

LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

## **QUARTERLY STATEMENT**

AS OF MARCH 31, 2022 OF THE CONDITION AND AFFAIRS OF THE

**Nassau Life Insurance Company of Kansas** 

| NAI   |  |  | y Code 68284 Employer's ID   | Number 48-0557726  |
|---|--|--|--|--|
| Organized under the Laws of   | (Current) (F<br>Kans   | Prior)<br>as   | , State of Domicile or Port of Ent   | ryKS   |
| Country of Domicile   |  | United Stat  | tes of America   |  |
| Licensed as business type:  | Life   | , Accident and Health [ X  | ] Fraternal Benefit Societies [ ]  |  |
| Incorporated/Organized  | 05/26/1913   |  | Commenced Business   | 08/10/1914   |
| Statutory Home Office   | 10851 Mastin Boulevar  | d, Suite 1000  | ,Ove   | erland Park, KS, US 66210  |
|   | (Street and Nur  | mber)  | (City or To  | own, State, Country and Zip Code)  |
| Main Administrative Office _  |  |  | nerican Row  |  |
|   | Hartford, CT, US 06103   |  | and Number)  | 860-403-5000   |
| (City or  | Town, State, Country and Zip Co  | ode)   |  | a Code) (Telephone Number)   |
| Mail Address  | P.O. Box 5056  |  | На   | rtford, CT, US 06102-5056  |
|   | (Street and Number or P.0  | D. Box)  |  | own, State, Country and Zip Code)  |
| Primary Location of Books and   | Records  | One Ar   | merican Row  |  |
| ,   |  |  | and Number)  |  |
| (City or  | Hartford, CT, US 06103 Town, State, Country and Zip Co   | ode)   | '(Area   | 860-403-5210<br>a Code) (Telephone Number)   |
|   | Tomi, class, country and hip co  | ~-//.e.#1  | ***  | , (1012)   |
| Internet Website Address _  |  | www  | v.nfg.com  |  |
| Statutory Statement Contact   |  | cott Aderhold  | ·  | 860-403-5210   |
| S   | ()<br>Statutory_Reporting@nfg.com  | Name)  | • ***  | (Area Code) (Telephone Number)<br>860-403-5344   |
|   | (E-mail Address)   |  |  | (FAX Number)   |
|   |  | 05   | FICERS   |  |
|   |  | OF   | Vice President, CFO,   |  |
| President, CEO _  | Phillip John   | Gass   | Treasurer  | Diana Piquette   |
| Vice President, General<br>Counsel, Secretary   | Kostas Che   | eliotis  | N TO THE RESERVE OF THE PARTY O |  |
|   |  | •  |  |  |
| Thomas Martin Buckingh  | am, Vice President, Chief  |  | THER<br>ilecki, Vice President, Chief  |  |
| Growth  | Officer  | Invest   | ment Officer   |  |
|   |  | DIRECTORS  | OR TRUSTEES  |  |
|   | n Buckingham<br>ph Gregson   |  | as Cheliotis Arthur Williams   | Phillip John Gass  |
| Keviii 303e   | pri Gregson  | Thomas   | Altitul Williams   | -  |
| State of  | Connecticut  |  |  |  |
| County of   | Hartford   | SS:  |  |  |
|   |  |  |  |  |
| all of the herein described as statement, together with relate condition and affairs of the sain accordance with the NAIC rules or regulations require c respectively. Furthermore, the | sets were the absolute property<br>d exhibits, schedules and explar<br>d reporting entity as of the repor<br>Annual Statement Instructions a<br>differences in reporting not related<br>e scope of this attestation by the | of the said reporting en<br>nations therein contained<br>ting period stated above,<br>nd Accounting Practices<br>ated to accounting prace<br>e described officers also | tity, free and clear from any liens or,<br>, annexed or referred to, is a full and<br>and of its income and deductions th-<br>and Procedures manual except to the<br>tices and procedures, according to<br>includes the related corresponding  | ing entity, and that on the reporting period stated above, relaims thereon, except as herein stated, and that this true statement of all the assets and liabilities and of the erefrom for the period ended, and have been completed ne extent that: (1) state law may differ; or, (2) that state in the best of their information, knowledge and belief, electronic filing with the NAIC, when required, that is an exequested by various regulators in lieu of or in addition  |
| VI  |  | //,  |  | MAA  |
|   |  | 1 pm   |  | THE TOTAL STATE OF THE PARTY OF |
| Phillip John G  | Sacc   | Kosta  | s Cheliotis  | Diana Piquette   |
| President, C  |  |  | neral Counsel, Secretary   | Vice President, CFO, Treasurer   |
|   |  |  | a. Is this an original filing?   | Ver I V 1 No. 1  |
| Subscribed and sworn to before  | re me this   |  | b. If no,  | Yes[X]No[]   |
| 3rd day of  | May,   | 2022   | 1. State the amendment   |  |
| Ellen cl.   | Wetherell  |  | Date filed      Number of pages atta   |  |
| Ellen Wetherell   |  |  |  |  |

8/31/2026

ELLEN I WETHERELL

NOTARY PUBLIC

CONNECTICUT

MY COMMISSION EXPIRES 08-31-2026

## **ASSETS**

|       |  |   | Current Statement Date  |   | 4  |
|-------|--|---|-------------------------|---|--|
|       |  | 1<br>Assets                             | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) | December 31<br>Prior Year Net<br>Admitted Assets |
| 1.    | Bonds  | 64,520,733                              |                         | 64,520,733                                | 54,148,432                                       |
|       | Stocks:  |   |                         |   |  |
|       | 2.1 Preferred stocks   | 2,425,760                               |                         | 2,425,760                                 | 2,424,200  |
|       | 2.2 Common stocks  |   |                         | 0   | 0  |
| 3.    | Mortgage loans on real estate:   |   |                         |   |  |
|       | 3.1 First liens  |   |                         | 0   | 0  |
|       | 3.2 Other than first liens   |   |                         | 0   | 0  |
| 4.    | Real estate:   |   |                         |   |  |
|       | 4.1 Properties occupied by the company (less \$                            |   |                         |   |  |
|       | encumbrances)  |   |                         | 0   | 0  |
|       | 4.2 Properties held for the production of income (less                     |   |                         |   |  |
|       | \$ encumbrances)   |   |                         | 0   | 0  |
|       | 4.3 Properties held for sale (less \$                                      |   |                         |   |  |
|       | encumbrances)  |   |                         | 0   | 0  |
| 5.    | Cash (\$2,481,246 ), cash equivalents                                      |   |                         |   |  |
|       | (\$5,079,087 ) and short-term  |   |                         |   |  |
|       | investments (\$(1))  | 7.560.333                               |                         | 7,560,333                                 | 11.290.348                                       |
| 6.    | Contract loans (including \$ premium notes)                                |   |                         |   | 125,818  |
|       | Derivatives  |   |                         | 0   | 0  |
| 8.    | Other invested assets  |   | 0                       |   | 5,239  |
| -     | Receivables for securities   | •                                       |                         | 6,723                                     | 6,723  |
| 10.   | Securities lending reinvested collateral assets                            |   |                         | 0   | 0  |
| 11.   | Aggregate write-ins for invested assets                                    |   | 0                       |   | 0  |
|       | Subtotals, cash and invested assets (Lines 1 to 11)                        |   |                         | 74,645,679                                | 68,000,759                                       |
|       | Title plants less \$ charged off (for Title insurers                       |   |                         |   |  |
|       | only)  |   |                         | 0   | 0  |
| 14.   | Investment income due and accrued  |   |                         | 597,901                                   | 569,437  |
|       | Premiums and considerations:   |   |                         |   |  |
|       | 15.1 Uncollected premiums and agents' balances in the course of collection | 46,578                                  |                         | 46,578                                    | 44,814   |
|       | 15.2 Deferred premiums, agents' balances and installments booked but       |   |                         |   |  |
|       | deferred and not yet due (including \$                                     |   |                         |   |  |
|       | earned but unbilled premiums)  | 198,408                                 |                         | 198,408                                   | 253,745  |
|       | 15.3 Accrued retrospective premiums (\$                                    |   |                         |   |  |
|       | contracts subject to redetermination (\$                                   |   |                         | 0   | 0  |
| 16.   | Reinsurance:   |   |                         |   |  |
|       | 16.1 Amounts recoverable from reinsurers                                   |   |                         | 0   | 0  |
|       | 16.2 Funds held by or deposited with reinsured companies                   |   |                         | 0   | 0  |
|       | 16.3 Other amounts receivable under reinsurance contracts                  | 557,455                                 |                         | 557,455                                   | 326,749  |
| 17.   | Amounts receivable relating to uninsured plans                             |   |                         | 0   | 0  |
| 18.1  | Current federal and foreign income tax recoverable and interest thereon    |   |                         | 0   | 0  |
| 18.2  | Net deferred tax asset   | 2,449,812                               | 2,449,812               | 0   | 0  |
| 19.   | Guaranty funds receivable or on deposit                                    | 148,022                                 |                         | 148,022                                   | 156,716  |
| 20.   | Electronic data processing equipment and software                          |   |                         | 0   | 0  |
| 21.   | Furniture and equipment, including health care delivery assets             |   |                         |   |  |
|       | (\$  |   |                         |   | 0  |
|       | Net adjustment in assets and liabilities due to foreign exchange rates     |   |                         | 0   | 0  |
| 23.   | Receivables from parent, subsidiaries and affiliates                       | 6,368                                   |                         | 6,368                                     | 8,402,274  |
|       | Health care (\$ ) and other amounts receivable                             |   |                         | 0   | 0  |
| 25.   | Aggregate write-ins for other than invested assets                         | 228, 134                                | 7,538                   | 220,596                                   | 156,939  |
|       | Total assets excluding Separate Accounts, Segregated Accounts and          |   | 0 400 5:5               | 70 404 007                                | 77 044 400                                       |
|       | Protected Cell Accounts (Lines 12 to 25)                                   | /8,907,522                              | 2,486,515               | 76,421,007                                | //,911,433                                       |
| 27.   | From Separate Accounts, Segregated Accounts and Protected Cell Accounts    |   |                         | 0   | 0  |
| 28.   | Total (Lines 26 and 27)  | 78,907,522                              | 2,486,515               |   | 77,911,433                                       |
|       | DETAILS OF WRITE-INS   | , |                         |   |  |
| 1101. |  |   |                         |   |  |
| 1102. |  |   |                         |   |  |
| 1103. |  |   |                         |   |  |
|       | Summary of remaining write-ins for Line 11 from overflow page              |   |                         | 0   | n  |
| 1199. | Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)                  | 0                                       | 0                       | n   | 0  |
|       | Suspense   |   | 7,538                   | 220,596                                   | 156,939  |
|       |  | •                                       |                         |   | 130,939  |
| 2502. |  |   | <del></del>             |   |  |
| 2503. | Summary of remaining write ine for Line 25 from quarilary page             |   | 0                       | 0   |  |
|       | Summary of remaining write-ins for Line 25 from overflow page              |   | 0                       | 0   | 020  |
| 2599. | Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)                  | 228, 134                                | 7,538                   | 220,596                                   | 156,939  |

