutual Funds as Identified by the SVO								
31846V 41 9	FIRST AMER:TRS OBG V.....	SD.....	09/02/2020.....0.0105,365,8512614,986
31846V 41 9	FIRST AMER:TRS OBG V.....	07/31/2020.....0.0100
8599999	Total - Exempt Money Market Mutual Funds as Identified by the SVO.....5,365,8512614,986
8899999	Total - Cash Equivalents.....5,365,8512614,986

QE14