## LIABILITIES, SURPLUS AND OTHER FUNDS

| Aggregate monror for life contracts \$ 4,901,276 less \$ included in Line (0.3)   | Aggregate reserve for accident and health controlled in Carrent (Language)  (residuality 8)  Macco Reserve)  A 301,278 (as \$ \$ 179,394 Macco Reserve)  50,100,221 51889.75  A 121,507 222 51889.75  A 201,007 for feeded right extensive (residuality 8)  A 201,007 224 51889.75  A 201,007 for feeded right extensive (residuality 8)  A 201,007 224 51889.75  A 201,007 225   |   |          |   |                |              |
|---|---|---|----------|---|----------------|--------------|
| 1. Aggregate memor for life contends \$ 4,001,276 less \$ included in Line 0.3 (including) \$ Aggregate referre for adoptional and neath contends (including) \$ 7,179,304 Motor Reservey. \$2,160,272 \$5,188 \$1,199,275 \$1,199  | 1. Augmentation for the controllate \$ 4,001.79 less \$ included in Line 6.3 (1,001.79 \$ 4,001.79 \$ 5,000.79 \$ 5   | 1. Appropries receive for the contracts is .0.501.276 less \$ included in Line 6.3 (including \$  |          |   | Current        | December 31  |
| (excitang \$ Motors Reserve) 4.931, 276   | Appropriate researe for accorder and health contracts (including \$ 7, 179,304 Modes Reserve)   \$1,907,20   \$1,908,20   | Find colors   Section   | 1 10     | garagata recenue for life contracts \$ 4 901 276 less \$ included in Line 6.3                                       | Statement Date | Prior Year   |
| 2. Aggregate memore for accident and health controls (including \$ 7,179,30% Motion Reserve) \$2,100,271 \$1,88 Motion Reserve) \$2,100,271 \$1,88 Motion Reserve) \$2,100,755 \$1,100, | 2. Appropriage reserve for accorder and health contracts (including \$  | 2. Appropries receive for accordant and health contracting \$ 1,178.391 Mostor Reserve) 4. Little 4. Accordant and health 5. Torinon-better disconsisted interfacts to members and occupies pepasite in forticology celeratory view - estimated amounts. 5. Torinon-better disconsisted without the members and occupies pepasite in forticology celeratory view - estimated amounts. 6. Provision for packproarest of vicewards, ethnols to members and occupies pepasite in forticology celeratory view - estimated amounts. 6. Provision for packproarest of vicewards and refunds to members and occupies pepasite in forticology celeratory view - estimated amounts. 6. Provision for packproarest of vicewards in the packproarest occupies of the packproarest occupies occupied and pepasite occupies occupies of the packproarest occupies occupied and pepasite occupies occupied and pepasite occupies occupied and pepasite occupies occupied on the packproarest occupies occup   | (i       | ncluding \$ Modco Reserve)  | 4,901,276      | 4,921,857    |
| 4. Accorded calamis. 4.1 Life of A Accorded and health 4.1 Life of A Accorded and health 4.1 Accorded and health 4.1 Accorded and health 5. The Application of Workshop and Coupons 5. and Coupons 5. due 6. Provision for policyhological dividends, infunds to members and coupons payable in following calendar year - estimated amountars. 6. I Peolicyholdran' dividends and refunds to members apportioned for payment (including \$ 6. So Coupons and similar borefits (including \$ 6. Preniums and amutus considerations for life and societies and health commands received in advance less 6. Preniums and amutus considerations for life and societies and health commands received in advance less 7. So Conded Similaries and included similaries of life. 173 accorded and health preniums 9. I Surrender values or canceled contracts 9. So Previous on experience resting efforts. If it is for modical loss ratio tobate part the Public Hoalth segmentors ontrip artiflution of which \$ 9. So Other amounts appeals on entire anamance, including \$ 9. A interest Maniferance Reserve 9. I surrender Maniferance Reserve 9. I surrender Maniferance Reserve 9. I commissions to agents all contracts due or accorded file and amutus contracts \$ 9. accident and health 9. So Other amounts appeals on entire anamance including \$ 9. accident and benefit and health 9. So Other amounts appeals on entire anamance including \$ 9. accident distribution of experience reserved. 9. So Reserved Maniferance Reserve 9. I surrender Maniferance Reserve 9. So Reserved Maniferance Reserve 9. So Reserve  | 4 Contract claims of health of the contract claims of health of th  | 4. Contract claims: 4.1 Left. Ordered claims: 4.1 Left. Ordered claims: 4.1 Left. Ordered claims: 4.1 Left. Ordered claims: 5.1 Polytopication of principle claims: 5.2 Polytopications: divident and relative to members and couptions in claims of principle claims: 5.3 Left. Ordered claims: 5.4 Polytopications: divident and relative to members apportioned for parented (notating is an included ordered claims) 5.4 Polytopications: divident and relative to members apportioned for parented (notating is an included claim in a claim of the claims) 5.4 Polytopication of dividents and relative to members apportioned for claims (is a claim or claim) 6.5 Polytopication of dividents and relative to members apportioned for claims (is a claim or claim) 6.6 Polytopication of claims and relative to members apportioned for claims (is a claim or claim) 6.7 Polytopication ordered divident polytopication (is a claim or claim) 6.8 Polytopication ordered divident polytopication (is a claim ordered in the is a claim ordered in the isolation ordered in   | 2. Ag    | gregate reserve for accident and health contracts (including \$7,179,304 Modco Reserve)                             | 52,160,221     | 51,888,791   |
| 4 Life 1987, 766 11.  4 2 Accident and health 1.573,496 11.  5 Policyhotders' dividends-infunds to members 3 and coupons payable in following catendar year - estimated and coupons payable in following catendar year - estimated and coupons payable in following catendar year - estimated and coupons payable in following catendar year - estimated and coupons payable in following catendar year - estimated and coupons payable in following 5 (and the payable in following 5 (and th  | 4 - 1.10  | 4 1 Inc. 4 2 Accordant on brother 5 Policy published of widershifted mich to members 8 6 Policy published of widershifted mich to members and couptors \$ and |          | ,   |                | 0            |
| 5. Polityhuderis dividentishetundis to members 3 and coupons 5 the and ungred. 6. Prevision for polityhederis dividends, refunds to members and coupons payable in following calendar year - estimated Mocoo. 6. 1 Pelanyhoderis dividends and refunds to members apportioned (including \$ Modoo) 6. 2 Polityhuderis dividends and refunds to members apportioned (including \$ Modoo) 7. 3 Corpora and smills benefits (including \$ Modoo) 7. 4 Corporation and smills benefits (including \$ Modoo) 8. 5 Coupons and smills benefits (including \$ Modoo) 8. 6 Corporation and smills (including \$ Modoo) 8. 7 Premiums and annulty considerations for fill et and cardinal refusion to the smills of the smills   | 5. Polisproteers dividends of temperates to members and coupons \$  | 5. Profonotorial dividence fundamental sea members and coupons 5 — due and unapper supply desired violences, refundates of members and compores pupples in following caloridar year - estimates anchorates - anchorat  | 4.       | 1 Life  | 109,756        | 170,023      |
| and uripand.  Provision for policyhioders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts.  Provision for policyhioders' dividends and refunds to members and yet apportined (including \$  | and uniqued  Fromosino from policyhodrodes dividendes, refunds to members and coupons apyable in following calendar year - estimated  Fromosino for policyhodrodes dividendes and refunds to members apportioned for payment (including \$ Modes)  10. 2 Policyhodrod dividendes and refunds to members apportioned for payment (including \$ Modes)  10. 3 Comprise and marker benefits (including \$ Modes)  10. 3 Comprise and marker benefits (including \$ Modes)  10. 4 Periams and annuals considerations for life and exaction and health control testing of the second of the  | and uniqued  1. Provision for proliphinderal dividends, refunds to members and coupons psyable in following catendar year—estimated  2. Proliphinderal dividends and refunds to rembers apportioned for payment (including \$  3. Couptines and estimate incentions for including \$  3. Couptines and estimate incentions or including \$  3. Provision of an administration of the company of  |          |   | 1,575,416      | 1,526,947    |
| 6. Provision for policyholders' dividends, refunds to members and coupres payable in foliophray calendar year - estimated amounts. 6. I Protegnolders' dividends and refunds to members approximated for playment (including \$   | 6. Provision for policyfoldered indivender, refunds to members and coupron system in following caterialize year - estimated announts. 6. Notice) 6. A compared mediand and refunds to members and policyfoldered profited in members and personal programment of the provision of the   | S Provision for policyholdered dividendia, rehlandia to members and coupons payable in floriumny celeratery services and services dividendia and rehlandia to members apportioned for payment (including \$ 1.00 captures) and the policyholdered dividendia and rehlandia to members and very se apportioned (including \$ 1.00 captures) and a services for floriumny and an analysis and analysis and an analysis and anal  |          |   |                | 0            |
| 6.1 Policyholders dividends and refunds to members apportioned for payment (moluting \$ Modos) 6.2 Policyholders dividends and refunds to members not yet apportioned (including \$ Modos) 6.3 Coupons and smalls brombing including \$ Modos) 7.8 Premiums and annuty considerations for life and accident and health contracts received in advance less 8.5 discontini including \$ 118,735 accident and health contracts received in advance less 9.0 Contract fabilities not included elsewhere: 9.1 Surrecter values on cancello cartacts 9.3 Surrect values of cancello cartacts 9.3 Surrecter values of cancello cartacts 9.3 Surrect values of cancello cartacts 9.4 Surrecter values of cancello cartacts 9.5 Service Act 9.6 Orbit amounts payable on reinsurance, including \$ assumed and \$ 39,830 cacted and health cartacts of the cartact of the cart  | 6.1 Policyholdend dividenda and refunda to mormbens apportioned (policytiding \$ Modoto)  7.2 Policyholdend dividenda and refunda to immeritare and yet apportioned (policytiding \$ Modoto)  7.3 Policyholdend dividenda and refunda to immeritare and yet apportioned (policytiding \$ Modoto)  8.1 Premium and annuty consideration for it lies and accelerat and health contracts received in advance less \$ document including \$ lills 738 acceleration and early proceeding the second of the second acceleration and the second including \$ lills 738 acceleration and health premium and annuty contracts of reviews \$ acceleration and health premium and annuty contracts of the second acceleration and the second acceleration acceleratio   | 6. Policyholder's dividends and refunds to members apportioned for apportional for-including \$ 1.0. Policyholder's dividends and refunds to members only his apportional for-including \$ 1.0. Policyholder's dividends and refunds to members only his apportional for-including \$ 1.0. Policyholder's dividends and refunds to the dividend golders on included in Lime 8 1.0. Policyholder's dividend and availaly considerations for title and accident set in health contracts resolved in advance tess 1.0. Policyholder's dividend and availaly considerations for title and accident set in health contracts and availaly considerations for the labelity of \$ 1.0. Summedire values on canceled contracts 2.0. Policyholder's dividend and accident set in health contracts as accident and health experience enfort enforts of which 3 1.0. Summedire values on canceled contracts 2.0. Policyholder's dividend and available on reinstancia in the labelity of \$ 2.0. Policyholder's dividend and available on the labelity of \$ 3.0. Summedire values on canceled contracts 3.0. Summedire values on reinstancia, including \$ 3.0. Commissions to appetit set or excrusive files and amanaly contracts \$ 3.0. An accident and health \$ 3.0. An accident size of the set of accountable and admarks and accident and health \$ 3.0. An accident size of the set of accountable and admarks and accident and health \$ 3.0. An accident size of the set of accountable and accident and accident and health \$ 3.0. An accident size of the set of accountable and accident and accident and health \$ 3.0. An accident size of the set of accountable and accident and accident and accident and health \$ 3.0. An accident size of the set of accountable and accident  | 6. Pr    | ovision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated |                |              |
| Modeo) 6.2 Policyleciders' dividends and refunds to members not yet apportioned (including \$ Modeo) 6.3 Coopons and similar benefits (including \$ Modeo) 7. Amount provisionally let for before divident policies not included in Line 6 modered in abranco less \$   | Modos) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. Contract liabilities not included elements and health contracts received in advance less \$ 7.00 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and similar benefits (including \$ 6.) 6. S. Cautoms and separate allow an extract of time and similar benefits (including \$ 6.) 6. Cautoms and experts allowances payable on renurance assumed \$ 9.0,000 6. Cautoms and experts allowances payable on renurance assumed \$ 9.0,000 6. Cautoms and experts allowances payable on renurance assumed \$ 9.0,000 6. Cautoms and experts allowances payable on renurance assumed \$ 9.0,000 6. Cautoms and experts allowances payable on renurance assumed \$ 9.0,000 6. Cautoms and experts allowances and experts and the payable of the payabl  | Mode)  A Policy incidental individual and refunds to members not yet apportioned (including \$ 10.2 Policy incidents) and entidents and including \$ 11.73 accordes and entidents in foreign entidents and individual (including \$ 11.73 accordes and entidents) and including \$ 11.73 accordes and entidents and entidents and entidents are included in a Mode.  Permission and annually consendents on file and accident and health permissions are accident and health permission.  Services not included observer:  11 Surration values of included observer:  12 Surration values of included observer:  13 Surration values of included observer:  14 Surration values of included observer:  15 Services not.  15 Services not.  16 Surration values of including the fiscality of \$ 1.85 accident and health services and extend the entire accident of the property of the including the fiscality of \$ 1.85 accident and health \$ 1.85  |          |   |                |              |
| 6. 3 Coupons and similar benefits (including S  7. Amount provisionally hold for defined dividend policies not included in Line 6  8. Perentums and annuity considerations for life and accident and health contracts accident and health premiums  7. Contract fabilities of including the state of the state o  | 6.3 Couptons and similar benefits directuring \$  Promitting source and similar benefits of circums for file and accident and health contracts received in advance less  Promitting source and contracts and accident and health contracts accident and health promitting \$  9.1 Superior of complete for circums for file and accident and health promitting \$  9.2 Provisions for experience rating refunds, including the lability of \$  9.3 Superior or complete contracts  9.4 Superior contracts and superior contracts  9.5 Superior contracts and superior contracts  9.6 Superior contracts and superior contracts  9.6 Superior contracts and superior contracts  9.6 Superior contracts and superior contracts  9.7 Superior contracts and superior contracts  9.8 Superior contracts and superior contracts  9.9 A Interest Maintenance Reserve  9.9 A Interest Maintenance Reserve  9.0 Commissions to agents due or accrued-life and annuity contracts \$  9.0 Commissions to agents due or accrued-life and annuity contracts \$  9.1 Superior contracts  9.1 Superior contracts  9.2 Superior contracts  9.3 Superior contracts  9.4 A Interest Maintenance Reserve  9.5 Superior contracts  9.6 Superior contracts  9.7 Superior contracts  9.8 Superior contracts  9.8 Superior contracts  9.9 Superior contrac  | 6.3 Caupens and similar benefits (including \$ 18 botton)  7. Annual provisions) held for defended obtined policies not included in Line 6  8. Permitters and annual considerations for file and accolorate and health centrates received in advanced tense.  9.1 Summeride values on canceled continues  10. 25 Provision for expendent and training for the Public Health  10. 25 Summeride values on canceled continues  10. 26 Summeride values on canceled continues  10. 26 Summeride values on canceled continues  10. 26 Summeride values on canceled continues  10. 27 Summeride values on canceled continues  10. 26 Summeride values on canceled continues  10. 26 Summeride values on canceled continues  10. 27 Summeride values on canceled continues  10. 27 Summeride values on canceled continues on cancel (red) (including 8  10. 27 Summeride values on canceled canceled (red) (including 8  10. 27 Summeride values on canceled canceled (red) (including 8  10. 27 Summeride values on canceled canceled (red) (including 8  10. 27 Summeride values on canceled (red) (including 8  10. 27 Summeride values on canceled (red) (including 8  10. 28 Not observed values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10. 28 Summeride values on canceled (red) (including 8  10.  | 0.       |   |                |              |
| 7. Amount provisionally hold for defined dividend policies not included in Line 6 Premiums and annulty considerations for life and accident and health contracts seceived in advance less \$ medicina and annulty considerations for life and accident and health premiums 122,254 18. Sometic flabilities not included selewhere 9.1 Summorbor values on carceled confined, including the liability of \$ 2. experience rotting refused of which \$ 2. experience rotting refused of which \$ 3. experience and the account of the selection of the sel   | 7. Amount provisionally held for deferred divident politices not included in line 6. Perminan and anolity condidentations of the and accident and health contracts received in advance less 3. Security of the contract of the contracts of the contracts of the contract of t  | 7. Annual provisionally held for deferred divident policies not included in Line 6 8. Permittine and annually considerations for first and accident and health premiums 9. The Committed stabilities and including 8 9. The Committed stabilities and including 8 10. 1. Surrender values on cannot do orthods 10. 2. Provision for experience rating effunds, including the liability of 5 10. 1. Surrender values on cannot do orthods 10. 2. Provision for experience rating effunds, including the liability of 5 10. 1. Surrender values on cannot do orthods 10. 2. Provision for experience rating effunds or which 3 10. Surrender values on cannot do orthod 10. Increase the increase of the committed orthodology of the control of the committed orthodology of the control orthodology of th  |          |   |                |              |
| 8. Premiums and amulty considerations for life and accident and health contracts received in advance less \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$   | 8. Premiums and ammulty considerators for life and accident and health contracts received in advancer less discount, including \$ 1,18,733 accident and health premiums 122,254 [160,355] 9. Confract abditiles not included elsewhere: 9. 22 Invision for exponence rating refunds of which \$ service and the   | 8. Permans and annully considerations for life and accodern and health contracts received in advance was 5. 8 miles attained and teacher the contracts of the contract of the   |          |   |                |              |
| \$ discount; including \$   | S contract liabilities not including 6 116,733 accident and health premiums 122,254 180,385 Contract liabilities not included eleverwhere:  1.0 Summinder values on canorated contracts  2.0 Subminder values on canorated contracts  Service Act  Service A  | S discount fabilities not included deterbetive:  1 Surrende results on controlled contracts  1 Surrende results on controlled contracts  2 Surrende results on controlled contracts  3 Surrende results on controlled contracts  5 Surrende results on controlled contracts  6 Surrende results on controlled contracts  8 Surrende results on controlled contracts  5 Surrende results and results on the results of the resu  |          |   |                |              |
| 9.1 Surrender values on canceled contracts 9.2 Provision for expensers enting refunds, including the liability of \$ 9.2 Provision for expensers enting refunds, including the liability of \$ 9.3 Other amounts payable on reinsurance, including \$ 9.4 Interest Maintenance Reserve 9.5 Other amounts payable on reinsurance, including \$ 9.5 A Interest Maintenance Reserve 9.6 A Interest Maintenance Reserve 9.7 A Interest Maintenance Reserve 9.7 A Interest Maintenance Reserve 9.8 A Interest Maintenance Reserve 9.9 A Interest Maintenance Reserve 9.9 A Interest Maintenance Reserve 9.9 A Interest Maintenance Reserve 9.0 Commissions to agents due or occuració fle and annulty contracts \$ 1.1 Commissions and expense allevances payable on reinsurance assumed 1.2 General reserves to expense de levances payable on reinsurance assumed 1.3 Transfers to Separate Accounts due or accrued (ref) (including \$ 1.4 Taxes, licenses and fees due or accrued, excluding federal income taxes 1.5 Courrent federal and foreign income taxes, including \$ 1.5 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and foreign income taxes, including \$ 1.6 Courrent federal and federal included above. 1.6 Courrent federal federal and federal included and over the federal and federal including and the federal including federal income taxes. 1.6 Courrent federal federal and federal included i  | 9.1 Summoter values or canceled contracts experience rating refunds of which S experience rating refunds refund  | 0 - 1 Surrender values on cannoted contracts  | \$       | discount; including \$118,733 accident and health premiums  | 122,254        | 180,356      |
| 9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebets per the Public Health Service Act are arounds psyable on reinsurance, including \$ assumed and \$ 39,830 and \$ 38,830 and \$ 4 interest Maintenance Reserve \$ 50,917 and \$ 50.000 and \$ 50.0000 and \$ 50.00000 and \$ 50.0000 and \$ 50.00000  | 9.2. Provision for experience rating refunds, including the labelity of \$ is for medical loss ratio rebated by Service Act.  9.4. Unbreamousts payable on reinsurance, including \$ assumed and \$ 39.820  9.4. Unbreamousts payable on reinsurance, including \$ assumed and \$ 39.820  9.4. Unbream Maintenance Reserve  9.4. Unbream Maintenance Reserve  9.4. Unbream Maintenance Reserve  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances payable on reinsurance assumed  1. Commissions and expense allowances and the service of the reinsurance assumed in the service of the service and the service  | 9.2 Provision for experience rating refunds, including the liability of S experience rating refunds of which S Service Act. 3. Service Act. 3. An interest Maintenance Reserve 9. Interest Maintenance Reserve 1. Commissions to agents due or accrued-life and annuity contracts \$ accident and health S. S. Interest Maintenance Reserve 1. Commissions to agents due or accrued-life and annuity contracts \$ accident and health S. S accident and health accident health accident and health S. S accident and health accident accident health accident accident health accident accident health  |          |   |                |              |
| experience rating refunds of which \$   sis for medical loss ratio cebate per the Public Health Sorvice Ad   9.3 Other amounts payable on reinsurance, including \$   assumed and \$   39,830   40   A Interest Maintanance Reserve   59,937   57   57   57   10   10   10   10   10   10   10   1  | experience rating refunds of which \$ sort or medical loss ratio rebate per the Public Health Service As Service As 19.3 Other amounts payable on reinsurance, including \$ assumed and \$ 39.80 coded \$ 59.80 145.74 5.74 5.74 5.75 5.74 5.75 5.75 5.75   | experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Sorrivor Act.  3 Other amounts payable on reinsurance, including \$ assumed and \$ 39,800   145,74  3 A force that distination accesses and adjust contracts \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$  |          |   |                |              |
| 9.3 Other amounts payable on reinsurance, including \$ assumed and \$ 39,830   14   9.4 Interest Maintenance Reserve   530,917   57   15. Commissions to agents due or accrued-life and annuity contracts \$ , accident and health \$   | 9.3 Other amounts payable on reinsurance, including \$ assumed and \$ 39,800 143,744 9.4 Interest Maintenance Reserve (   | 0.3 Other amounts payable on reinsurance, including \$ assumed and \$ 39,830   143,74   143,74   143,74   144,74   |          | experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health                        |                |              |
| Code  | ceded 9.4 Interest Maintenance Reserve 539, 880 143,745 9.4 Interest Maintenance Reserve 559,917 578,244 10. Commissions to agents due or accrued (resilient and annuity contracts \$ , accident and health \$ 3  | Caded   |          |   |                |              |
| 9.4 Interest Maintenance Reserve. \$30,917   57 Commissions to agents due or accrued-life and annuity contracts \$ accident and health \$ \$ and deposit-type contract funds \$ \$   10. Commissions to agents due or accrued (ret) (including \$   11. Commissions and expenses due or accrued contract funds \$   11. Commissions and expenses due or accrued contract funds \$   12. Commissions and expenses due or accrued (ret) (including \$   13. Commissions and expenses due or accrued contract funds \$   13. Commissions and expenses due or accrued contract funds getteral income taxes   15. Commissions and expenses or accrued expenses   15. Commissions and expenses or accrued expenses   15. Commissions   15   | 9.4 Interest Maintenance Reserve Commissions agents due or accused-life and annuity contracts \$ , accident and health \$   | 9.4 Interest Maintenance Reserve  | 9.3      | 3 Other amounts payable on reinsurance, including \$assumed and \$  | 30 830         | 143 745      |
| 10. Commissions to agents due or accrued-life and annuity contracts. \$ , accident and health \$  | 10. Commissions to agents due or accrued-life and annuity contracts \$  | 10. Commissions to agents due or accrued. His and annuity contracts \$   11. Commissions and expense allowances payable on reinsurance assumed   12. General expenses due or accrued for (including \$   13. Transfers to Separate Accounts due or accrued profit (including \$   14. Taxes, Increase and feet due or accrued, extending federal income taxes   15. Lorrent federal and foreign income taxes, including \$   15. Current federal and foreign income taxes, including \$   15. Including the commission of the commission  | 9.4      |   |                |              |
| 11.   Commissions and expenses allowances payable on reinsurance assumed   16, 350   17   Commissions and expenses due to a corcued (net) (including \$   accrued for expense allowances creogrized in reserves, and for riserved allowances)   | 1. Commissions and expense allowances payable on reinsurance assumed   16,350   16,350   16,350   3. Transfers to Separate Accounts due or accrued (net) (including \$  | 1.1   Commissions and expenses allowances payable on reinsurance assumed   16, 850   18, 351  | 10. Cc   | ommissions to agents due or accrued-life and annuity contracts \$, accident and health                              |                |              |
| 12. General expenses due or accrued (net) (including \$   | 16.350  | 16. 350   16. 350   16. 350   16. 350   16. 350   16. 350   16. 350   16. 350   16. 350   16. 350   3. 41. 3. 41  |          |   |                |              |
| 13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances).   58, 829   4.   | 3. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances)   58, 822   44, 500   4. Taxes, licenses and fees due or accrued, excluding federal income taxes   58, 822   623, 808   5. Net deferred tax liability   623, 808   623, 808   5. Net deferred tax liability   623, 808   623, 808   6. Licensel interventent income taxes, including \$ on realized capital gains (losses)   623, 808   6. Licensel interventent income taxes, including \$ on realized capital gains (losses)   623, 808   6. Amounts withheld or retained by reporting entity as agents' credit balances   66   7. Amounts withheld or retained by reporting entity as agents' credit balances   667, 966   606, 246   8. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Remittances and terms not allocated   667, 966   606, 246   9. Borrower more in assets and tellulation terms of the section of the section of terms   | 13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reissured allowances)   58, 825   44, 30   14. Taxes, licenses and fees due or accrued, excluding federal income taxes   58, 825   58, 825   15. Net deferred tax liability   523, 88   15. Unreamed microal transfers income taxes, including \$ on realized capital gains (losses)   523, 88   15. Net deferred tax liability   562, 88   15. Unreamed increasing the income taxes including \$ on realized capital gains (losses)   523, 88   15. Net deferred tax liability   562, 88   15. Net deferred tax liability   562, 88   15. Net deferred tax liability   562, 88   15. Net deferred tax liability (microal transfer)   562, 88   15. Net deferred tax liability (microal transfer)   562, 88   15. Net deferred tax liability (microal transfer)   562, 88   16. Remittiances and liems not allocated   567, 386   505, 24   17. Remittiances and liems not allocated   567, 386   505, 24   18. Remittiances and liems not allocated   567, 386   505, 24   18. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liems not allocated   567, 386   505, 24   19. Remittiances and liabilities and liabilities   567, 386   505, 24   19. Remittiances and liabilities and liabilities   567, 386   505, 286  |          |   |                |              |
| allowances recognized in reserves, net of reinsured allowances)   1. Taxes, licenses and fees due or accrued, excluding federal income taxes   5.8,829   4.8  | Section   Sect  | allowances recognized in reserves, net of reinsured allowances) 15.1 Current federal and foreign income taxes, including \$ on realized capital glains (losses) 623,808 623,808 15.2 Net deferred tax liability 16. Unearned investment income 17. Amounts whitheld or retained by reporting entity as agent or trustee 18. Amounts held for agents' account, including \$ egents' credit belances 18. Amounts held for agents' account, including \$ egents' credit belances 18. Amounts held for agents' account, including \$ egents' credit belances 18. Remittances and items not allowances and items not allowances 19. Egents and items of the control o  |          |   | 10,000         | 10,000       |
| 15.1 Current federal and foreign income taxes, including \$   | 15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)   523,888  | 15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)   623,888   623,888  | а        | ıllowances recognized in reserves, net of reinsured allowances)   |                |              |
| 15.2 Net deferred tax liability.  | 15.2 Net deferred tax liability   | 15.2 Net deferred tax liability   | 14. Ta   | axes, licenses and fees due or accrued, excluding federal income taxes  | 58,829         | 44,506       |
| 16.   Unearmed investment income  | 16. Uncamed investment income   | 16. Unearmed investment income  |          |   |                |              |
| 18. Amounts held for agents' account, including \$ agents' credit balances   867,366   80     18. Remittances and items not allocated   867,366   80     19. Remittances and items of allocated   867,366   80     19. Remittances   867,366   80     19. Remitt   | 8. Amounts held for agents' account, including \$ agents' credit balances   667,866   660,245     9. Net adjustment in assets and liabilities due to foreign exchange rates   677,866   660,245     10. Net adjustment in assets and liabilities of the inclinctude above   677,866   | 18. Amounts held for agents' account, including \$ agents' credit balances 667,366 606,24  19. Rentitances and item so tal allocated of the control of the agents of the ag   |          | ·   |                |              |
| 19. Remittances and items not allocated   867,386   50   Net adjustment in assets and liabilities due to foreign exchange rates   | 9. Remitlances and items not allocated   667,396   606,245  | 19. Remittances and items not allocated   667,366   606,240   |          |   |                |              |
| Net adjustment in assets and liabilities due to foreign exchange rates  | 20. Net adjustment in assets and liabilities due to foreign exchange rates  | 20. Net adjustment in assets and liabilities due to foreign exchange rates  |          |   |                |              |
| 22 Borrowed money \$ and interest thereon \$  | 22 Bornowed money \$ and interest thereon \$  | 22  |          |   |                |              |
| 23.   Dividends to stockholders declared and unpaid   | Miscellaneous liabilities   | Dividends to stockholders declared and unpaid   | 21. Lia  | ability for benefits for employees and agents if not included above   |                |              |
| 24.01 Asset valuation reserve   .519,415   .49   .49   .401   .402   .401   .402   .401   .402   .401   .402   .403   .  | 24. Miscellaneous liabilities   24.01 Asset valuation reserve   24.01 Reinsurance in unauthorized and certified (\$ ) companies   24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers   252.063   .558,716   .24.05 Drafts outstanding   24.04 Payable to parent, subsidiaries and affiliates   252.063   .558,716   .24.05 Drafts outstanding   24.07 Funds held under coinsurance   24.08 Drafts outstanding   24.07 Funds held under coinsurance   1.686   .24.08 Drafts outstanding   24.09 Payable for securities helding   24.09 Payable for securities lending   24.10 Payable for securities lending   24.11 Capital notes \$ and interest thereon \$   .1.113,757   1.895,087   .24.10 Payable for securities lending   .24.11 Capital notes \$ and interest thereon \$   .1.113,757   1.895,087   .25.0  | Miscellaneous liabilities:   24 of Resilvance in unauthorized and certified (\$ ) companies   24 of Reinsurance in unauthorized and certified (\$ ) reinsurers   24 of Reinsurance in unauthorized and certified (\$ ) reinsurers   25 of 3   558,71  |          |   |                |              |
| 24.01 Asset valuation reserve.       519,415       49         24.02 Reinsurance in unauthorized and certified (\$ ) companies       24.03 Funds held under reinsurance treatles with unauthorized and certified (\$ ) reinsurers       24.04 Payable to parent, subsidiaries and affiliates       252,063       55         24.05 Drafts outstanding       250,063       55         24.06 Liability for amounts held under uninsured plans       24.07 Funds held under coinsurance       0       24.08 Derivatives       0       24.09 Payable for securities       90,377       24.10 Payable for securities       90,377       24.10 Payable for securities       90,377       1.113,757       1.83       26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)       62,781,701       63,58       26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)       62,781,701       63,58       28. Total liabilities (Lines 26 and 27)       62,781,701       63,58       29. Common capital stock       2,502,600       2,50<  | 24 01 Asset valuation reserve 24 02 Reinsurance in unauthorized and certified (\$ ) companies 24 03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers 24 03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers 24 04 Payable to parent, subsidiaries and affiliates 24 05 Funds outstanding 24 05 Liability for amounts held under uninsured plans 24 07 Funds held under consurance 24 08 Derivatives 0 0 (   | 24.01 Asset valuation reserve 24.02 Reinsurance in unauthorized and certified (\$ ) companies 24.03 Funds held under reinsurance treates with unauthorized and certified (\$ ) reinsurers 24.04 Payable to parent, subsidiaries and affiliates 24.05 Poralts outstanding 24.05 Carbot outstanding 24.05 Liability of amounts held under uninsured plans 24.07 Funds held under coinsurance 24.08 Derivatives 24.09 Payable for securities lending 24.10 Payable for securities lending 24.10 Payable for securities lending 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities actualing Separate Accounts business (Lines 1 to 25) 26.781,701 1,835,08 27. From Separate Accounts Statement 28. Total liabilities (Lines 25 and 27) 29. Common capital stock 29. Common capital stock 20. Frefered capital stock 20. Frefered capital stock 20. Frefered capital stock 20. Supplies notes 20. Frefered capital stock 20. Capital for than special surplus funds 20. Unassigned funds (surplus) 20  |          | ·   |                |              |
| 24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers 24.04 Payable to parent, subsidiaries and affiliates 24.05 Drafts outstanding 24.05 Traits outstanding 24.07 Funds held under uninsured plans 24.07 Funds held under coinsurance 0 0 24.09 Payable for securities lending 24.09 Payable for securities lending 24.11 Capital notes \$ 90,377 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 1,113,757 1.83 26. Total liabilities (lines 26 and 27) 63,59 27 From Separate Accounts Statement 25. Aggregate write-ins for liabilities (lines 26 and 27) 63,59 29. Common capital stock 2,502,600 2,500 30. Preferred capital stock 2,502,600 2,500 31. Aggregate write-ins for other than special surplus funds 33. Gross paid in and contributed surplus 4. Aggregate write-ins for special surplus funds 36. Less treasury stock, at cost: 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 29 \$ 36.2 shares preferred (value included in Line 29 \$ 3. Totals of Lines 29, 30 and 37 5. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 11,136,706 11,81 38. Totals of Lines 29, 30 and 37 5. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 11,136,706 11,81 39. Totals of Lines 29 and 38 (Page 2, Line 28, Col. 3) 77, 91  DETAILS OF WRITE-INS  DETAILS OF WRITE-INS  Summary of remaining write-ins for Line 25 from overflow page 9. Details (Lines 2501 through 2509) blue 2596) (Line 25 above) 1,113,757 1,83  | 24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers         252,063         558,716           24.05 Drafts outstanding         252,063         558,716           24.05 Drafts outstanding         24.07 Funds held under uninsured plans         1,685           24.09 Derivatives         0         0           24.09 Payable for securities         90,377           24.10 Payable for securities lending         24.11 Capital notes \$           25. Aggregate write-ins for liabilities         1,113,757         1,885,081           75. Total liabilities excluding Separate Accounts business (Lines 1 to 25)         62,781,701         63,584,641           76. Troat liabilities (Lines 26 and 27)         62,781,701         63,594,841           76. Total liabilities (Lines 26 and 27)         62,781,701         63,594,841           76. Total liabilities (Lines 26 and 27)         62,781,701         63,594,841           77. Torn Separate Accounts Statement         2,502,600         2,502,600           78. Total liabilities (Lines 26 and 27)         62,781,701         63,594,841           79. Torn Separate Accounts Statement         9,00         1,00           10. Torn Separate Accounts (Lines 26 and 27)         62,781,701         63,594,841           27. Torn Separate Accounts (Lines (Lines 26 and 27)         62,781,701 <t< td=""><td>24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers 24.05 Praybe to prarent, subsidiaries and affiliates 24.05 Drafts outstanding 24.06 Eublility for amounts held under uninsured plans 24.07 Funds held under coinsurance 24.07 Funds held under coinsurance 24.08 Payable for securities 24.09 Payable for securities 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 21.113,757 1,835,08 25. Aggregate write-ins for liabilities 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) 27. From Separate Accounts Statement 28. Total liabilities (Lines 26 and 27) 29. Common capital stock 29. Common capital stock 29. Common capital stock 29. Surplus notes 30. Preferred capital stock 30. Preferred capital stock 31. Aggregate write-ins for ober than special surplus funds 32. Surplus notes 33. Gross paid in and contributed surplus 34. Aggregate write-ins for special surplus funds 35. Less ressury stock, at cost 36. Less ressury stock, at cost 37. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 38. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 31. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 32. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 85</td><td>24</td><td>I.01 Asset valuation reserve</td><td></td><td></td></t<> | 24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ) reinsurers 24.05 Praybe to prarent, subsidiaries and affiliates 24.05 Drafts outstanding 24.06 Eublility for amounts held under uninsured plans 24.07 Funds held under coinsurance 24.07 Funds held under coinsurance 24.08 Payable for securities 24.09 Payable for securities 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 21.113,757 1,835,08 25. Aggregate write-ins for liabilities 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) 27. From Separate Accounts Statement 28. Total liabilities (Lines 26 and 27) 29. Common capital stock 29. Common capital stock 29. Common capital stock 29. Surplus notes 30. Preferred capital stock 30. Preferred capital stock 31. Aggregate write-ins for ober than special surplus funds 32. Surplus notes 33. Gross paid in and contributed surplus 34. Aggregate write-ins for special surplus funds 35. Less ressury stock, at cost 36. Less ressury stock, at cost 37. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 38. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Surplus (Total Lines 31+32+33+34+55-80) (including \$ in Separate Accounts Statement) 39. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 31. Totals of Lines 28 and 38 (Page 2, Line 26, Col. 3) 32. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. Surplus (Total Lines 31+32+33-34+35-30) (including \$ in Separate Accounts Statement) 31. 11,136,708 31. 11,137,77 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 859,00 31. 31,777 31. 85  | 24       | I.01 Asset valuation reserve  |                |              |
| 24.0 Payable to parent, subsidiaries and affiliates   252,063   555   | 24.0 Payable to parent, subsidiaries and affiliates   | 24.04 Payable to parent, subsidiaries and affiliates  | 24       | .02 Reinsurance in unauthorized and certified (\$   |                | 0            |
| 24.05 Drafts outstanding.       24.06 Liability for amounts held under uninsured plans       24.07 Funds held under coinsurance.       0         24.07 Funds held under coinsurance.       0       24.09 Agree of the payable for securities.       90,377         24.10 Payable for securities lending.       24.11 Capital notes \$ and interest thereon \$       1,113,757       1,83         25. Aggregate write-ins for liabilities.       1,113,757       1,83         26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).       62,781,701       63,59         27. From Separate Accounts Statement.       62,781,701       63,59         28. Total liabilities (Lines 26 and 27).       62,781,701       63,59         29. Common capital stock.       2,502,600       2,50         30. Preferred capital stock.       2,502,600       2,50         31. Aggregate write-ins for other than special surplus funds       0       2,502,600       2,50         32. Surplus notes.       73,436,000       73,43       73,436,000       73,43         33. Gross paid in and contributed surplus       73,496,000       73,43         34. Aggregate write-ins for special surplus funds       0       (62,239,294)       (61,62         35. Unassigned funds (surplus)       (62,239,294)       (61,62         36.1 shares preferred (value included in Line 29   | 24.05 Drafts outstanding  | 24.05 Drafts outstanding 24.07 Funds held under uninsured plans 24.07 Funds held under coinsurance 24.08 Derivatives 24.08 Derivatives 24.09 Payable for securities lending 24.10 Payable for securities lending 24.11 Payable for securities lending 25. Aggregate write-ins for lending separate Accounts business (Lines 1 to 25) 26.27 From Separate Accounts Statement 27. From Separate Accounts Statement 28. Surplus notes 29. Common capital stock 29. Surplus notes 20. Less treasury stock at ordinary lends 20. Less treasury stock, at cost: 30. Gross paid in and contributed surplus 30. Gross paid in and contributed surplus 30. Less treasury stock, at cost: 30. Less treasury stock, at cost: 30. Less treasury stock, at cost: 30. Surplus (Total Lines 31+32+33+43+3-35) (including \$ in Separate Accounts Statement) 30. Surplus (Total Lines 31+32+33+43+3-35) (including \$ in Separate Accounts Statement) 30. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals (Lines 3101 through 3103 plus 3198)(Line 25 above) 30. Lines 3101 through 3103 plus 3198)(Line 31 above) 30. Lines 3101 through 3103 plus 3198)(Line 31 above) 30. Lines 3101 through 3103 plus 3198)(Line 31 ab  | 24       | .03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers                           | 252 063        | 558 716      |
| 24.06 Liability for amounts held under uninsured plans   24.07 Funds held under coinsurance   24.08 Derivatives   0   24.09 Payable for securities   90,377   24.10 Payable for securities lending   24.11 Capital notes \$   and interest thereon \$   24.09 Payable for securities lending   24.11 Capital notes \$   and interest thereon \$   24.10 Capital notes \$   and interest thereon \$   24.11 Capital notes \$   and interest thereon \$   25.01 Capital liabilities excluding Separate Accounts builties (Lines 26 and 27)   and interest thereon \$   and interest there   | 24.06 Liability for amounts held under uninsured plans 24.07 Funds held under coinsurance 24.08 Payable for securities 24.09 Payable for securities 24.10 Payable for securities 24.11 Capital notes \$ 24.10 Payable for securities lending 24.11 Capital notes \$ 25. Aggregate write-ins for liabilities scluding Separate Accounts business (Lines 1 to 25) 26. Total liabilities (Lines 25 and 27) 27. From Separate Accounts Statement 28. Total liabilities (Lines 25 and 27) 29. Common capital stock 20. Total capital stock 20. Suprison of the result of the resu  | 24.0 Elability for amounts held under uninsured plans 24.07 Funds held under coinsurance 24.08 Derivatives 24.09 Derivatives 24.10 Payable for securities 24.10 Payable for securities lending 24.11 Capital notes \$   |          |   |                |              |
| 24.08 Derivatives   | 24.08 Perivatives   0   0   0.00  | 24.08 Derivatives 24.09 Payable for securities 24.10 Payable for securities 24.11 Capital notes \$ 30,377  24.11 Capital notes \$ 31,113,757  1,835,08  25. Aggregate write-ins for liabilities 27. From Separate Accounts business (Lines 1 to 25) 28. Total liabilities excluding Separate Accounts Statement 29. Common capital stock 29. Common capital stock 20. Total liabilities (Lines 26 and 27) 29. Common capital stock 20. Total liabilities (Lines 26 and 27) 20. Preferred capital stock 20. Surplus notes 20. Surplus notes 21. Surplus notes 22. Surplus notes 23. Surplus notes 24. Quality notes 25. Unassigned funds (surplus) 26. Less treasury stock, at cost: 36. Shares preferred (value included in Line 29 \$ 36. Shares preferred (value included in Line 29 \$ 36. Surplus (Total Lines 31+32+33+34+35-36) (including \$ 38. Totals of Lines 29. 30 and 37 39. Totals of Lines 29. and 38 (Page 2, Line 28, Col. 3) 39. Totals of Lines 29. and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 25 and 38 (Page 2, Line 28, Col. 3) 310. Surplus (Total Lines 31+32+33+34+35-34) 310. Surplus (Total Lines 31+35+33+34+35-34) 310. Surplus (Total Lines 31+35+33+34+35-34) 310. Surplus (Total Lines 31+35+33+34+35-34) 310. Surplus (Total Lines 31+35+35+34+35-34-34-34-34-34-34-34-34-34-34-34-34-34-   |          |   |                |              |
| 24.09 Payable for securities   90,377   24.10 Payable for securities   90,377   70,978   70,9  | 24.09 Payable for securities       90,377         24.10 Payable for securities lending       24.11 Capital notes \$ and interest thereon \$         25. Aggregate write-ins for liabilities       11,113,757       1,835,005         26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)       62,781,701       63,594,841         27. From Separate Accounts Statement       62,781,701       63,594,841         28. Total liabilities (Lines 26 and 27)       62,781,701       63,594,841         29. Common capital stock       2,502,600       2,502,600         10. Preferred capital stock       2,502,600       2,502,600         11. Aggregate write-ins for other than special surplus funds       0       0         12. Surplus notes       73,436,000       73,436,000         13. Gross paid in and contributed surplus       73,436,000       73,436,000         14. Aggregate write-ins for special surplus funds       0       0       0         15. Unassigned funds (surplus)       (62,299,294)       (61,622,008         16. Less treasury stock, at cost:       36.1       shares common (value included in Line 29 \$       36.2       shares preferred (value included in Line 30 \$       )       11,136,706       11,813,995         18. Surplus (Totals Lines 29, 30 and 37       136,639,306       14,316,595       13,639,306       <   | 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 24.11 Capital notes \$ 1,113,757 1,835,08 25. Aggregate write-ins for liabilities \$ and interest thereon \$ 25. Total liabilities excluding Separate Accounts business (Lines 1 to 25) 52,781,701 6,3594,84 27. From Separate Accounts Statement   |          |   |                |              |
| 24.10 Payable for securities lending   24.11 Capital notes \$   3.113,757   1,382   24.11 Capital notes \$   3.113,757   1,382   25. Aggregate write-ins for liabilities   3.1,113,757   1,383   26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)   62,781,701   63,593   27. From Separate Accounts Statement   28. Total liabilities (Lines 26 and 27)   63,593   29. Common capital stock   2,502,600   2,503   20. Common capital stock   2,502,600   2,503   20. Preferred capital stock   2,502,600   2,503   20. Preferred capital stock   2,502,600   2,503   20. Aggregate write-ins for other than special surplus funds   0. Aggregate write-ins for spe  | 24.10 Payable for securities lending 24.11 Capital notes \$ and interest thereon \$ 25. Aggregate write-ins for liabilities \$ (1.113,757 1,835,087 62,781,701 63,594,847 62,781,84   | 24.10 Payable for securities lending  |          |   |                |              |
| 25. Aggregate write-ins for liabilities       1,113,757       1,83         26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)       62,781,701       63,59         27. From Separate Accounts Statement       62,781,701       63,59         28. Total liabilities (Lines 26 and 27)       62,781,701       63,59         29. Common capital stock       2,502,600       2,502         30. Preferred capital stock       2,502,600       2,502         31. Aggregate write-ins for other than special surplus funds       0       0         32. Surplus notes       73,436,000       73,43         34. Aggregate write-ins for special surplus funds       0       0         35. Unassigned funds (surplus)       0       0         36. Less treasury stock, at cost:       36.1       shares common (value included in Line 29 \$       )         36.1       shares preferred (value included in Line 30 \$       )         37. Surplus (Total Lines 31+32+33+34+35-36) (including \$       in Separate Accounts Statement)       11,136,706       11,81         38. Totals of Lines 29, 30 and 37       13,639,306       14,31       13,639,306       14,31         39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501. Eschea  | 1,113,757   1,835,087   1,83  | 25. Aggregate write-ins for liabilities       1,113,757       1,835,08         26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)       62,781,701       63,594,84         27. From Separate Accounts Statement       62,781,701       63,594,84         28. Total liabilities (Lines 26 and 27)       62,781,701       63,594,84         29. Common capital stock       2,502,600       2,502,600         30. Preferred capital stock       0       3         31. Aggregate write-ins for other than special surplus funds       0       3         32. Surplus notes       73,496,000       73,496,000         33. Gross paid in and contributed surplus       0       0         34. Aggregate write-ins for special surplus funds       0       0         35. Unassigned funds (surplus)       (62,299,294)       (61,622,00         36.1 shares common (value included in Line 29 \$ )       36.2       shares preferred (value included in Line 29 \$ )         36.2 shares preferred (value included in Line 30 \$ )       11,136,706       11,813,99         37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)       11,136,706       11,813,99         38. Totals of Lines 29, 30 and 37       13,639,306       14,316,59       13,639,306       14,316,59         39. Totals of Lines 29, 30 and 38 (Page 2, Line 28,   |          | ·   |                |              |
| 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)         62,781,701         63,59           27. From Separate Accounts Statement         62,781,701         63,59           28. Total liabilities (Lines 26 and 27)         62,781,701         63,59           29. Common capital stock         2,502,600         2,50           30. Preferred capital stock         0         2,502,600         2,50           31. Aggregate write-ins for other than special surplus funds         0         0         0           32. Surplus notes         73,436,000         73,43         0         73,436,000         73,43           34. Aggregate write-ins for special surplus funds         0 </td <td>  Total liabilities excluding Separate Accounts business (Lines 1 to 25)   62,781,701   63,594,841    </td> <td>26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)</td> <td></td> <td></td> <td></td> <td></td>   | Total liabilities excluding Separate Accounts business (Lines 1 to 25)   62,781,701   63,594,841  | 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)  |          |   |                |              |
| 27. From Separate Accounts Statement       62,781,701       63,59         28. Total liabilities (Lines 26 and 27)       62,781,701       63,59         29. Common capital stock       2,502,600       2,50         30. Preferred capital stock       0       2,502,600       2,50         31. Aggregate write-ins for other than special surplus funds       0       0         32. Surplus notes       73,436,000       73,43         33. Gross paid in and contributed surplus       73,436,000       73,43         34. Aggregate write-ins for special surplus funds       0       0         35. Unassigned funds (surplus)       (62,299,294)       (61,62         36. Less treasury stock, at cost:       36.1       shares common (value included in Line 29 \$       )         36. Less treasury stock, at cost:       36.2       shares preferred (value included in Line 30 \$       )         37. Surplus (Total Lines 31+32+33+34+35-36) (including \$       in Separate Accounts Statement)       11,136,706       11,81         38. Totals of Lines 29, 30 and 37       13,639,306       14,31         39. Totals of Lines 29 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501. Escheat Liability       313,757       28         2502. Other Payables  | From Separate Accounts Statement  | 27. From Separate Accounts Statement         62,781,701         63,594,84           28. Total liabilities (Lines 26 and 27)         62,781,701         63,594,84           29. Common capital stock         2,502,600         2,502,60           30. Preferred capital stock         0         2,502,60           31. Aggregate write-ins for other than special surplus funds         0         33.           32. Surplus notes         73,436,000         73,436,000           33. Gross paid in and contributed surplus         73,436,000         73,436,000           34. Aggregate write-ins for special surplus funds         0  | -        |   |                |              |
| 28. Total liabilities (Lines 26 and 27)       62,781,701       63,59         29. Common capital stock       2,502,600       2,50         30. Preferred capital stock       0       2,502,600       2,50         31. Aggregate write-ins for other than special surplus funds       0       0         32. Surplus notes       73,436,000       73,43         34. Aggregate write-ins for special surplus funds       0       62,299,294         35. Unassigned funds (surplus)       (62,299,294)       (61,62         36. Less treasury stock, at cost:       36.1       shares common (value included in Line 29 \$       )         36. 2       shares preferred (value included in Line 30 \$       )       11,136,706       11,81         37. Surplus (Total Lines 31+32+33+34+35-36) (including \$       in Separate Accounts Statement)       11,136,706       11,81         38. Totals of Lines 29, 30 and 37       13,639,306       14,31         39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501. Escheat   liability       313,757       28         2502. Other Payables       800,000       1,54         2503. Summary of remaining write-ins for Line 25 from overflow page       0         2599. Totals (Lines 2501 through 2503 plus 259  | Total liabilities (Lines 26 and 27)   63,594,841  | 28. Total liabilities (Lines 26 and 27) 63,594,84 29. Common capital stock 2,502,600 2,502,60  29. Preferred capital stock 5. 2,502,600 2,502,60  20. Preferred capital stock 5. 2,502,600 2,502,60  31. Aggregate write-ins for other than special surplus funds 5. 30  32. Surplus notes 73,496,000 73,496,000 73,496,000 73,496,000  33. Gross paid in and contributed surplus 5. 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  |          | · · · · · · · · · · · · · · · · · · ·   | , ,            |              |
| 30. Preferred capital stock 31. Aggregate write-ins for other than special surplus funds 32. Surplus notes 33. Gross paid in and contributed surplus 34. Aggregate write-ins for special surplus funds 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 29 \$ 36.2 shares preferred (value included in Line 30 \$ ) 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 38. Totals of Lines 29, 30 and 37 39. Totals of Lines 29 and 38 (Page 2, Line 28, Col. 3)  DETAILS OF WRITE-INS 2501. Escheat liability 2502. Other Payables 2503. Summary of remaining write-ins for Line 25 from overflow page 2509. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)  1, 113, 757 1, 83  | Preferred capital stock   Aggregate write-ins for other than special surplus funds  | 30   Preferred capital stock  | 28. To   | otal liabilities (Lines 26 and 27)  | 62,781,701     | 63,594,841   |
| 31. Aggregate write-ins for other than special surplus funds       0         32. Surplus notes       73,436,000         33. Gross paid in and contributed surplus       73,436,000         34. Aggregate write-ins for special surplus funds       0         35. Unassigned funds (surplus)       (62,299,294)         36. Less treasury stock, at cost:       36.1         36.1       shares common (value included in Line 29 \$ )         36.2       shares preferred (value included in Line 30 \$ )         37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)       11,136,706       11,81         38. Totals of Lines 29, 30 and 37       13,639,306       14,31         39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2502. Escheat Liability       313,757       28         2502. Other Payables       800,000       1,54         2598. Summary of remaining write-ins for Line 25 from overflow page       0       0         2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83  | Aggregate write-ins for other than special surplus funds  | 31. Aggregate write-ins for other than special surplus funds  |          |   |                | 2,502,600    |
| 32. Surplus notes 33. Gross paid in and contributed surplus 34. Aggregate write-ins for special surplus funds 35. Unassigned funds (surplus) 36. Less treasury stock, at cost: 36.1 shares common (value included in Line 29 \$ ) 36.2 shares preferred (value included in Line 30 \$ ) 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 38. Totals of Lines 29, 30 and 37 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 39. Escheat liability 30. Escheat liability 31. Surplus (Total Lines 31+32+33+34+35-36) 32. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 39. Totals of Lines 29, 30 and 37 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 30. Totals of Lines 28 and 38 (Page 3, Line 28, Col. 3) 313,757 313,757 32. Surplus (Total Lines 31+32+33+34+35-36) 313,757 313,757 313,757 32. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 31,13,639,306 31,54 32,501 313,757 32,502 313,757 32,503 32,503 32,503 32,503 32,503 32,503 32,503 32,503 32,503 32,503 33,757 34,800 36,2,299,294) 36,1,62,299,294) 37, Add the supplies and   | Surplus notes   | Surplus notes   Surplus notes   33. Gross paid in and contributed surplus   73,436,000   73,43  |          | ·   |                |              |
| 33. Gross paid in and contributed surplus       .73,436,000       .73,436,000         34. Aggregate write-ins for special surplus funds       .0         35. Unassigned funds (surplus)       .(62,299,294)       .(61,62         36. Less treasury stock, at cost:   | 33. Gross paid in and contributed surplus   73,436,000   73,436,000   13,436,000   | 33. Gross paid in and contributed surplus   | 32. Si   | urplus notes  |                |              |
| 35. Unassigned funds (surplus)  | 10   10   10   10   10   10   10   10   | 35. Unassigned funds (surplus)  | 33. Gr   | ross paid in and contributed surplus  | 73,436,000     | 73,436,000   |
| 36. Less treasury stock, at cost: 36.1  | 36. Less treasury stock, at cost: 36.1 shares preferred (value included in Line 29 \$ 36.2 shares preferred (value included in Line 30 \$ 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ 38. Totals of Lines 29, 30 and 37 39. Totals of Lines 29 and 38 (Page 2, Line 28, Col. 3)  DETAILS OF WRITE-INS 31. Escheat   liability 31. Summary of remaining write-ins for Line 25 from overflow page 39. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) 39. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 39. Summary of remaining write-ins for Line 31 from overflow page 39. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 39. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 30. Contact   Lines 2501 through 3103 plus 3198)(Line 31 above) 30. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 30. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 30. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 31. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 31. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 32. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 33. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 34. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 35. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 36. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 37. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above) 38. Contact   Lines 3101 through 3103 plus 3198)(Line 31 above)   | 36. Less treasury stock, at cost:  36.1 shares common (value included in Line 29 \$ )  36.2 shares preferred (value included in Line 30 \$ )  37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 11,136,706 11,813,99  38. Totals of Lines 29, 30 and 37 13,639,306 14,316,59  39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 76,421,007 77,911,43  DETAILS OF WRITE-INS  2501. Escheat I liability 313,757 289,01  2502. Other Payables 800,000 1,546,07  2503. Summary of remaining write-ins for Line 25 from overflow page 0 0  2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) 1,113,757 1,835,08  3103. Summary of remaining write-ins for Line 31 from overflow page 0 0  3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 0  3401. 3402. 3403. 3498. Summary of remaining write-ins for Line 34 from overflow page 0 0  3498. Summary of remaining write-ins for Line 34 from overflow page 0 0  3499. Summary of remaining write-ins for Line 34 from overflow page 0 0  3499. Summary of remaining write-ins for Line 34 from overflow page 0 0  3490. Summary of remaining write-ins for Line 34 from overflow page 0 0  3490. Summary of remaining write-ins for Line 34 from overflow page 0 0  3499. Summary of remaining write-ins for Line 34 from overflow page 0 0   |          |   |                |              |
| 36.1 shares common (value included in Line 29 \$ ) 36.2 shares preferred (value included in Line 30 \$ ) 11,136,706 11,81 38. Totals of Lines 29, 30 and 37 13,639,306 14,31 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 76,421,007 77,91 DETAILS OF WRITE-INS 2501 Escheat Liability 313,757 280 2502 Other Payables 3800,000 1,54 2503. Summary of remaining write-ins for Line 25 from overflow page 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) 1,113,757 1,83  | 36.1 shares common (value included in Line 29 \$ 36.2 shares preferred (value included in Line 30 \$ )  | 36.1 shares common (value included in Line 29 \$ )  |          |   | (02,233,234)   | (01,022,000) |
| 37.       Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)       11,136,706       11,81         38.       Totals of Lines 29, 30 and 37       13,639,306       14,31         39.       Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501.       Escheat liability       313,757       28         2502.       Other Payables       800,000       1,54         2503.       Summary of remaining write-ins for Line 25 from overflow page           2599.       Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83   | Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)   11,136,706   11,813,992   13,639,306   14,316,592   13,639,306   14,316,592   13,639,306   14,316,592   14,316,592   14,316,592   15,007  | 37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement) 11, 136, 706 11, 813, 99 38. Totals of Lines 29, 30 and 37 13, 639, 306 14, 316, 59 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) 76, 421, 007 77, 911, 43  DETAILS OF WRITE-INS  2501. Escheat liability 313, 757 289, 01 2502. Other Payables 800,000 1, 546, 07 2503. 2599. Summary of remaining write-ins for Line 25 from overflow page 0 1, 113, 757 1, 835, 08 3101. 3101. 3102. 3103. 3198. Summary of remaining write-ins for Line 31 from overflow page 0 0 1, 546, 07 3199. Totals (Lines 2501 through 3103 plus 3198)(Line 31 above) 0 0 1, 546, 07 3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 0 0 1, 546, 07 3401. 3402. 3403. 3498. Summary of remaining write-ins for Line 34 from overflow page 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  | 36       | shares common (value included in Line 29 \$   |                |              |
| 38. Totals of Lines 29, 30 and 37       13,639,306       14,31         39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501. Escheat liability       313,757       28         2502. Other Payables       800,000       1,54         2503.       3598. Summary of remaining write-ins for Line 25 from overflow page       0       0         2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83  | 38. Totals of Lines 29, 30 and 37       13,639,306       14,316,592         39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,911,433         DETAILS OF WRITE-INS         301. Escheat liability       313,757       289,017         302. Other Payables       800,000       1,546,070         303.       38. Summary of remaining write-ins for Line 25 from overflow page       0       0         304. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,835,087         305. Summary of remaining write-ins for Line 31 from overflow page       0       0         306. Summary of remaining write-ins for Line 31 from overflow page       0       0         307. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)       0       0  | 38. Totals of Lines 29, 30 and 37   |          |   |                |              |
| 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)       76,421,007       77,91         DETAILS OF WRITE-INS         2501. Escheat liability       313,757       28         2502. Other Payables       800,000       1,54         2503.       Summary of remaining write-ins for Line 25 from overflow page       0         2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83   | Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)   76,421,007   77,911,433   | 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)  DETAILS OF WRITE-INS  2501. Escheat liability  |          |   |                |              |
| DETAILS OF WRITE-INS           2501. Escheat liability         313,757         28           2502. Other Payables         800,000         1,54           2503.         Summary of remaining write-ins for Line 25 from overflow page             2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)         1,113,757         1,83  | DETAILS OF WRITE-INS         01. Escheat liability       313,757       289,017         02. Other Payables       800,000       1,546,070         03.       1,113,757       1,835,087         09. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,835,087         01.       1,113,757       1,835,087         02.       1,01       1,01         03.       1,01       1,01         04.       1,01       1,01         05.       1,01       1,01         06.       1,01       1,01         07.       1,01       1,01         08.       1,01       1,01         09.       1,01       1,01         101.       1,01       1,01         102.       1,01       1,01         103.       1,01       1,01         104.       1,01       1,01         105.       1,01       1,01         107.       1,01       1,01         108.       1,01       1,01         109.       1,01       1,01         100.       1,01       1,01         100.       1,01       1,01         100.  | DETAILS OF WRITE-INS   289,01   |          |   | , ,            | 77,911,433   |
| 2502. Other Payables       800,000       1,54         2503.       2508.       Summary of remaining write-ins for Line 25 from overflow page       0         2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83  | 02. Other Payables       800,000       1,546,070         03.       Summary of remaining write-ins for Line 25 from overflow page       0       0         09. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,835,087         01.          02.           03.           04.           05.           06.           07.           08.       Summary of remaining write-ins for Line 31 from overflow page       0          09.            09.            09.            09.            09.            09.            09.             09.              09. </td <td>2502. Other Payables</td> <td></td> <td></td> <td></td> <td></td>  | 2502. Other Payables  |          |   |                |              |
| 2503.       Summary of remaining write-ins for Line 25 from overflow page   | 03.   | 2503  |          |   |                |              |
| 2598. Summary of remaining write-ins for Line 25 from overflow page       0         2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,83  | 98. Summary of remaining write-ins for Line 25 from overflow page       0       0         99. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)       1,113,757       1,835,087         91.       0       0       0         92.       0       0       0         98. Summary of remaining write-ins for Line 31 from overflow page       0       0         99. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)       0       0         91.       0       0   | 2598. Summary of remaining write-ins for Line 25 from overflow page   |          | ·   | ·              |              |
|   | 01.   | 3101  |          |   |                | 0            |
|   | 102   | 3102. 3103. 3198. Summary of remaining write-ins for Line 31 from overflow page   |          |   |                | 1,835,087    |
|   | 03  | 3103  |          |   |                |              |
|   | 98. Summary of remaining write-ins for Line 31 from overflow page   | 3198. Summary of remaining write-ins for Line 31 from overflow page   |          |   |                |              |
| 3198. Summary of remaining write-ins for Line 31 from overflow page   | )1  | 3401  | 3198. Su | ummary of remaining write-ins for Line 31 from overflow page  | 0              |              |
| 3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) 0   |   | 3402  | 3199. To | otals (Lines 3101 through 3103 plus 3198)(Line 31 above)  | 0              | 0            |
|   |   | 3403  |          |   |                |              |
| J4UZ.   |   | 3498. Summary of remaining write-ins for Line 34 from overflow page   |          |   |                |              |
|   |   |   |          |   |                |              |
| 3403.   |   | 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)   |          |   |                | 0            |
| 3403.   |   | 0.000 T. I. W 0.004 W 0.000 W   |          |   |                |              |

## **SUMMARY OF OPERATIONS**

|            |  | 1            | 2          | 3                                     |
|------------|--|--------------|------------|---------------------------------------|
|            |  | Current Year | Prior Year | Prior Year Ended                      |
|            |  | To Date      | To Date    | December 31                           |
|            | Premiums and annuity considerations for life and accident and health contracts                     |              |            | 8,096,498                             |
| 2.         | Considerations for supplementary contracts with life contingencies.  Net investment income         |              |            | 0                                     |
| 3.<br>4.   | Amortization of Interest Maintenance Reserve (IMR)   | 47 329       | 68 221     | 2,301,400                             |
| 5.         | Separate Accounts net gain from operations excluding unrealized gains or losses                    |              |            | 0                                     |
|            | Commissions and expense allowances on reinsurance ceded  | 262,423      | 274,233    | 1,076,421                             |
| 7.         | Reserve adjustments on reinsurance ceded   | (16,037)     | (59,578)   |                                       |
| 8.         | Miscellaneous Income:  |              |            |                                       |
|            | 8.1 Income from fees associated with investment management, administration and contract            |              |            |                                       |
|            | guarantees from Separate Accounts  |              |            | 0                                     |
|            | 8.2 Charges and fees for deposit-type contracts  |              |            | 0                                     |
|            | 8.3 Aggregate write-ins for miscellaneous income   |              | 0          | 156,675                               |
|            | Totals (Lines 1 to 8.3)  | 2,765,827    | 2,887,661  | 11,905,856                            |
| 10.        | Death benefits   |              |            | 867,000                               |
| 11.        | Matured endowments (excluding guaranteed annual pure endowments)                                   |              |            | 0                                     |
| 12.        | Annuity benefits   | 4 050 000    | 4 007 070  | 0                                     |
| 13.        | Disability benefits and benefits under accident and health contracts                               |              |            | 7,508,984                             |
| 14.        | Coupons, guaranteed annual pure endowments and similar benefits                                    |              | 5 740      |                                       |
| 15.        |  |              |            | ,                                     |
| 16.        | Group conversions  |              |            | 0                                     |
| 17.        | Interest and adjustments on contract or deposit-type contract funds                                |              |            | 375                                   |
| 18.        | Payments on supplementary contracts with life contingencies  | 250,848      | (409,793)  | 0<br>5.718.662                        |
| 19.        |  |              | . , ,      | -, ,                                  |
| 20.<br>21. | Totals (Lines 10 to 19)  | 2,211,010    |            | 14 , 188 , 120                        |
| 21.        | business only)   | 133 701      | 21,941     | 149,731                               |
| 22.        | Commissions and expense allowances on reinsurance assumed  |              |            | 0                                     |
| 23.        | General insurance expenses and fraternal expenses  |              |            | 3,651,463                             |
| 24.        | Insurance taxes, licenses and fees, excluding federal income taxes                                 | 121.861      |            | 355 , 181                             |
| 25.        | Increase in loading on deferred and uncollected premiums   | (5.790)      | (7.741)    | (4,799                                |
| 26.        | Net transfers to or (from) Separate Accounts net of reinsurance                                    |              |            | 0                                     |
| 27.        | Aggregate write-ins for deductions   | 0            | 0          | (8,394,763                            |
| 28.        | Totals (Lines 20 to 27)  | 3,260,455    | 2,815,764  | 9,944,933                             |
| 29.        | Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus  | , ,          | , ,        | · · · · · · · · · · · · · · · · · · · |
|            | Line 28)   | (494,628)    | 71,897     | 1,960,923                             |
| 30.        | Dividends to policyholders and refunds to members  |              |            | 0                                     |
| 31.        | Net gain from operations after dividends to policyholders, refunds to members and before federal   |              |            |                                       |
|            | income taxes (Line 29 minus Line 30)   |              | 71,897     | 1,960,923                             |
| 32.        | Federal and foreign income taxes incurred (excluding tax on capital gains)                         | (147)        | 4,524      | 398,754                               |
| 33.        | Net gain from operations after dividends to policyholders, refunds to members and federal income   |              |            |                                       |
|            | taxes and before realized capital gains or (losses) (Line 31 minus Line 32)                        | (494,481)    | 67,3/3     | 1,562,169                             |
| 34.        | Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital |              |            |                                       |
|            | gains tax of \$ (excluding taxes of \$   |              |            |                                       |
|            | transferred to the IMR)  | 552          | 1,399      | 2,435                                 |
| 35.        | Net income (Line 33 plus Line 34)  | (493,929)    | 68,772     | 1,564,604                             |
|            | CAPITAL AND SURPLUS ACCOUNT  |              |            |                                       |
| 36.        | Capital and surplus, December 31, prior year   | 14,316,592   | 13,924,390 | 13,924,390                            |
| 37.        | Net income (Line 35)   |              |            | 1,564,604                             |
| 38.        | Change in net unrealized capital gains (losses) less capital gains tax of \$(139)                  |              |            |                                       |
| 39.        | Change in net unrealized foreign exchange capital gain (loss)                                      | 400 007      | 07.000     | 474 504                               |
| 40.        | Change in net deferred income tax  |              |            | 174,524                               |
| 41.        | Change in nonadmitted assets   |              |            |                                       |
| 42.        | Change in liability for reinsurance in unauthorized and certified companies                        |              |            | _                                     |
| 43.        | Change in reserve on account of change in valuation basis, (increase) or decrease                  |              |            |                                       |
| 44.        | Change in asset valuation reserve  |              |            |                                       |
| 45.        | Change in treasury stock   |              |            | 0                                     |
| 46.        | Surplus (contributed to) withdrawn from Separate Accounts during period                            |              |            |                                       |
| 47.        | Other changes in surplus in Separate Accounts Statement  |              |            |                                       |
| 48.        | Change in surplus notes  |              |            |                                       |
| 49.<br>50. | Cumulative effect of changes in accounting principles  |              |            |                                       |
| 50.        | Capital changes: 50.1 Paid in  |              |            |                                       |
|            | 50.2 Transferred from surplus (Stock Dividend)   |              |            |                                       |
|            | 50.3 Transferred to surplus  |              |            |                                       |
| 51.        | Surplus adjustment:  |              | ·····      |                                       |
| •          | 51.1 Paid in   | 0            | ol         | 0                                     |
|            | 51.2 Transferred to capital (Stock Dividend)   |              |            |                                       |
|            | 51.3 Transferred from capital  |              |            |                                       |
|            | 51.4 Change in surplus as a result of reinsurance  |              |            |                                       |
| 52.        | Dividends to stockholders  |              |            |                                       |
|            | Aggregate write-ins for gains and losses in surplus  | (148,631)    | (148,631)  | (594,524                              |
|            | Net change in capital and surplus for the year (Lines 37 through 53)                               | (677,286)    | (125,287)  | 392,202                               |
| 55.        | Capital and surplus, as of statement date (Lines 36 + 54)  | 13,639,306   | 13,799,103 | 14,316,592                            |
|            | DETAILS OF WRITE-INS   | , , , -      | , , -      | , ,                                   |
| 08.301.    | Miscellaneous income   |              | 0 l        | 156,675                               |
|            |  |              |            | , -                                   |
|            |  |              |            |                                       |
| 08.398.    | Summary of remaining write-ins for Line 8.3 from overflow page                                     |              | 0          | 0                                     |
| 08.399.    | Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)                                  | 0            | 0          | 156,675                               |
|            |  |              |            | (8,394,763                            |
| I          |  |              |            |                                       |
|            |  |              |            |                                       |
| 2798.      | Summary of remaining write-ins for Line 27 from overflow page                                      | 0            | 0          | 0                                     |
|            | Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)  | 0            | 0          | (8,394,763                            |
|            |  | (148,631)    | (148,631)  | (594,524                              |
|            | · ·  |              |            |                                       |
| 5302.      |  |              |            |                                       |
| 5303.      |  |              |            |                                       |
| 5303.      | Summary of remaining write-ins for Line 53 from overflow page                                      |              | 0          | 0 (594,524                            |

## **CASH FLOW**

|            | CASH FLOW  |                              |                            |                                      |
|------------|--|------------------------------|----------------------------|--------------------------------------|
|            |  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|            | Cash from Operations   |                              |                            |                                      |
| 1.         | Premiums collected net of reinsurance  | 1,663,009                    | 2,013,823                  | 8,260,806                            |
| 2.         | Net investment income  | 918,535                      | 643,639                    | 2, 138, 474                          |
| 3.         | Miscellaneous income   | 262,423                      | 214,655                    | 1,233,096                            |
| 4.         | Total (Lines 1 to 3)   | 2,843,967                    | 2,872,117                  | 11,632,376                           |
| 5.         | Benefit and loss related payments  | 2,091,919                    | 2,582,478                  | 8,629,490                            |
| 6.         | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts                            | 0                            | 0                          | 0                                    |
| 7.         | Commissions, expenses paid and aggregate write-ins for deductions  | 1,032,210                    | 1,082,624                  | 4,243,304                            |
| 8.         | Dividends paid to policyholders  | 0                            | 0                          | 0                                    |
| 9.         | Federal and foreign income taxes paid (recovered) net of \$ tax on capital                                     |                              |                            |                                      |
|            | gains (losses)   | (286)                        | (949)                      | (361)                                |
| 10.        | Total (Lines 5 through 9)  | 3,123,843                    | 3,664,153                  | 12,872,433                           |
| 11.        | Net cash from operations (Line 4 minus Line 10)  | (279,877)                    | (792,036)                  | (1,240,057)                          |
|            | Cash from Investments  |                              |                            |                                      |
| 12.        | Proceeds from investments sold, matured or repaid:   |                              |                            |                                      |
|            | 12.1 Bonds   | 75,879                       | 1,797,035                  | 3,649,373                            |
|            | 12.2 Stocks  | 0                            | 0                          | 0                                    |
|            | 12.3 Mortgage loans  | 0                            | 0                          | 0                                    |
|            | 12.4 Real estate   | 0                            | 0                          | 0                                    |
|            | 12.5 Other invested assets   | 0                            | 0                          | 47                                   |
|            | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments                                | 0                            | 0                          | 2                                    |
|            | 12.7 Miscellaneous proceeds  | 90,377                       | 5,136                      | 0                                    |
|            | 12.8 Total investment proceeds (Lines 12.1 to 12.7)  | 166,256                      | 1,802,171                  | 3,649,422                            |
| 13.        | Cost of investments acquired (long-term only):   |                              |                            |                                      |
|            | 13.1 Bonds   | 10,830,866                   | 0                          | 1, 109, 819                          |
|            | 13.2 Stocks  | 0                            | 0                          | 0                                    |
|            | 13.3 Mortgage loans  | 0                            | 0                          | 0                                    |
|            | 13.4 Real estate   | 0                            | 0                          | 0                                    |
|            | 13.5 Other invested assets   | 0                            | 0                          | 2,854                                |
|            | 13.6 Miscellaneous applications  | 0                            | (2,588)                    | 9,311                                |
|            | 13.7 Total investments acquired (Lines 13.1 to 13.6)   | 10,830,866                   | (2,588)                    | 1,121,984                            |
| 14.        | Net increase (or decrease) in contract loans and premium notes   | 1,074                        | 6,963                      | (15,784)                             |
| 15.        | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)  | (10,665,684)                 | 1,797,796                  | 2,543,223                            |
|            | Cash from Financing and Miscellaneous Sources  |                              |                            |                                      |
| 16.        | Cash provided (applied):   |                              |                            |                                      |
|            | 16.1 Surplus notes, capital notes  | 0                            | 0                          | 0                                    |
|            | 16.2 Capital and paid in surplus, less treasury stock  |                              | 0                          | 0                                    |
|            | 16.3 Borrowed funds  |                              | 0                          | 0                                    |
|            | 16.4 Net deposits on deposit-type contracts and other insurance liabilities                                    |                              | 0                          | 0                                    |
|            | 16.5 Dividends to stockholders   |                              | 0                          | 0                                    |
|            | 16.6 Other cash provided (applied)   | 7,215,546                    | 15,519                     | 991,228                              |
| 17.        | Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | 7,215,546                    | 15,519                     | 991,228                              |
|            | DECONCILIATION OF CASH CASH FOUNTAINED AND GUODT TERM INVESTMENTS  |                              |                            |                                      |
|            | RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS  | (3,730,015)                  | 1,021,279                  | 2,294,394                            |
| 40         | Not change in each each equivalents and short term investments (1 in 144 in 111 1 in 147 in 147)               |                              | 1 0/1 //9                  |                                      |
| 18.        | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)                | (0,700,010)                  |                            |                                      |
| 18.<br>19. | Cash, cash equivalents and short-term investments:   | 11,290,347                   | 8,995,954                  | 8,995,954                            |

| Note: Supplemental disclosures of cash flow information for non-cash transactions: |  |  |
|--|--|--|
|  |  |  |

## **EXHIBIT 1**

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

|       | DIRECT PREMIUMS AND DEPOSIT-TYPE O                            | 1<br>Current Year | 2<br>Prior Year | 3<br>Prior Year Ended |
|-------|---|-------------------|-----------------|-----------------------|
|       |   | To Date           | To Date         | December 31           |
| 1.    | Industrial life   |                   |                 | 0                     |
| 2.    | Ordinary life insurance                                       | 425,666           | 497,526         | 3,021,233             |
| 3.    | Ordinary individual annuities                                 | 1,725             | 1,225           | 6,900                 |
| 4.    | Credit life (group and individual)                            |                   |                 | 0                     |
| 5.    | Group life insurance  |                   |                 | 0                     |
| 6.    | Group annuities   |                   |                 | 0                     |
| 7.    | A & H - group   |                   |                 | 0                     |
| 8.    | A & H - credit (group and individual)                         |                   |                 | 0                     |
| 9.    | A & H - other   | 2,447,414         | 2,497,295       | 9,646,369             |
| 10.   | Aggregate of all other lines of business                      | 0                 | 0               | 0                     |
| 11.   | Subtotal (Lines 1 through 10)                                 | 2,874,805         | 2,996,046       | 12,674,502            |
| 12.   | Fraternal (Fraternal Benefit Societies Only)                  |                   |                 | 0                     |
| 13.   | Subtotal (Lines 11 through 12)                                | 2,874,805         | 2,996,046       | 12,674,502            |
| 14.   | Deposit-type contracts  | 0                 | 0               | 0                     |
| 15.   | Total (Lines 13 and 14)                                       | 2,874,805         | 2,996,046       | 12,674,502            |
|       | DETAILS OF WRITE-INS  |                   |                 |                       |
| 1001. |   |                   |                 |                       |
| 1002. |   |                   |                 |                       |
| 1003. |   |                   |                 |                       |
| 1098. | Summary of remaining write-ins for Line 10 from overflow page | 0                 | 0               | 0                     |
| 1099. | Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)     | 0                 | 0               | 0                     |

#### 1. Accounting Policies

A. The accompanying financial statements of the Nassau Life Insurance Company of Kansas ("NKS" or "the Company") have been prepared in conformity with accounting practices prescribed or permitted by the State of Kansas Department of Insurance ("the Department).

For determining the Company's solvency under the State of Kansas's insurance laws and regulations, the Department recognizes only statutory accounting practices prescribed or permitted by the State for determining and reporting the financial condition and results of operations of the Company. The State of Kansas has adopted the National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures* manual, version effective January 1, 2001, (NAIC SAP) as a component of practices prescribed or permitted by the State of Kansas. The Insurance Commissioner has the authority to prescribe or permit other specific practices that deviate from prescribed practices. As of March 31, 2022, the Commissioner had not prescribed or permitted the Company to use any accounting practices that would result in the Company's earnings or financial position to deviate materially from NAIC SAP.

|  |        | F/S    | F/S    | March 31,     | December 31, |
|--|--------|--------|--------|---------------|--------------|
|  | SSAP # | # Page | Line # | <u>2022</u>   | <u>2021</u>  |
| NET INCOME   |        |        |        |               |              |
| (1) Kansas state basis (Page 4, Line 35, Columns 1 & 2)                      | XXX    | XXX    | XXX \$ | (493,929) \$  | 1,564,604    |
| (2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP |        |        |        | =             | -            |
| (3) State Permitted Practices that are an increase/(decrease) from NAIC SAP  |        |        | _      | <u> </u>      | -            |
| (4) NAIC SAP (1-2-3=4)   | XXX    | XXX    | XXX \$ | (493,929) \$  | 1,564,604    |
|  |        |        |        |               |              |
| SURPLUS  |        |        |        |               |              |
| (5) Kansas state basis (Page 3, Line 38, Columns 1 & 2)                      | XXX    | XXX    | XXX \$ | 13,639,306 \$ | 14,316,592   |
| (6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP |        |        |        | =             | -            |
| (7) State Permitted Practices that are an increase/(decrease) from NAIC SAP  |        |        | _      | <u> </u>      | -            |
| (8) NAJC SAP (5-6-7=8)   | XXX    | XXX    | XXX \$ | 13,639,306 \$ | 14,316,592   |

B. Use of Estimates in the Preparation of the Financial Statements

No material changes.

- C. Accounting Policy
  - 1. No change
  - 2. Bonds generally are stated at amortized cost, except for bonds that are rated by the NAIC as a class 6 which are reported at the lower of amortized cost or fair market value. Amortization is calculated using the scientific constant yield to worst method.
  - 3. No change.
  - 4. No change
  - 5. No change
  - 6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The Company applies the retrospective method of valuing loan-backed and asset backed securities.
  - 7. No change
  - 8. No change
  - 9. No change
  - 10. No change
  - 11. No change
  - 12. No change
  - 13. No change
- D. Going Concern

Management has evaluated the Company's ability to continue as a going concern and concluded that there is not substantial doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Correction of Errors

No material changes

3. Business Combinations and Goodwill

No material changes

4. Discontinued Operations

No material changes

5. Investments

A-C. Not applicable

#### D. Loan-Backed Securities:

- 1. Prepayment assumptions for loan-backed securities were obtained from industry prepayment models or internal estimates. These assumptions are consistent with current interest rates and the economic environment.
- 2. The Company had no impairments based on the intent to sell or inability or lack of intent to retain the investment for a period of time sufficient to recover the amortized cost basis.
- 3. The Company had no OTTI for loan-backed securities held as of March 31, 2022, recognized because the present value of cash flows expected to be collected is less than the amortized cost basis of the securities.
- 4. Impaired securities for which an OTTI has not been recognized:
  - $a. \ \, The \ aggregate \ amount \ of unrealized \ losses$

 1. Less than 12 months
 \$ 38,610

 2. 12 months or longer
 \$ 1,020,803

b. The aggregate related fair value of securities with unrealized losses

 1. Less than 12 months
 \$ 937,373

 2. 12 months or longer
 \$ 4,515,255

- 5. All loan-backed and structured securities in an unrealized loss position were reviewed to determine whether an other-than-temporary impairment should be recognized. For securities whose present value of cash flows expected to be collected was less than the amortized cost basis of the security at March 31, 2022, an other-than-temporary impairment was recognized. The Company has evaluated its cash flow requirements and believes that its liquidity is adequate, and it will not be required to sell other securities before recovery of their cost basis. As of March 31, 2022, the Company can assert that it has the intent and believes that it has the ability to hold these securities long enough to allow the cost basis of these securities to be recovered. The conclusions are supported by a detailed analysis of the underlying credit and cash flows on each security. It is possible that the Company could recognize other-than-temporary impairment in the future on some of the securities held at March 31, 2022, if future events, information and the passage of time cause it to conclude that declines in value are other-than-temporary.
- E-I. The Company has no investments in repurchase agreements or securities lending transaction.
- J-R. No material changes
- 6. Joint Ventures, Partnerships and Limited Liability Companies

No material changes

7. Investment Income

No material changes

- 8. The Company has no investments in derivatives
- 9. Income Taxes

No material changes

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-O. No material changes

11. Debt

Not applicable

- 12. Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences and Other Postretirement Benefit Plans
  - A, B, C, D. For purposes of statutory accounting, the Company is not directly liable for a defined benefit plan.
  - E-I. Not applicable.
- 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No material changes

14. Contingencies

A-F. No material changes

15. Leases

The Company has no lease obligations.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
  - A. The Company did not have any transfers of receivables reported as sales.
  - B. The Company did not have any transfers and servicing of financial assets.
  - C. The Company did not have any wash sales.
- 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans No material changes
- 19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No material changes

- 20. Fair Value Measurements
  - A. The tables below provide information about the Company's use of fair value measurements.

| Description for each class of asset or liability | (1 | Level 1) |     | (Level 2) |      | (Level 3) |    | Net Asset<br>Value<br>(NAV) | Total     |
|--|----|----------|-----|-----------|------|-----------|----|-----------------------------|-----------|
| a. Assets at fair value                          |    |          |     |           |      |           |    |                             |           |
| Cash Equivalent                                  |    |          |     |           |      |           |    |                             |           |
| Other Mutal Fund                                 | \$ | -        | \$_ | -         | \$   | -         | \$ | \$                          | -         |
| Total Cash Equivalent                            |    | -        |     | -         |      | -         |    | -                           | 1         |
| Bonds Stocks                                     |    |          |     |           |      |           |    |                             |           |
| Industrial & Misc.                               | \$ | -        | \$_ | -         | \$   | 19,302    | \$ | \$                          | 19,302    |
| Total Bonds                                      |    | -        |     | -         |      | 19,302    |    | -                           | 19,30     |
| Preferred Stocks                                 |    |          |     |           |      |           |    |                             |           |
| Industrial & Misc.                               | \$ | -        | \$_ | 2,425,760 | \$   | -         | \$ | \$                          | 2,425,760 |
| Total Preferred Stocks                           |    | -        |     | 2,425,760 |      | =         | _  | =                           | 2,425,76  |
| Separate account assets                          |    | -        |     | -         |      | -         |    | -                           | -         |
| Total assets at fair value/NAV                   | \$ | -        | \$  | 2,425,760 | \$ _ | 19,302    | \$ | <u> </u>                    | 2,445,062 |
| b. Liabilities at fair value                     |    |          |     | -         |      |           | _  |                             | -         |
| Total liabilities at fair value                  | \$ | -        | \$  | -         | S    | _         | \$ | - S                         | -         |

B. The Company has no other fair value disclosures

C. Below is a listing of the aggregate fair value for all financial instruments and the level within the fair value hierarchy

| Type of Financial Instrument Assets                     | Aggre | gate Fair Value        | I  | Admitted Assets        | (Level 1)       | (Level 2)              | (Level 3)       | Ne | t Asset Value<br>(NAV) | Not Prac<br>(Carrying |   |
|---|-------|------------------------|----|------------------------|-----------------|------------------------|-----------------|----|------------------------|-----------------------|---|
| Bonds   | \$    | 65,127,287             | \$ | 64,520,733             | \$              | \$<br>58,606,172       | \$<br>6,521,115 | \$ | -                      | S                     | - |
| Cash, Short Term & Cash Equivalents<br>Preferred Stocks |       | 7,560,332<br>2,425,760 |    | 7,560,332<br>2,425,760 | 2,481,245<br>-  | 5,079,087<br>2,425,760 | -               |    |                        |                       | - |
| Total Assets  | \$    | 75,113,379             | \$ | 74,506,825             | \$<br>2,481,245 | \$<br>66,111,019       | \$<br>6,521,115 | \$ | -                      | \$                    | - |

- D. As of March 31, 2022, the Company had no investments where it is not practicable to estimate fair value.
- E. The Company did not use the NAV practical expedient pursuant to SSAP No. 110R—Fair Value.

#### 21. Other Items

A-I. No material changes

#### 22. Events Subsequent

The Company has evaluated events subsequent to March 31, 2022, and through the financial statement issuance date of May 13, 2022. It has been determined that there have been no events that have occurred that would require adjustments to our financial statements.

#### 23. Reinsurance

No material changes

#### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

The Company did not report any retrospectively rated contracts or contracts subject to redetermination.

25. Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2021, were \$12.5 million as of March 31, 2022, \$1.4 million has been paid for incurred losses attributable to insured events of prior years. Reserves remaining for prior years are now \$11.1 million as a result of unpaid claims principally on the Guaranteed Renewable line of business. Therefore, there has been \$0.06 million favorable prior year development since December 31, 2021. The difference in reserve adequacy is primarily due to a ceded coinsurance treaty and interested accrued on certain long duration reserves.

## 26. Intercompany Pooling Arrangements

No change

#### 27. Structured Settlements

No change

#### 28. Health Care Receivables

No change

#### 29. Participating Policies

No material changes

#### 30. Premium Deficiency Reserves

No material changes

#### 31. Reserves for Life Contracts and Deposit-Type Contracts

No material changes

#### 32. Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

No material changes

#### 33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No material changes

34. Premium and Annuity Considerations Deferred and Uncollected

No material changes

- 35. Separate Accounts the company has no separate accounts.
- 36. Loss/Claim Adjustment Expenses

No material changes

## **GENERAL INTERROGATORIES**

## PART 1 - COMMON INTERROGATORIES

## **GENERAL**

| 1.1 | Did the reporting entity experience any material transactions requiring Domicile, as required by the Model Act?  |   |                                 |                |           | Yes [ ]   | No [ X ]  |     |
|-----|--|---|---------------------------------|----------------|-----------|-----------|-----------|-----|
| 1.2 | If yes, has the report been filed with the domiciliary state?  |   |                                 |                |           | Yes [ ]   | No [ ]    |     |
| 2.1 | Has any change been made during the year of this statement in the creporting entity?   |   |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 2.2 | If yes, date of change:  |   |                                 |                |           |           |           |     |
| 3.1 | Is the reporting entity a member of an Insurance Holding Company S is an insurer?  If yes, complete Schedule Y, Parts 1 and 1A.  |   |                                 |                |           | Yes [ X ] | No [ ]    |     |
| 3.2 | Have there been any substantial changes in the organizational chart  | since the prior quarter end?  |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 3.3 | If the response to 3.2 is yes, provide a brief description of those chan   | ges.  |                                 |                |           |           |           |     |
| 3.4 | Is the reporting entity publicly traded or a member of a publicly traded   | l group?  |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 3.5 | If the response to 3.4 is yes, provide the CIK (Central Index Key) cod   | e issued by the SEC for the entity/group  |                                 |                |           |           |           |     |
| 4.1 | Has the reporting entity been a party to a merger or consolidation dur   | ring the period covered by this statement?  |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 4.2 | If yes, provide the name of the entity, NAIC Company Code, and state ceased to exist as a result of the merger or consolidation.   | e of domicile (use two letter state abbreviation)   | for any entity                  | that has       | 3         |           |           |     |
|     | 1<br>Name of Entity  | NAIC Company Code Sta   | 3<br>te of Domicil              | e              |           |           |           |     |
| 5.  | If the reporting entity is subject to a management agreement, includir in-fact, or similar agreement, have there been any significant change If yes, attach an explanation.                                      | ng third-party administrator(s), managing geners<br>s regarding the terms of the agreement or princ | al agent(s), a<br>ipals involve | ttorney-<br>d? | Yes [     | ] No [ )  | ( ] N/A [ | :   |
| 6.1 | State as of what date the latest financial examination of the reporting  | entity was made or is being made  |                                 |                | <u> </u>  | 12/3      | 1/2019    |     |
| 6.2 | State the as of date that the latest financial examination report becan date should be the date of the examined balance sheet and not the date of the examined balance sheet and not the date.                   |   |                                 |                |           | 12/3      | 1/2019    |     |
| 6.3 | State as of what date the latest financial examination report became the reporting entity. This is the release date or completion date of the date).   | examination report and not the date of the exa  | mination (ba                    | lance sh       | eet       | 06/2      | 5/2021    |     |
| 6.4 | By what department or departments? Kansas Insurance Department   |   |                                 |                |           |           |           |     |
| 6.5 | Have all financial statement adjustments within the latest financial ex statement filed with Departments?  | amination report been accounted for in a subse  | quent financ                    | ial            | Yes [     | ] No [    | ] N/A [   | Х   |
| 6.6 | Have all of the recommendations within the latest financial examination  | on report been complied with?   |                                 |                | Yes [     | ] No [    | ] N/A [   | X : |
| 7.1 | Has this reporting entity had any Certificates of Authority, licenses or revoked by any governmental entity during the reporting period?   |   |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 7.2 | If yes, give full information:   |   |                                 |                |           |           |           |     |
| 8.1 | Is the company a subsidiary of a bank holding company regulated by   | the Federal Reserve Board?  |                                 |                |           | Yes [ ]   | No [ X ]  |     |
| 8.2 | If response to 8.1 is yes, please identify the name of the bank holding  | g company.  |                                 |                |           |           |           |     |
| 8.3 | Is the company affiliated with one or more banks, thrifts or securities  | firms?  |                                 |                |           | Yes [ X ] | No [ ]    |     |
| 8.4 | If response to 8.3 is yes, please provide below the names and location regulatory services agency [i.e. the Federal Reserve Board (FRB), the Insurance Corporation (FDIC) and the Securities Exchange Commission | e Office of the Comptroller of the Currency (OC   | C), the Fede                    | ral Depo       |           |           |           |     |
|     | 1<br>Affiliata Nama  | 2<br>Location (City, State)   | 3<br>FRB                        | 4<br>OCC       | 5<br>FDIC | 6<br>SEC  |           |     |
|     | Affiliate Name 1851 Securities, Inc.   | Hartford, CT  | NO                              | N0             | N0        | SECYES    |           |     |
|     | Nassau Alternative Investments LLC   | Hartford, CT  | NO                              | NO             | NO        | YES       |           |     |

| 1                                  | 2                      | 3   | 4   | 5    | 6   |
|------------------------------------|------------------------|-----|-----|------|-----|
| Affiliate Name                     | Location (City, State) | FRB | OCC | FDIC | SEC |
| 1851 Securities, Inc.              | Hartford, CT           | NO  | N0  | NO   | YES |
| Nassau Alternative Investments LLC | Hartford, CT           | NO  | NO  | NO   | YES |
| Nassau Corporate Credit LLC        | Darien, CT             | NO  | NO  | NO   | YES |
| Nassau Private Credit LLC          | Darien, CT             | NO  | NO  | NO   | YES |
| Nassau Private Credit GP LLC       | Darien, CT             | NO  | NO  | NO   | YES |
| NCC CLO Manager LLC                | Darien, CT             | N0  | NO  | NO   | YES |
|                                    |                        |     |     |      | i l |

## **GENERAL INTERROGATORIES**

| 9.1          | Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? |                     | Yes [  | X ] No [ ]           |
|--------------|--|---------------------|--------|----------------------|
|              | (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reportir  | g entity;           |        |                      |
|              | (c) Compliance with applicable governmental laws, rules and regulations;   |                     |        |                      |
|              | <ul><li>(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and</li><li>(e) Accountability for adherence to the code.</li></ul>  |                     |        |                      |
| 9.11         | If the response to 9.1 is No, please explain:  |                     |        |                      |
| 9.2          | Has the code of ethics for senior managers been amended?   |                     | Yes [  | ] No [ X ]           |
| 9.21         | If the response to 9.2 is Yes, provide information related to amendment(s).  |                     |        |                      |
| 9.3          | Have any provisions of the code of ethics been waived for any of the specified officers?   |                     | Yes [  | ] No [ X ]           |
| 9.31         | If the response to 9.3 is Yes, provide the nature of any waiver(s).  |                     |        |                      |
|              | FINANCIAL  |                     |        |                      |
| 10.1<br>10.2 | Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? If yes, indicate any amounts receivable from parent included in the Page 2 amount:                                       |                     |        |                      |
|              | INVESTMENT   |                     |        |                      |
| 11.1         | Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or other   |                     |        |                      |
| 11.2         | use by another person? (Exclude securities under securities lending agreements.)  If yes, give full and complete information relating thereto:   |                     | Yes [  | ] No [ X ]           |
| 12.<br>13.   | Amount of real estate and mortgages held in other invested assets in Schedule BA:  Amount of real estate and mortgages held in short-term investments:   |                     |        |                      |
| 14.1<br>14.2 | Does the reporting entity have any investments in parent, subsidiaries and affiliates?  If yes, please complete the following:   |                     |        |                      |
|              |  | 1<br>Prior Year-End |        | 2<br>Current Quarter |
|              |  | Book/Adjusted       |        | Book/Adjusted        |
|              |  | Carrying Value      |        | Carrying Value       |
|              | Bonds \$   |                     |        | 2, 178,882           |
|              | Preferred Stock \$ Common Stock \$   |                     |        |                      |
|              | Short-Term Investments \$  |                     |        |                      |
|              | Mortgage Loans on Real Estate  |                     |        |                      |
|              | All Other  |                     |        |                      |
|              | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)  |                     |        | 2,178,882            |
|              | Total Investment in Parent included in Lines 14.21 to 14.26 above\$  |                     |        |                      |
| 15.1         |  |                     |        |                      |
| 15.2         | If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?   | Yes                 | [ ] No | [ ] N/A [ X ]        |
| 16.          | For the reporting entity's security lending program, state the amount of the following as of the current statement date  |                     |        |                      |
|              | 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2   |                     |        |                      |
|              | 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Pa  |                     |        |                      |
|              | 16.3. Total payable for securities lending reported on the liability page  |                     | \$     | 0                    |

## **GENERAL INTERROGATORIES**

| 17.<br>17.1  | offices, vaults or safety<br>custodial agreement w<br>Outsourcing of Critical  | y deposit boxes, v<br>vith a qualified bar<br>Functions, Custo  | Special Deposits, real estate, movere all stocks, bonds and other senk or trust company in accordance adial or Safekeeping Agreements or requirements of the NAIC Financi  | ecurities,<br>e with Se<br>of the NA         | owned throustion 1, III - IC Financial                          | ughout the co<br>General Exa<br>Condition E    | urrent year<br>mination C<br>xaminers F | held pursuant to a onsiderations, F. landbook? | Yes | [ X          | ] No             | [ ]     |
|--------------|--|---|--|--|---|--|---|--|-----|--------------|------------------|---------|
|              |  | 1<br>Name of Cust   | todian(s)  |  |   | Cust   | 2<br>odian Addr                         | ess  |     |              |                  |         |
|              | JP Morgan Chase<br>US Bank Institutiona  | I Trust & Custod  | y  | 4 New Yo<br>50 S 16                          | ork Plaza,<br>th St., Sui                                       | 12th Floor,<br>te 2500 Phil                    | New York,<br>ladelphia,                 | NY 10004-2413<br>PA 19102                      |     |              |                  |         |
| 17.2         | For all agreements that location and a comple  |   | vith the requirements of the NAIC I  | Financial                                    | Condition E   | Examiners Ha                                   | andbook, p                              | rovide the name,                               |     |              |                  |         |
|              | 1<br>Name(   | s)  | 2<br>Location(s)   |  |   | Comp   | 3<br>plete Expla                        | nation(s)                                      |     |              |                  |         |
| 17.3<br>17.4 | Have there been any of lf yes, give full information   | •   | g name changes, in the custodian(  | s) identifi                                  | ied in 17.1 d   | luring the cu                                  | rrent quarte                            | er?  | Yes | [ ]          | No [             | [ X ]   |
|              | 1<br>Old Custo   | odian   | 2<br>New Custodian   |  | 3<br>Date of Cha  | ange   |   | 4<br>Reason                                    |     |              |                  |         |
| 17.5         | make investment deci   | sions on behalf of<br>ccess to the inves  | ovestment advisors, investment ma<br>if the reporting entity. For assets the<br>tment accounts"; "handle securi  | at are ma                                    | anaged inter  |  |   |  |     |              |                  |         |
|              |  |   | 1<br>n or Individual   |  | 2<br>Affiliation  |  |   |  |     |              |                  |         |
|              |  |   | n or Individual  |  |   |  |   |  |     |              |                  |         |
|              | 17.5097 For those firm designated w  | ns/individuals liste  | ed in the table for Question 17.5, do  | o any firn                                   | ns/individua  | ls unaffiliated<br>s?                          | d with the re                           | eporting entity (i.e.                          | Yes | ]            | ] No             | [ X ]   |
|              | 17.5098 For firms/indi<br>total assets u   | viduals unaffiliate<br>nder managemer   | d with the reporting entity (i.e. desint aggregate to more than 50% of t   | ignated w                                    | vith a "U") lis<br>ting entity's                                | sted in the tal                                | ble for Que                             | stion 17.5, does the                           | Yes | [            | ] No             | [ X ]   |
| 17.6         | For those firms or inditable below.  | viduals listed in th  | e table for 17.5 with an affiliation of  | code of "A                                   | A" (affiliated  | ) or "U" (una                                  | ffiliated), pr                          | ovide the information for t                    | the |              |                  |         |
|              | 1  |   | 2  |  |   | 3  |   | 4  |     |              | 5<br>stmer       |         |
|              | Central Registration<br>Depository Number  |   | Name of Firm or Individual   |  | Lega  | al Entity Ident                                | tifier (LEI)                            | Registered With                                |     | Agre<br>(IMA | eemer<br>A) File | nt<br>d |
|              | CRD # 281510   |   | nagement Co<br>dvisors   |  |   |  |   | SEC  |     | NO           |                  |         |
| 18.1<br>18.2 | Have all the filing requ<br>If no, list exceptions:  | irements of the P   | urposes and Procedures Manual c  | of the NA                                    |   |  |   | followed?                                      |     |              |                  |         |
| 19.          | a. Documentation security is not a b. Issuer or obligo c. The insurer has  | necessary to per<br>available.<br>r is current on all<br>an actual expect                                       | eporting entity is certifying the follo<br>mit a full credit analysis of the secu<br>contracted interest and principal p<br>ation of ultimate payment of all con<br>5GI securities?                                  | urity does<br>ayments<br>ntracted i          | s not exist o<br>interest and                                   | r an NAIC Cl                                   | RP credit ra                            | ating for an FE or PL                          | Yes | [            | ] No             | [ X ]   |
| 20.          | a. The security was     b. The reporting er     c. The NAIC Desig     on a current priv     d. The reporting er  | s purchased prior<br>ntity is holding cap<br>nation was derive<br>rate letter rating h<br>ntity is not permitte | reporting entity is certifying the fol to January 1, 2018. bital commensurate with the NAIC and from the credit rating assigned to the insurer and available for to share this credit rating of the PLGI securities? | Designat<br>by an NA<br>r examina<br>PL secu | tion reported<br>IC CRP in it<br>ation by stat<br>rity with the | I for the secus legal capace insurance is SVO. | urity.<br>city as a NF<br>regulators.   | RSRO which is shown                            | Yes | 1            | 1 No             | [X]     |
| 21.          | By assigning FE to a S   | -   | registered private fund, the reporti   |  |   |  |   |  |     |              |                  |         |
|              | <ul> <li>b. The reporting er</li> <li>c. The security had<br/>January 1, 2019</li> <li>d. The fund only or</li> <li>e. The current reporting in its legal capar</li> </ul> | ntity is holding cap<br>d a public credit ra<br>predominantly ho<br>orted NAIC Design<br>city as an NRSRC       |  | ssigned b                                    | y an NAIC (   | CRP in its leg                                 | gal capacity                            | ·  |     |              |                  |         |
|              |  |   | nual surveillance assigned by an N<br>Schedule BA non-registered priva   |  |   |  | oove criteria                           | a?   | Yes | ]            | ] No             | [ X ]   |

## **GENERAL INTERROGATORIES**

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

| Life and       | Accident Health Companies/Fraternal Benefit Societies:  Report the statement value of mortgage loans at the end of this reporting period for the following categories:  | 1<br>Amount      |   |
|----------------|---|------------------|---|
|                | 1.1 Long-Term Mortgages In Good Standing  | Amount           |   |
|                | 1.11 Farm Mortgages   | \$               |   |
|                | 1.12 Residential Mortgages  | \$               |   |
|                | 1.13 Commercial Mortgages   | \$               |   |
|                | 1.14 Total Mortgages in Good Standing   | \$               | 0 |
|                | 1.2 Long-Term Mortgages In Good Standing with Restructured Terms  |                  |   |
|                | 1.21 Total Mortgages in Good Standing with Restructured Terms   | \$               |   |
|                | 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months  |                  |   |
|                | 1.31 Farm Mortgages   | \$               |   |
|                | 1.32 Residential Mortgages  | \$               |   |
|                | 1.33 Commercial Mortgages   | \$               |   |
|                | 1.34 Total Mortgages with Interest Overdue more than Three Months   | \$               | 0 |
|                | 1.4 Long-Term Mortgage Loans in Process of Foreclosure  |                  |   |
|                | 1.41 Farm Mortgages   | \$               |   |
|                | 1.42 Residential Mortgages  | \$               |   |
|                | 1.43 Commercial Mortgages   | \$               |   |
|                | 1.44 Total Mortgages in Process of Foreclosure  | \$               | 0 |
| 1.5            | Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)  | \$               | 0 |
| 1.6            | Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter  |                  |   |
|                | 1.61 Farm Mortgages   | \$               |   |
|                | 1.62 Residential Mortgages  | \$               |   |
|                | 1.63 Commercial Mortgages   | \$               |   |
|                | 1.64 Total Mortgages Foreclosed and Transferred to Real Estate  | \$               | 0 |
| 2.             | Operating Percentages:  |                  |   |
|                | 2.1 A&H loss percent  |                  | % |
|                | 2.2 A&H cost containment percent  |                  | % |
|                | 2.3 A&H expense percent excluding cost containment expenses   |                  | % |
| 3.1            | Do you act as a custodian for health savings accounts?  |                  |   |
| 3.2            | If yes, please provide the amount of custodial funds held as of the reporting date  | \$               |   |
| 3.3            | Do you act as an administrator for health savings accounts?   | Yes [ ] No [ X ] |   |
| 3.4            | If yes, please provide the balance of the funds administered as of the reporting date   | \$               |   |
| 4.             | Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?  | Yes [ X ] No [ ] |   |
| 4.1            | If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?   | Yes [ ] No [ ]   |   |
| Fratern<br>5.1 | al Benefit Societies Only:  In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? |                  | ] |
| 5.2            | If no, explain:   |                  |   |
| 6.1            | Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?  |                  |   |
| 6.2            | If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?   |                  |   |

| מם | Outstanding Lien Amount |
|----|-------------------------|
|    |                         |
|    |                         |
|    |                         |

# STATEMENT AS OF MARCH 31, 2022 OF THE Nassau Life Insurance Company of Kansas SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| Showing All New Reinsurance Treaties - Current Year to Date  1 2 3 4 5 6 7 8 9 |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|--|---------------------------------------|--|---------------------------------------|---------------------------------|------------------------------|--------------------|--------------------------------|---------------------------------------|--|--|--|--|
| 1  | 2                                     | 3 4                                    | 5                                     | 6                               | 7                            | 8                  | 9<br>Certified                 | 10<br>Effective<br>Date of            |  |  |  |  |
| NAIC<br>Company<br>Code  | ID<br>Number                          | Effective Date Name of Reinsurer       | Domiciliary<br>Jurisdiction           | Type of<br>Reinsurance<br>Ceded | Type of<br>Business<br>Ceded | Type of Reinsurer  | Reinsurer Rating (1 through 6) | Certified<br>Reinsurer<br>Rating      |  |  |  |  |
| Code   | Nullibei                              | Date Name of Reinsurer                 | Julisuiction                          | Ceded                           | Ceded                        | Type of Refilsurer | (1 tillough 6)                 | Rating                                |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       | -                               |                              |                    | ļ                              |                                       |  |  |  |  |
| <b> </b>   |                                       |  |                                       | +                               |                              |                    | }                              |                                       |  |  |  |  |
| <u> </u>   |                                       |  |                                       | 1                               |                              |                    | <u> </u>                       |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  | · · · · · · · · · · · · · · · · · · · |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  | /                                     |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  | · · · · · · · · · · · · · · · · · · · |  | / i                                   |                                 | <u> </u>                     |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
| ····   |                                       |  |                                       | -                               |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                | · · · · · · · · · · · · · · · · · · · |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  | ·····                                 |  |                                       |                                 |                              |                    | ····                           |                                       |  |  |  |  |
|  |                                       | ······································ |                                       | ·                               |                              |                    | ····                           |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  | <del>-</del>                          |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  | ·····                                 |  |                                       | -                               |                              |                    | ····                           |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                |                                       |  |  |  |  |
| ļ  |                                       |  |                                       |                                 |                              |                    | ļ                              |                                       |  |  |  |  |
|  |                                       |  |                                       |                                 |                              |                    |                                | ,                                     |  |  |  |  |
|  | ·····                                 |  |                                       |                                 |                              |                    | ····                           |                                       |  |  |  |  |
|  |                                       | <u> </u>                               |                                       | 1                               | L                            |                    | 1                              |                                       |  |  |  |  |

#### **SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

|                |   | Curi     | rent rear     | TO Date - Alloca                    | ated by States a          |                               | iness Only              |                        |                           |
|----------------|---|----------|---------------|-------------------------------------|---------------------------|-------------------------------|-------------------------|------------------------|---------------------------|
|                |   |          | 1             |                                     | ntracts                   | 4                             | 5                       | 6                      | 7                         |
|                |   |          |               | 2                                   | 3                         | Accident and                  |                         |                        |                           |
|                |   |          |               |                                     |                           | Health Insurance<br>Premiums, |                         |                        |                           |
|                |   |          | Active        |                                     |                           | Including Policy,             | 0.11                    | Total                  |                           |
|                | States, Etc.  |          | Status<br>(a) | Life Insurance<br>Premiums          | Annuity<br>Considerations | Membership and Other Fees     | Other<br>Considerations | Columns<br>2 Through 5 | Deposit-Type<br>Contracts |
| 1.             |   | ΔL       | L             | 5,835                               | Considerations            | 3, 154                        | Considerations          | 8.989                  | Contracts                 |
| 2.             | Alaska  | 4K       | N             | 569                                 |                           | 516                           |                         | 1,085                  |                           |
| 3.             | Arizona   | 4Z       | L             | 2,400                               |                           | 7,405                         |                         | 9,805                  |                           |
| 4.             | Arkansas  |          | L             | 36,496                              |                           | 63,642                        |                         | 100 , 138              |                           |
| 5.             | California  |          | Ļ             | 1,407                               |                           | 6,232                         |                         | 7,639                  |                           |
| 6.             |   |          | Ļ             | 7,249                               |                           | 28,549                        |                         | 35,798                 |                           |
| 7.<br>8.       | Connecticut   | CT<br>DE | N             | 118<br>0                            |                           | 50<br>0                       |                         | 168<br>0               |                           |
| 9.             | District of Columbia  |          | LN            | 0                                   |                           | 0                             |                         | 0                      |                           |
| 10.            | -   | 50<br>Fl | I             | 44,435                              |                           | 145,098                       |                         | 189,533                |                           |
| 11.            | Georgia (   | _        | L             | 15.825                              |                           | 24,211                        |                         | 40.036                 |                           |
| 12.            | Hawaii  |          | N             | 0                                   |                           | 0                             |                         | 0                      |                           |
| 13.            | Idaho   |          | L             | (214)                               |                           | 1,340                         |                         | 1, 126                 |                           |
| 14.            | Illinois  |          | L             | 28 , 124                            |                           | 176,317                       |                         | 204,441                |                           |
| 15.            | Indiana   |          | <u>L</u>      | 30,422                              |                           | 208,723                       |                         | 239, 145               |                           |
| 16.            | lowa  |          | L             | (53)                                |                           | 26,537                        |                         | 26,484                 |                           |
| 17.<br>18.     | Kansas Kentucky Kansas  |          | L             | 8,224<br>24,307                     |                           | 8,765<br>29,669               |                         | 16,989<br>53,976       |                           |
| 19.            | Louisiana [   |          | L             | 11.197                              |                           | 11.186                        |                         | 22,383                 |                           |
| 20.            | Maine   |          | N             | 241                                 |                           | 751                           |                         | 992                    |                           |
| 21.            | Maryland  |          | L             | 1,390                               |                           | 3,436                         |                         | 4,826                  |                           |
| 22.            | Massachusetts   |          | L             | 2,331                               |                           | 2, 163                        |                         | 4,494                  |                           |
| 23.            | Michigan  |          | L             | 1,326                               |                           | 15,287                        |                         | 16,613                 |                           |
|                | Minnesota   |          | L             | 799                                 |                           | (4,826)                       |                         | (4,027)                |                           |
| 25.            | Mississippi   |          | Ļ             | 22,594                              |                           | 27,024                        |                         | 49,618                 |                           |
| 26.            | Missouri  |          | L             | 9,910                               |                           | 16,714                        |                         | 26,624                 |                           |
| 27.<br>28.     | Montana Nebraska  |          | L             | (460)<br>7,684                      |                           | 3,789                         |                         | 3,329                  |                           |
| 28.<br>29.     | Nevada  |          | L             |                                     |                           | 27,924<br>8.763               |                         | 35,608                 |                           |
| 30.            | New Hampshire   |          | LN            | 57                                  |                           |                               |                         | 10,794                 |                           |
| 31.            | New Jersey  |          | N             | 600                                 |                           | 1,026                         |                         | 1,626                  |                           |
| 32.            | New Mexico  |          | L             | 666                                 |                           | 1,779                         |                         | 2,445                  |                           |
| 33.            | New York  |          | N             | 438                                 |                           | 2,876                         |                         | 3,314                  |                           |
| 34.            | North Carolina  |          | L             | 30,878                              |                           | 120,092                       |                         | 150,970                |                           |
| 35.            | North Dakota  |          | Ļ             | 227                                 |                           | 4,823                         |                         | 5,050                  |                           |
| 36.            | Ohio  |          | Ļ             | 27,451                              |                           | 74,316                        |                         | 101,767                |                           |
| 37.<br>38.     | Oklahoma (  |          | L             | 26,936<br>8,874                     |                           | 32,406                        |                         | 59,342<br>104.081      |                           |
| 39.            | Pennsylvania F  |          | L             | 1,783                               |                           | 10,275                        |                         | 12,058                 |                           |
| 40.            | Rhode Island  |          | N             | 45                                  |                           | 992                           |                         | 1,037                  |                           |
| 41.            | South Carolina  |          | L             |                                     |                           | 125,612                       |                         | 147,898                |                           |
| 42.            | South Dakota  |          | Ĺ             | 540                                 |                           | 24,630                        |                         | 25, 170                |                           |
| 43.            | Tennessee   | TN       | L             | 21,518                              |                           | 103,934                       |                         | 125,452                |                           |
| 44.            | Texas   |          | L             | 92,029                              |                           | 375,959                       |                         | 467,988                |                           |
| 45.            |   | JT       | L             | 6,429                               |                           | 18,974                        |                         | 25,403                 |                           |
| 46.            | Vermont \   |          |               | 65                                  |                           | 0                             |                         | 65                     |                           |
| 47.<br>48.     | Virginia\ Washington\   |          | L             | 43,631<br>866                       | 1,725                     | 299,054<br>1,726              |                         | 344,410                |                           |
| 49.            | West Virginia   |          | N             | 366                                 |                           | 1,374                         |                         | 1,740                  |                           |
| 50.            | Wisconsin   |          | I             | 2,679                               |                           | 6,799                         |                         | 9,478                  |                           |
| 51.            | Wyoming   |          | Ĺ             | 789                                 |                           | 3,891                         |                         | 4,680                  |                           |
| 52.            | American Samoa  |          | N             | 0                                   |                           |                               |                         | 0                      |                           |
| 53.            | Guam  |          | N             | 0                                   |                           |                               |                         | 0                      |                           |
| 54.            | Puerto Rico   |          | N             | 0                                   |                           |                               |                         | 0                      |                           |
| 55.            | U.S. Virgin Islands   |          | N             | (205)                               |                           |                               |                         | (205)                  |                           |
| 56.            | Northern Mariana Islands  |          | N             |                                     |                           |                               |                         | 0                      |                           |
| 57.<br>58.     | Canada  | -        | N             |                                     |                           |                               | 0                       | 0                      | ^                         |
| 58.<br>59.     | Subtotal  | JI       | XXX           | 0<br>553 , 135                      | 0<br>1.725                | 0<br>2,148,536                | 0                       | 0<br>2,703,396         | 0                         |
| 90.            | Reporting entity contributions for employee ber                         | nefits   |               | , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 1,120                     | 2, 140,000                    |                         | 2,100,090              |                           |
|                | plans   |          | XXX           |                                     |                           |                               |                         | 0                      |                           |
| 91.            | Dividends or refunds applied to purchase paid-                          |          | vvv           |                                     |                           |                               |                         | 0                      |                           |
| 92.            | additions and annuities  Dividends or refunds applied to shorten endown |          | XXX           |                                     |                           |                               |                         | U                      |                           |
|                | or premium paying period  |          | XXX           |                                     |                           |                               |                         | 0                      | ļ <sup> </sup>            |
| 93.            | Premium or annuity considerations waived und                            | ler      | V0.07         | 4 007                               | _                         | 400 404                       |                         | 404 000                |                           |
| 94.            | disability or other contract provisions                                 |          | XXX           | 1,387                               | 0                         | 130 , 481                     | 0                       | 131,868                | ^                         |
| 94.<br>95.     | Totals (Direct Business)  |          | XXX           | 0<br>554,522                        | 0<br>1.725                | 2,279,017                     | 0                       | 0<br>2,835,264         | 0<br>n                    |
| 96.            | Plus Reinsurance Assumed  |          | XXX           |                                     | 1,725                     |                               |                         |                        |                           |
| 97             | Totals (All Business)   |          |               | 554,522                             | 1,725                     | 2,279,017                     | 0                       | 2,835,264              | 0                         |
| 98.            | Less Reinsurance Ceded  |          | XXX           | 420,863                             | 1,725                     | 518,976                       |                         | 941,564                |                           |
| 99.            | Totals (All Business) less Reinsurance Ceded                            |          | XXX           | 133,659                             | 0                         | 1,760,041                     | 0                       | 1,893,700              | 0                         |
|                | DETAILS OF WRITE-INS  |          |               |                                     |                           |                               |                         |                        |                           |
| 58001.         |   |          | XXX           |                                     |                           | ļ                             | <b></b>                 |                        | ļ                         |
| 58002.         |   |          | XXX           |                                     |                           | <b></b>                       | <del> </del>            | ļ                      | <b></b>                   |
| 58003.         | Summary of remaining write ine for Line 70 fee-                         |          | XXX           |                                     | L                         | <u> </u>                      | <del> </del>            |                        |                           |
| J0998.         | Summary of remaining write-ins for Line 58 fror overflow page           |          | XXX           | 0                                   | 0                         | 0                             | 0                       | 0                      | n                         |
| 58999.         | Totals (Lines 58001 through 58003 plus                                  |          |               |                                     |                           |                               | -                       |                        |                           |
| 0.75           | 58998)(Line 58 above)   |          | XXX           | 0                                   | 0                         | 0                             | 0                       | 0                      | 0                         |
| 9401.          |   |          | XXX           |                                     | ļ                         | <b></b>                       | <b></b>                 |                        | <b></b>                   |
| 9402.<br>9403. |   |          | XXX           |                                     | L                         | <u> </u>                      | <del> </del>            |                        |                           |
|                | Summary of remaining write-ins for Line 94 from                         | m        | XXX           |                                     |                           | <b></b>                       | <del> </del>            |                        |                           |
| 5-30.          | overflow page   |          | XXX           | 0                                   | 0                         | 0                             | 0                       | 0                      | 0                         |
| 9499.          | Totals (Lines 9401 through 9403 plus 9498)(Lin                          | ne       |               |                                     |                           |                               |                         |                        |                           |
| (-) ::         | 94 above)   |          | XXX           | 0                                   | 0                         | 0                             | 0                       | 0                      | 0                         |
| (a) Active     | e Status Counts:  |          |               |                                     |                           |                               |                         |                        |                           |

<sup>(</sup>a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....

N - None of the above - Not allowed to write business in the state..... R - Registered - Non-domiciled RRGs......0
Q - Qualified - Qualified or accredited reinsurer......0

<sup>...0</sup> 

<sup>....17</sup> 

The Company utilizes different administrative systems that use Owner's Resident State to allocate all insurance premiums and annuity considerations

# Schedule Y – Information Concerning Activities of Insurer Members of a Holding Company Group Part 1 Organizational Chart

| Names of Parent, Subsidiaries or Affiliates          | Domiciliary<br>Location | NAIC Company<br>Code | ID Number  | Directly Controlled By                      | Ownership<br>Percentage |
|--|-------------------------|----------------------|------------|---|-------------------------|
| David Dominik (sole member of GGCOF Management, LLC) |                         |                      |            |   |                         |
| GGCOF Management, LLC                                | DE                      |                      | 46-4477754 | David Dominik                               | 100                     |
| Golden Gate Private Equity, Inc.                     | DE                      |                      | 94-3361271 | GGCOF Management, LLC                       | 100                     |
| GGC Fund III <sup>1</sup>                            | CYM                     |                      |            |   | 100 <sup>2</sup>        |
| Nassau NAMCO Blocker LLC                             | CYM                     |                      |            | Golden Gate Capital Opportunity Fund-A, L.P | Contract                |
| Nassau NAMCO Splitter, L.P.                          | CYM                     |                      |            | GGC Opportunity Fund Management, L.P.       | Contract                |
| Nassau Saybrus Blocker LLC                           | CYM                     |                      |            | Golden Gate Capital Opportunity Fund-A, L.P | Contract                |
| Nassau Saybrus Splitter, L.P.                        | CYM                     |                      |            | GGC Opportunity Fund Management, L.P.       | Contract                |
| GGCOF Nassau Investments, L.P.                       | CYM                     |                      | 98-1472055 | Golden Gate Capital Opportunity Fund, L.P.  | Contract                |
| GGCOF Executive Co-Invest, L.P.                      | CYM                     |                      |            | Individuals                                 | Contract                |
| GGCOF Executive Co-Invest Holdings, Ltd.             | CYM                     |                      |            | Individuals                                 | Contract                |
| NRH, L.P.  | CYM                     |                      | 98-1250709 | GGCOF Executive Co-Invest Holdings, Ltd.    | Contract                |
| Nassau UK Ltd.                                       | GBR                     |                      | 98-1269725 | NRH, L.P.                                   | 100                     |
| Nassau Cayman Ltd.                                   | CYM                     |                      | N/A        | Nassau UK Ltd.                              | 100                     |
| Nassau Re (Cayman) Ltd.                              | CYM                     |                      | N/A        | NRH, L.P. <sup>3</sup>                      | 100                     |
| Nassau TRF SPV Ltd.                                  | CYM                     |                      | N/A        | Nassau Re (Cayman) Ltd.                     | 100                     |
| Nassau Financial Group GP Ltd.                       | CYM                     |                      | 98-1421610 | Individuals                                 | Contract                |
| Nassau Financial Group, L.P.                         | CYM                     |                      | 98-1421752 | Nassau Financial Group GP Ltd.              | Contract                |
| Nassau Asset Management LLC                          | DE                      |                      | 81-0773412 | Nassau Financial Group, L.P.                | 100                     |
| Nassau CorAmerica LLC                                | DE                      |                      | 26-4145792 | Nassau Asset Management LLC                 | 100                     |
| Nassau CorAmerica Loan Company LLC                   | DE                      |                      | 26-4145792 | Nassau CorAmerica LLC                       | 100                     |
| Nassau CorAmerica Advisors LLC                       | DE                      |                      | 46-3781541 | Nassau CorAmerica LLC                       | 100                     |
| NCA Realty Partners LLC                              | DE                      |                      | 87-3252226 | Nassau CorAmerica LLC                       | 100                     |
| NCA Realty Partners GP I LLC                         | DE                      |                      | 87-4074805 | Nassau CorAmerica LLC                       | 100                     |
| NCA Realty Fund I LP                                 | DE                      |                      | 87-4074125 | NCA Realty Partners GP I LLC                | Contract                |
| NCARP SGP LLC  | DE                      |                      | 87-3327045 | Nassau CorAmerica LLC                       |                         |
| Nassau Corporate Credit LLC                          | DE                      |                      | 35-2586068 | Nassau Asset Management LLC                 | 100                     |

<sup>&</sup>lt;sup>1</sup> "GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.

<sup>&</sup>lt;sup>2</sup> Percentage represents the aggregate ownership among the GGC Fund III entities.

<sup>&</sup>lt;sup>3</sup> Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.

| Names of Parent, Subsidiaries or Affiliates | Domiciliary<br>Location | NAIC Company<br>Code | ID Number  | Directly Controlled By                  | Ownership<br>Percentage |
|---|-------------------------|----------------------|------------|---|-------------------------|
| NCC CLO Manager LLC                         | DE                      |                      | N/A        | Nassau Corporate Credit LLC             | 100                     |
| NCC Management LLC                          | DE                      |                      | 82-4813430 | Nassau Corporate Credit LLC             | 100                     |
| Nassau Private Credit LLC                   | DE                      |                      | 83-2636471 | Nassau Corporate Credit LLC             | 100                     |
| Nassau Private Credit GP LLC                | DE                      |                      | 83-2654128 | Nassau Corporate Credit LLC             | 100                     |
| Nassau Private Credit Onshore Fund LP       | DE                      |                      | 83-2670988 | Nassau Private Credit GP LLC            | Contract                |
| Nassau Private Credit Master Fund LP        | CYM                     |                      | N/A        | Nassau Private Credit GP LLC            | Contract                |
| Nassau Private Credit Offshore Fund LP      | CYM                     |                      | 98-1476251 | Nassau Private Credit GP LLC            | Contract                |
| NPC SGP LLC                                 | DE                      |                      | 84-2253380 | Nassau Corporate Credit LLC             |                         |
| Nassau NCC Blocker (UK) Ltd.                | CYM                     |                      |            | Nassau Corporate Credit LLC             | 100                     |
| NCC Management (UK) Ltd.                    | GBR                     |                      |            | Nassau Corporate Credit LLC             | 100                     |
| Nassau Corporate Credit (UK) LLP            | GBR                     |                      |            | Nassau NCC Blocker (UK) Ltd.            | 994                     |
| Nassau Alternative Investments LLC          | DE                      |                      | 83-2559102 | Nassau Asset Management LLC             | 100                     |
| NAMCO Services LLC                          | DE                      |                      | 84-3366256 | Nassau Asset Management LLC             | 100                     |
| Nassau BPC Holdings LLC                     | DE                      |                      | 87-3194014 | Nassau Asset Management LLC             | Contract                |
| Nassau Distribution Holdco, LLC             | DE                      |                      | 87-2341733 | Nassau Financial Group, L.P.            | 100                     |
| NSRE Saybrus Holdings, LLC                  | DE                      |                      | 81-3180396 | Nassau Financial Group, L.P.            | 100                     |
| Nassau Insurance Group Holdings GP, LLC     | DE                      |                      | 47-5299012 | Nassau Financial Group GP Ltd.          | Contract                |
| Nassau Insurance Group Holdings, L.P.       | DE                      |                      | 38-3981572 | Nassau Insurance Group Holdings GP, LLC | Contract                |
| Nassau Re/Imagine LLC                       | DE                      |                      |            | Nassau Insurance Group Holdings, L.P.   | 100                     |
| The Nassau Companies                        | DE                      |                      | 47-5128985 | Nassau Insurance Group Holdings, L.P.   | 100                     |
| Nassau Life and Annuity Company             | СТ                      | 93734                | 43-1240953 | The Nassau Companies                    | 100                     |
| Lynbrook Re, Inc.                           | VT                      | 16585                | 83-4356685 | Nassau Life and Annuity Company         | 100                     |
| Nassau Life Insurance Company of Kansas     | KS                      | 68284                | 48-0557726 | Nassau Life and Annuity Company         | 100                     |
| Sunrise Re, Inc.                            | VT                      |                      | 84-3945103 | The Nassau Companies                    | 100                     |
| The Nassau Companies of New York            | DE                      |                      | 06-1599088 | The Nassau Companies                    | 100                     |
| Nassau CLO SPV-I LLC                        | DE                      |                      | 82-3502154 | The Nassau Companies of New York        | 56 <sup>5</sup>         |
| Nassau CLO SPV-II LLC                       | DE                      |                      | 83-1785900 | The Nassau Companies of New York        | 54.346                  |
| Nassau Life Insurance Company               | NY                      | 67814                | 06-0493340 | The Nassau Companies of New York        | 100                     |
| PM Holdings, Inc.                           | СТ                      |                      | 06-1065485 | Nassau Life Insurance Company           | 100                     |
| Phoenix Founders, Inc.                      | СТ                      |                      | 06-0860167 | PM Holdings, Inc.                       | 100                     |
| Nassau 2019 CFO LLC                         | DE                      |                      | 84-2346847 | Nassau Life Insurance Company           | 76.73 <sup>7</sup>      |
| Nassau 2019 CFO Fund LLC                    | DE                      |                      | 83-2511417 | Nassau 2019 CFO LLC                     | 100                     |
| Foresters Financial Holding Company, Inc.   | DE                      |                      | 13-2608329 | Nassau Life Insurance Company           | 100                     |

NCC Management (UK) Ltd. owns 1%
 Current and former employees of The Nassau Companies of New York and its affiliates own 40.25%.
 Current and former employees of The Nassau Companies of New York and its affiliates own 42.88%.
 PHL Variable Insurance Company and an employee of The Nassau Companies of New York own 23.27%.

| Names of Parent, Subsidiaries or Affiliates            | Domiciliary<br>Location | NAIC Company<br>Code | ID Number  | Directly Controlled By                                 | Ownership<br>Percentage |
|--|-------------------------|----------------------|------------|--|-------------------------|
| Nassau Reinsurance LLC                                 | DE                      |                      | 47-3724102 | Nassau Insurance Group Holdings, L.P.                  | 100                     |
| NSRE BD Holdco LLC                                     | DE                      |                      | 83-2726475 | Nassau Reinsurance LLC                                 | 100                     |
| 1851 Securities, Inc.                                  | DE                      |                      | 27-1619945 | NSRE BD Holdco LLC                                     | 100                     |
| Nassau Cayman Brac Holding Company                     | DE                      |                      | 83-1443085 | Nassau Insurance Group Holdings, L.P.                  | 100                     |
| GGC Opportunity Fund Management GP, Ltd.               | CYM                     |                      |            | GGC Opportunity Fund Management GP, Ltd.               | Board                   |
| GGC Opportunity Fund Management, L.P.                  | CYM                     |                      | 98-0546997 | GGC Opportunity Fund Management GP, Ltd.               | Contract                |
| GGC Opportunity Fund Entities <sup>8</sup>             | CYM                     |                      |            | GGC Opportunity Fund Management, L.P.                  | Contract                |
| Financial American Holdings Corporation                | DE                      |                      | 27-2822251 | GGC Opportunity Fund Entities <sup>9</sup>             | 87.6 <sup>10</sup>      |
| Williston Holdings LLC                                 | DE                      |                      | 27-1434376 | GGC Opportunity Fund Entities                          | 96.0 <sup>11</sup>      |
| Williston Financial Group LLC                          | DE                      |                      | 27-1434397 | Williston Holdings LLC                                 | 100                     |
| Valutrust Solutions, LLC                               | KS                      |                      | 27-3972891 | Williston Financial Group LLC                          | 100                     |
| WFG Lender Services, LLC                               | MN                      |                      | 20-5836762 | Williston Financial Group LLC                          | 100                     |
| WFG Lender Services, LLC                               | AL                      |                      | 80-0936767 | WFG Lender Services, LLC                               | 100                     |
| WFG Lender Services, LLC                               | AR                      |                      | 80-0766075 | WFG Lender Services, LLC                               | 100                     |
| WFG Lender Services, LLC                               | TX                      |                      | 26-4627021 | WFG Lender Services, LLC                               | 100                     |
| WFGLS Title Agency of Utah, LLC                        | UT                      |                      | 20-8801713 | WFG Lender Services, LLC                               | 100                     |
| WFG Lender Services of Louisiana, LLC                  | LA                      |                      | 86-3788188 | WFG Lender Services, LLC                               | 100                     |
| WFG National Title Company of Texas, LLC               | TX                      |                      | 27-0890944 | Williston Financial Group LLC                          | 100                     |
| Universal Title Partners, LLC                          | TX                      |                      | 27-1273851 | WFG National Title Company of Texas, LLC <sup>12</sup> | 51.0                    |
| Universal Title Partners, LLC                          | TX                      |                      | 27-1273851 | Newmark Homes Houston, LLC <sup>13</sup>               | 49.0                    |
| WFG National Title Insurance Company                   | SC                      | 51152                | 57-0575396 | Williston Financial Group LLC                          | 100                     |
| WFG National Title Company of Washington, LLC          | WA                      |                      | 26-0522240 | WFG National Title Insurance Company                   | 100                     |
| Homer Recording District Joint Title Plant Company LLC | AK                      |                      | 47-2160319 | Kachemak Bay Title Agency, Inc.14                      | 90.0                    |
| Homer Recording District Joint Title Plant Company LLC | AK                      |                      | 47-2160319 | WFG National Title Insurance Company <sup>15</sup>     | 10.0                    |
| Williston Enterprise Solutions & Technology, LLC       | DE                      |                      | 45-2654474 | Williston Financial Group LLC                          | 100                     |
| ITC Holdings, Inc.                                     | CA                      |                      | 80-0268853 | Williston Financial Group LLC                          | 100                     |
| WFG National Title Company of California               | CA                      |                      | 95-3621359 | ITC Holdings, Inc.                                     | 100                     |

<sup>&</sup>lt;sup>8</sup> The GGC Opportunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund-A, L.P. (#98-0546999); (iii) GGCOF Co-Invest, L.P. (#98-0572954); (iv) GGCOF Third Party Co-Invest, L.P. (#98-0572955); (v) GGCOF Executive Co-Invest, L.P. (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).

<sup>&</sup>lt;sup>9</sup> Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.

<sup>&</sup>lt;sup>10</sup> Three individuals own 12.37%. No one individual owns more than 10%.

 $<sup>^{\</sup>rm 11}$  Percentage represents the aggregate ownership among the GGC Opportunity Fund Entities.

<sup>&</sup>lt;sup>12</sup> Newmark Homes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.

<sup>&</sup>lt;sup>13</sup> WFG National Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.

<sup>14</sup> WFG National Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC.

<sup>&</sup>lt;sup>15</sup> Kachemak Bay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.

| Names of Parent, Subsidiaries or Affiliates        | Domiciliary<br>Location | NAIC Company<br>Code | ID Number  | Directly Controlled By                  | Ownership<br>Percentage |
|--|-------------------------|----------------------|------------|---|-------------------------|
| Alliance Title Agency, LLC                         | AZ                      |                      | 85-1213894 | ITC Holdings, Inc.                      | 40%16                   |
| WFG National Title Company of Clark County WA, LLC | WA                      |                      | 38-4008723 | Williston Financial Group LLC           | 100                     |
| 19th Street Palm Harbor LLC                        | DE                      |                      | 32-0642679 | Williston Financial Group LLC           | 100                     |
| Vorto, LLC   | DE                      |                      | 36-4825366 | GGC Opportunity Fund Entities           | 100                     |
| Vorto Technologies, LLC                            | DE                      |                      | 88-0704446 | Vorto, LLC                              | 100                     |
| 5F Tours, LLC                                      | DE                      |                      | 87-4682437 | Vorto Technologies, LLC                 | 100                     |
| LoHi Asset Leasing Marketplace, LLC                | DE                      |                      | 86-2425276 | Vorto Technologies, LLC                 | 100                     |
| 5F Logistics, LLC                                  | DE                      |                      | 88-0708518 | Vorto, LLC                              | 100                     |
| LoHi Logistics Holdings, LLC                       | DE                      |                      | 85-1719300 | 5F Logistics, LLC                       | 100                     |
| LoHi Logistics, LLC                                | DE                      |                      | 85-1719312 | LoHi Logistics Holdings, LLC            | 100                     |
| 5F Brokerage Holdings, LLC                         | DE                      |                      | 88-0847385 | 5F Logistics, LLC                       | 100                     |
| 5F Brokerage, LLC                                  | DE                      |                      | 88-0847101 | 5F Brokerage Holdings, LLC              | 100                     |
| 5F Transportation, LLC                             | DE                      |                      | 88-0729576 | 5F Logistics, LLC                       | 100                     |
| Fifth Floor Freight Holdings, LLC                  | DE                      |                      | 86-1570396 | 5F Transportation, LLC                  | 100                     |
| Fifth Floor Freight, LLC                           | DE                      |                      | 86-1571282 | Fifth Floor Freight Holdings, LLC       | 100                     |
| Bihar Risk Retention Group LLC                     | SC                      |                      | 87-3377386 | Fifth Floor Freight, LLC                | 98                      |
| Candlestick Freight Holdings, LLC                  | DE                      |                      | 87-1376141 | 5F Transportation, LLC                  | 100                     |
| Candlestick Freight, LLC                           | DE                      |                      | 87-1376559 | Candlestick Freight Holdings, LLC       | 100                     |
| Canary Freight Holdings, LLC                       | DE                      |                      | 87-1350347 | 5F Transportation, LLC                  | 100                     |
| Canary Freight, LLC                                | DE                      |                      | 87-1350510 | Canary Freight Holdings, LLC            | 100                     |
| Clarksburg Freight Holdings, LLC                   | DE                      |                      | 87-0932704 | 5F Transportation, LLC                  | 100                     |
| Clarksburg Freight, LLC                            | DE                      |                      | 87-0932884 | Clarksburg Freight Holdings, LLC        | 100                     |
| Snowshoe Mountain Freight Holdings, LLC            | DE                      |                      | 87-0966101 | 5F Transportation, LLC                  | 100                     |
| Snowshoe Mountain Freight, LLC                     | DE                      |                      | 87-0966556 | Snowshoe Mountain Freight Holdings, LLC | 100                     |
| 5F Leasing, LLC                                    | DE                      |                      | 88-0630459 | 5F Logistics, LLC                       | 100                     |
| ALM Maintenance, LLC                               | DE                      |                      | 87-3422149 | 5F Logistics, LLC                       | 100                     |
| Truck Fuel Finance, LLC                            | DE                      |                      | 86-3276000 | 5F Logistics, LLC                       | 100                     |
| Vorto Operations Holdings, Inc.                    | DE                      |                      | 85-4142584 | Vorto, LLC                              | 100                     |
| Vorto Operations, LLC                              | DE                      |                      | 85-4188478 | Vorto, LLC                              | 99.5                    |
| PHL Holdings, LLC                                  | DE                      |                      |            | GGC Fund III <sup>17</sup>              | 100                     |
| PHL Delaware LLC                                   | DE                      |                      |            | PHL Holdings, LLC                       | 100                     |
| DSM Sands LLC                                      | DE                      |                      |            | PHL Delaware LLC                        | 100                     |
| PHL Variable Insurance Company                     | CT                      | 93548                | 06-1045829 | PHL Delaware LLC                        | 100                     |
| Concord Re, Inc.                                   | СТ                      |                      | 84-3620646 | PHL Variable Insurance Company          | 100                     |

Alliance Partners, LLC owns the remaining 60% of Alliance Title Agency, LLC
 GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.

#### Names of Parent, Subsidiaries or Affiliates Domiciliary **NAIC Company ID Number Directly Controlled By** Ownership Location Code Percentage CT PHL Variable Insurance Company Palisado Re, Inc. 87-3539448 100 Westgate Delaware LLC Magni Re Ltd. PHL Delaware LLC 100 DE

Westgate Delaware LLC

100

CYM

## **SCHEDULE Y**

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

|       |              |         |            | PA      | $\mathbf{R} \mathbf{I} \mathbf{I}$ | A - DE I AI        | L OF INSURANC                                 | , C [    | JULL      | HING COMPAIN I                            | 3 1 3 I E IVI      |         |  |          |     |
|-------|--------------|---------|------------|---------|------------------------------------|--------------------|---|----------|-----------|---|--------------------|---------|--|----------|-----|
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| '     | _            | Ů       | •          | Ü       | · ·                                | ·                  |   |          |           |   | Type               | If      |  |          |     |
|       |              |         |            |         |                                    |                    |   |          |           |   | of Control         | Control |  |          |     |
|       |              |         |            |         |                                    |                    |   |          |           |   | (Ownership,        | is      |  | Is an    |     |
|       |              |         |            |         |                                    | Name of Constition |   |          | Deletion  |   |                    |         |  |          |     |
|       |              |         |            |         |                                    | Name of Securities |   | <b>D</b> | Relation- |   | Board,             | Owner-  |  | SCA      |     |
|       |              |         |            |         |                                    | Exchange           |   | Domi-    | ship      |   | Management,        | ship    |  | Filing   |     |
|       |              | NAIC    |            |         |                                    | if Publicly Traded | Names of                                      | ciliary  | to        |   | Attorney-in-Fact,  | Provide |  | Re-      |     |
| Group |              | Company | ID         | Federal |                                    | (U.S. or           | Parent, Subsidiaries                          | Loca-    | Reporting | Directly Controlled by                    | Influence,         | Percen- | Ultimate Controlling                       | quired?  |     |
| Code  | Group Name   | Code    | Number     | RSSD    | CIK                                | International)     | Or Affiliates                                 | tion     | Entity    | (Name of Entity/Person)                   | Other)             | tage    | Entity(ies)/Person(s)                      | (Yes/No) | ) * |
| 4736  | GGC Group    |         | 06-1599088 |         | 1129033                            |                    | The Nassau Companies of New York              | DE       | NIA       | The Nassau Companies                      | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  |         |            |         |                                    |                    | DSM Sands LLC                                 | DE       | NIA       | PHL Delaware LLC                          | Owner ship         | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  |         | 82-3502154 |         |                                    |                    | Nassau CLO SPV-I LLC                          | DE       | NIA       | The Nassau Companies of New York          | Ownership          | 56.000  | David Dominik                              |          |     |
| 4736  | . GGC Group  | 67814   | 06-0493340 |         | 1159567                            |                    | Nassau Life Insurance Company                 | NY       | IA        | The Nassau Companies of New York          | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | GGC Group    |         | 06-1065485 |         |                                    |                    | PM Holdings, Inc.                             | CT       | NIA       | Nassau Life Insurance Company             | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  |         | 27-1619945 |         | 1482939                            |                    | 1851 Securities, Inc.                         | DE       | NIA       | NSRE BD Holdco LLC                        | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  |         | 06-0860167 |         |                                    |                    | Phoenix Founders, Inc.                        | CT       | NIA       | PM Holdings, Inc.                         | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  | 93548   | 06-1045829 |         | 1031223                            |                    | PHL Variable Insurance Company                | CT       | IA        | PHL Delaware LLC                          | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  | 93734   | 43-1240953 |         | 13118221                           |                    | Nassau Life and Annuity Company               | CT       | UDP       | The Nassau Companies                      | Ownership          | 100.000 | David Dominik                              |          |     |
| 4736  | . GGC Group  |         |            |         |                                    |                    | GGC Opportunity Fund Management GP, Ltd       | CYM      | UIP       | GGC Opportunity Fund Management GP, Ltd   | Board of Directors | ·       |  |          |     |
|       |              |         |            |         |                                    |                    |   |          |           |   |                    |         | GGC Opportunity Fund Management GP,        |          |     |
| 4736  | . GGC Group  |         | 98-0546997 |         |                                    |                    | GGC Opportunity Fund Management, L.P          | CYM      | UIP       | GGC Opportunity Fund Management, GP, Ltd. | Contract           |         | Ltd.                                       |          |     |
|       |              |         |            |         |                                    |                    |   |          |           |   |                    |         | GGC Opportunity Fund Management GP,        |          | _   |
| 4736  | GGC Group    |         |            |         |                                    |                    | GGC Opportunity Fund Entities                 | CYM      | UIP       | GGC Opportunity Fund Management, L.P      | Contract           |         | Ltd.                                       |          | 2   |
| 4700  |              |         |            |         |                                    |                    |   | 25       |           |   |                    |         | GGC Opportunity Fund Management GP,        |          |     |
| 4736  | GGC Group    |         | 27-2822251 |         |                                    |                    | Financial American Holdings Corporation       | DE       | NIA       | GGC Opportunity Fund Entities             | Ownership          | 87.600  | Ltd.                                       |          | 3   |
| 4700  | 000 0        |         | 07 4404070 |         |                                    |                    | W: 11: A 11: 11: 11: 11: 11: 11: 11: 11:      | DE       |           | 000 0 4 14 5 4 5 4 11                     |                    | 00.000  | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | GGC Group    |         | 27-1434376 |         |                                    |                    | Williston Holdings LLC                        | DE       | NIA       | GGC Opportunity Fund Entities             | Ownership          | 96.000  |  |          | 4   |
| 4700  | 000 0        |         | 07 4404007 |         |                                    |                    | W: 11: 4 F: : 1 0 110                         | DE       |           | W:11: 4 11 11: 110                        |                    | 400 000 | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | GGC Group    |         | 27-1434397 |         |                                    |                    | Williston Financial Group LLC                 | DE       | NIA       | Williston Holdings LLC                    | Ownership.         | 100.000 | 000 0 4 14 5 4 4 4 4 00 44                 |          |     |
| 4700  | 000 0        |         | 07 0070004 |         |                                    |                    | Valutaria Calastiana III O                    | 1/0      | ALL A     | Williakas Financial Const. II C           | 0                  | 100 000 | GGC Opportunity Fund Management GP, Ltd    | 7        |     |
| 4736  | GGC Group    |         | 27-3972891 |         |                                    |                    | Valutrust Solutions, LLC                      | KS       | NIA       | Williston Financial Group LLC             | Ownership          | 100.000 | 000 0                                      |          |     |
| 4700  | 000 0        |         | 20-5836762 |         |                                    |                    | WFG Lender Services, LLC                      | MN       | NIA       | Williakas Financial Const. II C           | Ownership          | 100.000 | GGC Opportunity Fund Management GP, Ltd    | 7        |     |
| 4736  | GGC Group    |         | 20-3636762 |         |                                    |                    | Wrd Lender Services, LLC                      | MIN      | NIA       | Williston Financial Group LLC             | . Owner snip       | 100.000 | 000 0                                      |          |     |
| 4736  | GGC Group    |         | 80-0936767 |         |                                    |                    | WFG Lender Services, LLC                      | AL       | NIA       | WFG Lender Services, LLC                  | Ownership          | 100.000 | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4/30  | . ddc droup  |         | 00-0930707 |         |                                    |                    | WE'G Letitlet Set vices, LLC                  | AL       | NIA       | iird Leilder Services, LLC                | . Owner Sirip      | 100.000 | GGC Opportunity Fund Management GP, Ltd    |          |     |
| 4736  | GGC Group    |         | 80-0766075 |         |                                    |                    | WFG Lender Services, LLC                      | AR       | NIA       | WFG Lender Services, LLC                  | Owner ship.        | 100.000 | GGC Opportunity Fund Management GF, Ltd    | 1        |     |
| 4700  | . ddc droup  |         | 00-0700073 |         |                                    |                    | III d Lender Services, LLC                    |          | NIA       | III d Leilder Services, LLC               | . Owner Strip      | 100.000 | GGC Opportunity Fund Management GP, Ltd    | 4        |     |
| 4736  | GGC Group    |         | 26-4627021 |         |                                    |                    | WFG Lender Services, LLC                      | TX       | NIA       | WFG Lender Services, LLC                  | Ownership          | 100.000 | duc opportunity rund management dr, Etc    | 1        |     |
|       | . dao araap  |         | 20 402/02/ |         |                                    |                    | W G ECHACI GCIVICCS, EEG                      |          |           | III d Ediddi del vides, EE0               | - Owner strip.     | 100.000 | GGC Opportunity Fund Management GP, Ltd    | 4        |     |
| 4736  | GGC Group    |         | 20-8801713 |         |                                    |                    | WFGLS Title Agency of Utah, LLC               | UT       | NIA       | WFG Lender Services, LLC                  | Ownership          | 100.000 | ado opportunity runa management ar, Etc    | 1        |     |
|       | . dao ai dap |         | 20 0001110 |         |                                    |                    | in all the right of order, let                |          |           | III d Ediddi Gol Vicco, EEG               | - Owner drift      |         | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | GGC Group    |         | 27-0890944 |         |                                    |                    | WFG National Title Company of Texas, LLC      | TX       | NIA       | Williston Financial Group LLC             | Ownership          | 100.000 | ado opportante y rana managomorte ar , Etc | 1        |     |
|       |              |         |            |         |                                    |                    |   |          |           |   |                    |         | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | GGC Group    |         | 27-1273851 |         |                                    |                    | Universal Title Partners, LLC                 | TX       | NIA       | WFG National Title Company of Texas, LLC  | Ownership.         | 51.000  |  |          | 5   |
|       |              |         |            |         |                                    |                    |   |          |           |   | ,                  |         | Unknown (not affiliated with the GGC       |          |     |
| 4736  | GGC Group    |         | 27-1273851 |         |                                    |                    | Universal Title Partners, LLC                 | TX       | NIA       | Newmark Homes Houston, LLC                | Ownership          | 49.000  | Group)                                     |          | 6   |
|       |              |         |            |         |                                    |                    |   |          |           | ,   |                    |         | GGC Opportunity Fund Management GP, Ltd    | d        |     |
| 4736  | GGC Group    | 51152   | 57-0575396 |         |                                    |                    | WFG National Title Insurance Company          | SC       | IA        | Williston Financial Group LLC             | Ownership          | 100.000 |  |          |     |
|       |              |         |            |         |                                    |                    | WFG National Title Company of Washington, LLC | ;        |           | · ·                                       |                    |         | GGC Opportunity Fund Management GP, Ltd    | d        |     |
| 4736  | GGC Group    |         | 26-0522240 |         |                                    |                    |   | WA       | NIA       | WFG National Title Insurance Company      | Ownership          | 100.000 |  |          |     |
|       |              |         |            |         |                                    |                    | Homer Recording District Joint Title Plant    |          |           |   |                    |         | GGC Opportunity Fund Management GP, Ltd    | d        |     |
| 4736  | . GGC Group  |         | 47-2160319 |         |                                    |                    | Company LLC                                   | AK       | NIA       | WFG National Title Insurance Company      | Ownership          | 10.000  |  |          |     |
|       |              |         | 1          |         |                                    |                    | Homer Recording District Joint Title Plant    |          |           |   |                    |         | Unknown (not affiliated with the GGC       |          |     |
| 4736  | . GGC Group  |         | 47-2160319 |         |                                    |                    | Company LLC                                   | AK       | NIA       | Kachemak Bay Title Agency, Inc            | Owner ship         | 90.000  | Group)                                     |          | 8   |
|       | Ĺ            |         |            |         |                                    |                    | Williston Enterprise Solutions & Technology,  |          |           |   |                    |         | GGC Opportunity Fund Management GP, Ltd    | ď        |     |
| 4736  | . GGC Group  |         | 45-2654474 | .       |                                    |                    | LLC   | DE       | NIA       | Williston Financial Group LLC             | Ownership          | 100.000 |  | J        |     |
|       | l            |         |            |         |                                    |                    |   |          |           |   | ļ                  |         | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | . GGC Group  |         | 80-0268853 |         |                                    |                    | ITC Holdings, Inc.                            | CA       | NIA       | Williston Financial Group LLC             | Ownership          | 100.000 |  | ]        |     |
|       | l            |         | 05 005:    |         |                                    |                    |   |          |           |   | l                  | 400     | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | GGC Group    |         | 95-3621359 | -       |                                    |                    | WFG National Title Company of California      | CA       | NIA       | ITC Holdings, Inc.                        | Ownership          | 100.000 |  |          |     |
| 4700  |              |         | 00 4000700 |         |                                    |                    | WFG National Title Company of Clark County    | w.       |           | W. I                                      |                    | 400 000 | GGC Opportunity Fund Management GP, Ltd    | 1        |     |
| 4736  | . GGC Group  |         | 38-4008723 |         |                                    |                    | WA, LLC                                       | WA       | NI A      | Williston Financial Group LLC             | Ownership          | 100.000 |  |          | .   |

## **SCHEDULE Y**

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

|                |              |         |            |         |     | 4 - DE I AI        | L OF INSURANCE                          | JL I      | IOLD      | ING COMPANT                                 | SISILIVI          |         |                       |         |      |
|----------------|--------------|---------|------------|---------|-----|--------------------|---|-----------|-----------|---|-------------------|---------|-----------------------|---------|------|
| 1              | 2            | 3       | 4          | 5       | 6   | 7                  | 8                                       | 9         | 10        | 11  | 12                | 13      | 14                    | 15      | 16   |
|                |              |         |            |         |     |                    |   |           |           |   | Type              | If      |                       |         |      |
|                |              |         |            |         |     |                    |   |           |           |   | of Control        | Control |                       |         |      |
|                |              |         |            |         |     |                    |   |           |           |   | (Ownership,       | is      |                       | Is an   |      |
|                |              |         |            |         |     | Name of Securities |   |           | Relation- |   | Board,            | Owner-  |                       | SCA     |      |
|                |              |         |            |         |     |                    |   | Domi      |           |   |                   |         |                       | Filina  |      |
|                |              |         |            |         |     | Exchange           |   | Domi-     | ship      |   | Management,       | ship    |                       |         |      |
|                |              | NAIC    |            |         |     | if Publicly Traded | Names of                                | ciliary   | to        |   | Attorney-in-Fact, | Provide |                       | Re-     |      |
| Group          |              | Company | ID         | Federal |     | (U.S. or           | Parent, Subsidiaries                    | Loca-     | Reporting | Directly Controlled by                      | Influence,        | Percen- | Ultimate Controlling  | quired? |      |
| Code           | Group Name   | Code    | Number     | RSSD    | CIK | International)     | Or Affiliates                           | tion      | Entity    | (Name of Entity/Person)                     | Other)            | tage    | Entity(ies)/Person(s) | (Yes/No | ,) * |
| 4736           | GGC Group    |         | 94-3361271 |         |     |                    | Golden Gate Private Equity, Inc         | DE        | NIA       | David Dominik                               | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | . GGC Group  |         |            |         |     |                    | GGC Fund III                            | CYM       | NIA       |   | Ownership         | 100.000 |                       |         | 910  |
| 4736           | GGC Group    |         | 98-1250709 |         |     |                    | NRH, L.P.                               | CYM       | NIA       | GGCOF Executive Co-Invest Holdings, Ltd     | Contract          |         |                       |         |      |
| 4736           | . GGC Group  |         | 98-1269725 |         |     |                    | Nassau UK Ltd.                          | GBR       | NIA       | NRH, L.P.                                   | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau Cayman Ltd.                      | CYM       | NIA       | Nassau UK Ltd.                              | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | . GGC Group  |         |            |         |     |                    | Nassau Re (Cayman) Ltd.                 | CYM       | IA        | NRH, L.P.                                   | Ownership         | 100.000 | David Dominik         |         | 11   |
| 4736           | GGC Group    |         |            |         |     |                    | Magni Re Ltd.                           | CYM       | IA        | Westgate Delaware LLC                       | Contract          |         | David Dominik         |         |      |
| 4736           | . GGC Group  |         | 47-5299012 |         |     |                    | Nassau Insurance Group Holdings GP, LLC | DE        | UIP       | Nassau Financial Group, GP Ltd              | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 38-3981572 | .       |     |                    | Nassau Insurance Group Holdings, L.P.   | DE        | UIP       | Nassau Insurance Group Holdings GP, LLC     | Contract          |         | David Dominik         |         |      |
| 4736           | GGC Group    |         | 47-5128985 |         |     |                    | The Nassau Companies                    | DE        | UIP       | Nassau Insurance Group Holdings, L.P        | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    | 68284   | 48-0557726 | .       |     |                    | Nassau Life Insurance Company of Kansas | KS        | RE        | Nassau Life and Annuity Company             | Owner ship        | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 81-3180396 |         |     |                    | NSRE Saybrus Holdings, LLC              | DE        | NIA       | Nassau Financial Group, L.P.                | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 47-3724102 |         |     |                    | Nassau Reinsurance LLC                  | DE        | NIA       | Nassau Insurance Group Holdings, L.P.       | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | . GGC Group  |         | 83-2726475 |         |     |                    | NSRE BD Holdco LLC                      | DE        | NIA       | Nassau Reinsurance LLC                      | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 81-0773412 |         |     |                    | Nassau Asset Management LLC             | DE        | NIA       | Nassau Financial Group, L.P.                | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 26-4145792 |         |     |                    | Nassau CorAmerica LLC                   | DE        | NIA       | Nassau Asset Management LLC                 | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 26-4145792 |         |     |                    | Nassau CorAmerica Loan Company LLC      | DE        | NIA       | Nassau CorAmerica LLC                       | Ownership.        | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 46-3781541 |         |     |                    | Nassau CorAmerica Advisors LLC          | DE        | NIA       | Nassau CorAmerica LLC                       | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 35-2586068 |         |     |                    | Nassau Corporate Credit LLC             | DE        | NIA       | Nassau Asset Management LLC                 | Ownership.        | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | NCC CLO Manager LLC                     | DE        | NIA       | Nassau Corporate Credit LLC                 | Ownership         | 100.000 | David Dominik         |         | 1    |
| 4736           | GGC Group    |         | 82-4813430 |         |     |                    | NCC Management LLC                      | DE        | NIA       | Nassau Corporate Credit LLC                 | Ownership         | 100.000 | David Dominik         |         | 1    |
| 4736           | GGC Group    |         | 83-1443085 |         |     |                    | Nassau Cayman Brac Holding Company      | DE        | NIA       | Nassau Insurance Group Holdings, LP         | Owner ship.       | 100.000 | David Dominik         |         | 1    |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau TRF SPV Ltd.                     | CYM       | NIA       | Nassau Re (Cayman) Ltd                      | Owner ship.       | 100.000 | David Dominik         |         | 1    |
|                | . ddo di dap |         |            |         |     |                    | naoda iii oi i Eta.                     |           |           | Golden Gate Capital Opportunity Fund-A.     | omior on p        |         | David Dominin         |         | 1    |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau NAMCO Blocker LLC                | CYM       | NIA       | I P   | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau NAMCO Splitter, L.P.             | CYM       | NIA       | GGC Opportunity Fund Management, L.P.       | Ownership         | 100.000 | David Dominik         |         | 1    |
|                | . ddo di sup |         |            |         |     |                    | naoda remoo opiiittoi, E.i.             |           |           | Golden Gate Capital Opportunity Fund-A.     | omior on p        |         | David Dominin         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau Saybrus Blocker LLC              | CYM       | NIA       | I P   | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau Saybrus Splitter, L.P.           |           | NIA       | GGC Opportunity Fund Management, L.P.       | Ownership.        | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 98-1421610 |         |     |                    | Nassau Financial Group GP Ltd.          | CYM       | UIP       | Individuals                                 | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 98-1421752 |         |     |                    | Nassau Financial Group, L.P.            | CYM       | UIP       | Nassau Financial Group GP Ltd.              | Owner ship.       | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 00 1121702 |         |     |                    | PHL Delaware LLC                        | DE        | NIA       | PHL Holdings, LLC                           | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 83-2559102 |         |     |                    | Nassau Alternative Investments LLC      | DE        | NIA       | Nassau Asset Management LLC                 | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 83-2636471 |         |     |                    | Nassau Private Credit LLC               | DE        | NIA       | Nassau Corporate Credit LLC                 | Ownership         | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 83-2654128 |         |     |                    | Nassau Private Credit GP LLC            | DE        | NIA       | Nassau Corporate Credit LLC                 | Owner ship.       | 100.000 | David Dominik         |         | 1    |
| 4736           | GGC Group    |         | 83-2670988 |         |     |                    | Nassau Private Credit Onshore Fund LP   | DE        | NIA       | Nassau Private Credit GP LLC                | Contract          |         | David Dominik         |         |      |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau Private Credit Master Fund LP    |           | NIA       | Nassau Private Credit GP LLC                | Contract          |         | David Dominik         |         | 1    |
| 4736           | GGC Group    |         | 98-1476251 |         |     |                    | Nassau Private Credit Master Fund LP    | CYM       | NIA       | Nassau Private Credit GP LLC                | Contract          |         | David Dominik         |         |      |
| .4736          | GGC Group    |         | 83-1785900 |         |     |                    | Nassau CLO SPV-11 LLC                   | DE        | NIA       | The Nassau Companies of New York            | Ownership         | 54.340  | David Dominik         |         | 12   |
| 4736           | GGC Group    |         | 84-2346847 |         |     |                    | Nassau 2019 CF0 LLC                     | DE        | NIA       | Nassau Life Insurance Company               | Ownership         | 76.730  | David Dominik         |         | 13   |
| .4736          | GGC Group    |         | 84-2253380 |         |     |                    | NPC SGP LLC                             | DE        | NIA       | Nassau Corporate Credit LLC                 | Contract          |         | David Dominik         |         | 10   |
| 4736           | GGC Group    | 16585   | 83-4356685 |         |     |                    | Lynbrook Re. Inc.                       | VT        | IA        | Nassau Life and Annuity Company             | Ownership         | 100.000 | David Dominik         |         |      |
| .4736          | GGC Group    | 10000   | 84-3366256 |         |     |                    | NAMCO Services LLC                      | DE        | NIA       | Nassau Asset Management LLC                 | Owner ship        | 100.000 | David Dominik         |         |      |
| .4736          | GGC Group    |         | 83-2511417 |         |     |                    | Nassau 2019 CF0 Fund LLC                | DE        | NIA       | Nassau 2019 CFO LLC                         | Ownership         | 100.000 | David Dominik         |         |      |
| .4736          | GGC Group    |         | 00-201141/ |         |     |                    | Westgate Delaware LLC                   | DE        | NIA       | PHL Delaware LLC                            | Owner strip       | 100.000 | David Dominik         |         |      |
| .4736          | GGC Group    |         | 84-3945103 |         |     |                    | Sunrise Re. Inc.                        | VT        | I A       | The Nassau Companies                        | Owner ship        | 100.000 | David Dominik         |         |      |
| 4736           | GGC Group    |         | 84-3620646 |         |     |                    | Concord Re. Inc.                        | VT        | IA        | PHL Variable Insurance Company              | Owner ship.       | 100.000 | David Dominik         |         |      |
| 00             | . duo di dup |         | 04-3020040 |         |     |                    | outcording, IIIC.                       | .         | IA        | Golden Gate Capital Opportunity Fund, L.P.  | Owner on the      |         | David Dominik         |         |      |
| .4736          | GGC Group    |         | 98-1472055 |         |     |                    | GGCOF Nassau Investments, L.P.          | CYM       | NIA       | our uen date dapitar opportunity rund, L.P. | Contract          |         | David Dominik         |         |      |
| .4736<br>.4736 |              |         | 85-1213894 |         |     |                    | Alliance Title Agency, LLC              | AZ        |           | ITC Holdings, Inc.                          | Ownership.        | 40.000  | David Dominik         |         | 14   |
| .4736<br>4736  | GGC Group    |         | 00-1213894 |         |     |                    |   | AZ<br>CYM | NIA       |   | Ownership         | 100.000 | David Dominik         |         | 14   |
|                |              |         |            |         |     |                    | Nassau NCC Blocker (UK) Ltd.            |           | NIA       | Nassau Corporate Credit LLC                 |                   |         |                       |         |      |
| 4736           | . GGC Group  |         |            |         |     |                    | NCC Management (UK) Ltd.                | GBR       | NIA       | Nassau Corporate Credit LLC                 | Ownership         | 100.000 | David Dominik         |         | 45   |
| 4736           | GGC Group    |         |            |         |     |                    | Nassau Corporate Credit (UK) LLP        | GBR       | NIA       | Nassau NCC Blocker (UK) Ltd.                | Ownership         | 99.000  | David Dominik         |         | 15   |
| 4736           | GGC Group    | 1       | l          | 1       | 1   | I                  | Nassau Re/Imagine LLC                   | DE        | NI A      | Nassau Insurance Group Holdings, L.P        | Owner ship        | 100.000 | David Dominik         | 1       | i    |

## **SCHEDULE Y**

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1       | 2          | 3       | 4          | 5       | 6   | 7                  | 8                                       | 9       | 10        | 11                                      | 12                | 13      | 14                    | 15       | 16           |
|---------|------------|---------|------------|---------|-----|--------------------|---|---------|-----------|---|-------------------|---------|-----------------------|----------|--------------|
|         |            |         |            | -       |     |                    |   |         |           |   | Type              | If      |                       |          |              |
|         |            |         |            |         |     |                    |   |         |           |   | of Control        | Control |                       |          |              |
|         |            |         |            |         |     |                    |   |         |           |   | (Ownership,       | is      |                       | Is an    |              |
|         |            |         |            |         |     | Name of Securities |   |         | Relation- |   | Board.            | Owner-  |                       | SCA      |              |
|         |            |         |            |         |     |                    |   | D:      |           |   | ,                 |         |                       |          |              |
|         |            |         |            |         |     | Exchange           |   | Domi-   | - 1       |   | Management,       | ship    |                       | Filing   |              |
|         |            | NAIC    |            |         |     | if Publicly Traded | Names of                                | ciliary | to        |   | Attorney-in-Fact, | Provide |                       | Re-      |              |
| Group   |            | Company | ID         | Federal |     | (U.S. or           | Parent, Subsidiaries                    | Loca-   | Reporting | Directly Controlled by                  | Influence,        | Percen- | Ultimate Controlling  | quired?  |              |
| Code    | Group Name | Code    | Number     | RSSD    | CIK | International)     | Or Affiliates                           | tion    | Entity    | (Name of Entity/Person)                 | Other)            | tage    | Entity(ies)/Person(s) | (Yes/No) | <i>i</i> ) * |
|         | GC Group   |         | 13-2608329 |         |     |                    | Foresters Financial Holding Company     | DE      | NIA       | Nassau Life Insurance Company           | Ownership         | 100.000 | David Dominik         |          |              |
| 4736 G  | GC Group   |         | 32-0642679 |         |     |                    | 19th Street Palm Harbor LLC             | DE      | NI A      | Williston Financial Group LLC           | Ownership         | 100.000 | David Dominik         |          |              |
| 4736 G  | GC Group   |         | 86-3788188 |         |     |                    | WFG Lender Services of Louisiana, LLC   | LA      | NIA       | WFG Lender Services, LLC                | Owner ship.       | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 87-3252226 |         |     |                    | NCA Realty Partners LLC                 | DE      | NI A      | Nassau CorAmerica LLC                   | Ownership         | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 87-2341733 |         |     |                    | Nassau Distribution Holdco, LLC         | DE      | NIA       | Nassau Financial Group, L.P.            | Owner ship.       | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 36-4825366 |         |     |                    | Vorto LLC                               | DE      | NIA       | GGC Opportunity Fund Entities           | Owner ship        | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 85-1719300 |         |     |                    | LoHi Logistics Holdings, LLC            | DE      | NIA       | 5F Logistics, LLC                       | Ownership         | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 85-1719312 |         |     |                    | LoHi Logistics, LLC                     | DE      | NIA       | LoHi Logistics Holdings, LLC            | Ownership         | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 85-4142584 |         |     |                    | Fifth Floor Freight Holdings, LLC       | DE      | NIA       | 5F Transportation, LLC                  | Owner ship.       | 100.000 | David Dominik         |          |              |
| 4736 G  | GC Group   |         | 86-1570396 |         |     |                    | Fifth Floor Freight, LLC                | DE      | NIA       | Fifth Floor Freight Holdings, LLC       | Ownership.        | 100.000 | David Dominik         |          |              |
| 4736 G  | GC Group   |         | 87-3377389 |         |     |                    | Bihar Risk Retention Group LLC          | SC      | NIA       | Fifth Floor Freight, LLC                | Ownership.        | 98.000  | David Dominik         |          |              |
| 4736 G  | GC Group   |         | 87-1376141 |         |     |                    | Candlestick Freight Holdings, LLC       | DE      | NIA       | 5F Transportation, LLC                  | Owner ship.       | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 87-1376559 |         |     |                    | Candlestick Freight, LLC                | DE      | NIA       | Candlestick Freight Holdings, LLC       | Ownership.        | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-1350347 |         |     |                    | Canary Freight Holdings, LLC            | DE      | NIA       | 5F Transportation, LLC                  | Owner ship.       | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-1350510 |         |     |                    | Canary Freight, LLC                     | DE      | NIA       | Canary Freight Holdings, LLC            | Ownership         | 100.000 | David Dominik         |          |              |
| .4736 G | GC Group   |         | 87-0932704 |         |     |                    | Clarksburg Freight Holdings, LLC        | DE      | NIA       | Vorto, LLC                              | Owner ship.       | 100,000 | David Dominik         |          |              |
|         | GC Group   |         | 87-0932884 |         |     |                    | Clarksburg Freight, LLC                 | DE      | NIA       | Clarksburg Freight Holdings, LLC        | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-0966101 |         |     |                    | Snowshoe Mountain Freight Holdings, LLC | DE      | NIA       | 5F Transportation, LLC                  | Owner ship.       | 100,000 | David Dominik         |          |              |
|         | GC Group   |         | 87-0966556 |         |     |                    | Snowshoe Mountain Freight, LLC          | DE      | NIA       | Snowshoe Mountain Freight Holdings, LLC | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         |            |         |     |                    | PHL Holdings, LLC                       | DE      | NIA       | GGC Fund III                            | Owner ship.       | 100.000 | David Dominik         |          | 16           |
|         | GC Group   |         | 87-3539448 |         |     |                    | Palisado Re. Inc.                       | CT      | IA        | PHL Variable Insurance Company          | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-4074805 |         |     |                    | NCA Realty Partners GP   LLC            | DE      | NIA       | Nassau CorAmerica LLC                   | Ownership         | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 87-4074125 |         |     |                    | NCA Realty Fund   LP                    | DE      | NIA       | NCA Realty Partners GP   LLC            | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-3327045 |         |     |                    | NCARP SGP LLC                           | DE      | NIA       | Nassau CorAmerica LLC                   | Ownership         |         | David Dominik         |          |              |
|         | GC Group   |         | 87-3194014 |         |     |                    | Nassau BPC Holdings LLC                 | DE      | NIA       | Nassau Asset Management LLC             | Ownership         |         | David Dominik         |          | 1            |
|         | GC Group   |         | 88-0704446 |         |     |                    | Vorto Technologies, LLC                 | DE      | NIA       | Vorto, LLC                              | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 87-4682437 |         |     |                    | 5F Tours. LLC                           | DE      | NIA       | Vorto Technologies, LLC                 | Ownership         | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 86-2425276 |         |     |                    | LoHi Asset Leasing Marketplace, LLC     | DE      | NIA       | Vorto Technologies, LLC                 | Ownership         | 100.000 | David Dominik         |          | . [          |
|         | GC Group   |         | 88-0708518 |         |     |                    | 5F Logistics. LLC                       | DE      | NIA       | Vorto, LLC                              | Ownership         | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 88-0847385 |         |     |                    | 5F Brokerage Holdings, LLC              | DE      |           | 5F Logistics, LLC                       | Ownership         | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 88-0847101 |         |     |                    | 5F Brokerage, LLC                       | DE      | NIA       | 5F Brokerage Holdings, LLC              | Ownership         | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 88-0729576 |         |     |                    | 5F Transportation, LLC                  | DE      | NIA       | 5F Logistics, LLC                       | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 88-0630459 |         |     |                    | 5F Leasing, LLC                         | DE      | NIA       | 5F Logistics, LLC                       | Ownership.        | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 87-3422149 |         |     |                    | ALM Maintenance. LLC                    | DE      | NIA       | 5F Logistics, LLC                       | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 86-3276000 |         |     |                    | Truck Fuel Finance, LLC                 | DE      | NIA       | 5F Logistics, LLC                       | Ownership.        | 100.000 | David Dominik         |          | 1            |
|         | GC Group   |         | 85-4142584 |         |     |                    | Vorto Operations Holdings, Inc.         | DE      | NIA       | Vorto, LLC                              | Ownership         | 100.000 | David Dominik         |          |              |
|         | GC Group   |         | 85-4188478 |         |     |                    | Vorto Operations, LLC                   | DE      | NIA       | Vorto, LLC                              | Ownership         | 100.000 | David Dominik         |          | 1            |
|         |            |         |            |         |     |                    |   |         |           |   |                   |         |                       |          |              |

| Asterisk      | Explanation  |
|---------------|--|
| 1 Current and | d former employees of The Nassau Companies of New York and its affiliates own 40.25%.  |
| 2 The GGC Opp | portunity Fund Entities are comprised of: (i) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (ii) Golden Gate Capital Opportunity Fund, L.P. (#98-0546998); (iii) GGOF Co-Invest, L.P. (#98-0572954); (iv) GGOF To-Invest, L.P. (#98-0572954); (iv) GGOF T |
| Invest, L.P.  | (#98-1033052); and (vi) GGCOF IRA Co-Invest, L.P. (#98-1033053).   |
| 3 Three indiv | riduals own 12.37%. No one individual owns more than 10%.  |
| 4 Percentage  | represents the aggregate ownership among the GGC Opportunity Fund Entities.  |
| 5 Newmark Hom | mes Houston, LLC (listed above) owns the remaining 49% of Universal Title Partners, LLC.   |
| 6 WFG Nationa | al Title Company of Texas, LLC (listed above) owns the remaining 51% of Universal Title Partners, LLC.   |
| 7 Kachemak Ba | ay Title Agency, Inc. (listed above) owns the remaining 90% of Homer Recording District Joint Title Plant Company LLC.   |
| 8 WFG Nationa | al Title Insurance Company (listed above) owns the remaining 10% of Homer Recording District Joint Title Plant Company LLC   |
| 9 GGC Fund II | II represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P.  |

| Asterisk | Explanation  |
|----------|--|
| 10       | Percentage represents the aggregate ownership among the GGC Fund III entities.   |
| 11       | Non-voting shares of Nassau Re (Cayman) Ltd. are held by Nassau Cayman Ltd.  |
| 12       | Current and former employees of The Nassau Companies of New York. and its affiliates own 42.88%  |
| 13       | PHL Variable Insurance Company and an employee of The Nassau Companies of New York own 23.27%.   |
| 14       | Alliance Partners, LLC owns the remaining 60% of Alliance Title Agency, LLC  |
| 15       | NCC Management (UK) Ltd. owns 1%   |
| 16       | GGC Fund III" represents Golden Gate Capital Opportunity Fund, L.P.; Golden Gate Capital Opportunity Fund-A, L.P. and GGCOF IRA Co-Invest L.P. |
|          |  |

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| 1. | Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?  | NO  |
|----|--|-----|
| 2. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?   | NO  |
| 3. | Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?  | NO  |
| 4. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?  | NO  |
| 5. | Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?  | NO  |
| 6. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?  | NO  |
| 7. | Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?  | NO  |
| 8. | Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.            | N/A |
|    | AUGUST FILING  |     |
| 9. | Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. | N/A |
|    | Explanation:   |     |
| 1. |  |     |
| 2. |  |     |
| 3. |  |     |
| 4. |  |     |
| 5. |  |     |
| 6. |  |     |
| 7. |  |     |
|    | Bar Code:  |     |
| 1. | Trusteed Surplus Statement [Document Identifier 490]   |     |
| 2. | Medicare Part D Coverage Supplement [Document Identifier 365]  |     |
| 3. | Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]   |     |
| 4. | Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]   |     |

Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]

Reasonableness and Consistency of Assumptions Certification required by

Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]

Actuarial Guideline XXXVI [Document Identifier 448]

6.

## **OVERFLOW PAGE FOR WRITE-INS**

# NONE

## **SCHEDULE A - VERIFICATION**

Real Estate

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   |              | Prior Year Ended |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year                           |              |                  |
| 2.  | Cost of acquired:   |              |                  |
|     | 2.1 Actual cost at time of acquisition  |              |                  |
|     | 2.2 Additional investment made after acquisition                                  |              |                  |
| 3.  | Current year change in encumbrances   |              |                  |
| 4.  | Total gain (loss) on disposals  |              |                  |
| 5.  | Deduct amounts received on disposals  |              |                  |
| 6.  | Total foreign exchange change in book/adjusted rying                              |              |                  |
| 7.  | Deduct current year's other than temporary impailment recognized                  |              |                  |
| 8.  | Deduct current year's depreciation  |              |                  |
| 9.  | Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) |              |                  |
| 10. | Deduct total nonadmitted amounts  |              |                  |
| 11. | Statement value at end of current period (Line 9 minus Line 10)                   |              |                  |

## **SCHEDULE B - VERIFICATION**

Mortgage Loans

|     | Mortgage Loans  | 1            | 2                |
|-----|---|--------------|------------------|
|     |   | ı            | Prior Year Ended |
|     |   | Year to Date | December 31      |
|     |   |              | December 31      |
| 1.  | Book value/recorded investment excluding accrued interest, December 31 of prior year                            |              |                  |
| 2.  | Cost of acquired:   |              |                  |
|     | 2.1 Actual cost at time of acquisition  |              |                  |
|     | 2.2 Additional investment made after acquisition  |              |                  |
| 3.  | Capitalized deferred interest and other   |              |                  |
| 4.  | Accrual of discount   |              |                  |
| 5.  | Unrealized valuation increase (decrease)  |              |                  |
| 6.  | Total gain (loss) on disposals  |              |                  |
| 7.  | Deduct amounts received on disposals  |              |                  |
| 8.  | Deduct amortization of premium and mortgage in lest parallel amitme less less less less less less less le       |              |                  |
| 9.  | Total foreign exchange change in book value/recorded investment excurse accrued atterest                        |              |                  |
| 10. | Deduct current year's other than temporary impairment recognized  |              |                  |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) |              |                  |
| 12. | Total valuation allowance   |              |                  |
| 13. | Subtotal (Line 11 plus Line 12)   |              |                  |
| 14. | Deduct total nonadmitted amounts  |              |                  |
| 15. | Statement value at end of current period (Line 13 minus Line 14)  |              |                  |

## **SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|     | Other Long-Term Invested Assets  |              |                  |
|-----|--|--------------|------------------|
|     |  | 1            | 2                |
|     |  |              | Prior Year Ended |
|     |  | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value, December 31 of prior year                            | 5,239        | 2,431            |
| 2.  | Cost of acquired:  |              |                  |
|     | 2.1 Actual cost at time of acquisition   | 0            |                  |
|     | 2.2 Additional investment made after acquisition                                   | 0            | 2,854            |
| 3.  | Capitalized deferred interest and other  | 0            |                  |
| 4.  | Accrual of discount  | 0            |                  |
| 5.  | Unrealized valuation increase (decrease)   | 0            |                  |
| 6.  | Total gain (loss) on disposals   | 0            |                  |
| 7.  | Deduct amounts received on disposals   | 0            | 47               |
| 8.  | Deduct amortization of premium and depreciation                                    | 0            |                  |
| 9.  | Total foreign exchange change in book/adjusted carrying value                      | 0            |                  |
| 10. | Deduct current year's other than temporary impairment recognized                   | 0            |                  |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 5,239        | 5,239            |
| 12. | Deduct total nonadmitted amounts   | 0            |                  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)                   | 5,239        | 5,239            |

## **SCHEDULE D - VERIFICATION**

Bonds and Stocks

|     |   | 1            | 2                |
|-----|---|--------------|------------------|
|     |   | '            | Prior Year Ended |
|     |   | Variate Date |                  |
|     |   | Year to Date | December 31      |
| 1.  | Book/adjusted carrying value of bonds and stocks, December 31 of prior year                     | 56,572,632   | 58,825,018       |
| 2.  | Cost of bonds and stocks acquired   |              |                  |
| 3.  | Accrual of discount   | (363,243)    | 291,964          |
| 4.  | Unrealized valuation increase (decrease)  | 661          | 64,200           |
| 5.  | Total gain (loss) on disposals  |              | 5,638            |
| 6.  | Deduct consideration for bonds and stocks disposed of   | 75,879       | 3,649,373        |
| 7.  | Deduct amortization of premium  | 18,542       | 74,633           |
| 8.  | Total foreign exchange change in book/adjusted carrying value                                   | 0            |                  |
| 9.  | Deduct current year's other than temporary impairment recognized                                |              |                  |
| 10. | Total investment income recognized as a result of prepayment penalties and/or acceleration fees |              |                  |
| 11. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)              | 66,946,494   | 56,572,632       |
| 12. | Deduct total nonadmitted amounts  | 0            |                  |
| 13. | Statement value at end of current period (Line 11 minus Line 12)                                | 66,946,494   | 56,572,632       |

## **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

|                                     | During the Current Quarter to   | 2                         | 3                         | 4                         | 5                               | 6                               | 7                               | 8                               |
|-------------------------------------|---------------------------------|---------------------------|---------------------------|---------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|
|                                     | Book/Adjusted<br>Carrying Value | Acquisitions              | Dispositions              | Non-Trading Activity      | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value | Book/Adjusted<br>Carrying Value |
| NAIC Designation                    | Beginning<br>of Current Quarter | During<br>Current Quarter | During<br>Current Quarter | During<br>Current Quarter | End of<br>First Quarter         | End of<br>Second Quarter        | End of<br>Third Quarter         | December 31<br>Prior Year       |
| BONDS                               |                                 |                           |                           |                           |                                 |                                 |                                 |                                 |
|                                     |                                 |                           |                           |                           |                                 |                                 |                                 |                                 |
| 1. NAIC 1 (a)                       | 20,395,577                      | 5,746,430                 | 46,456                    | 63,415                    | 26,158,966                      | 0                               | 0                               | 20,395,577                      |
| 2. NAIC 2 (a)                       | 31,532,119                      | 5,132,416                 | 105, 180                  | (557,439)                 | 36,001,916                      | 0                               | 0                               | 31,532,119                      |
| 3. NAIC 3 (a)                       | 2,220,736                       | 22,727                    | 0                         | 13, 137                   | 2,256,600                       | 0                               | 0                               | 2,220,736                       |
| 4. NAIC 4 (a)                       | 0                               | 5,050                     | 0                         | 7,576                     | 12,626                          | 0                               | 0                               |                                 |
| 5. NAIC 5 (a)                       | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 6. NAIC 6 (a)                       | 0                               | 0                         | 0                         | 90,626                    | 90,626                          | 0                               | 0                               |                                 |
| 7. Total Bonds                      | 54,148,432                      | 10,906,623                | 151,636                   | (382,685)                 | 64,520,734                      | 0                               | 0                               | 54,148,432                      |
| PREFERRED STOCK                     |                                 |                           |                           |                           |                                 |                                 |                                 |                                 |
| 8. NAIC 1                           | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 9. NAIC 2                           | 2,424,200                       | 0                         | 0                         | 1,560                     | 2,425,760                       | 0                               | 0                               | 2,424,200                       |
| 10. NAIC 3                          | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 11. NAIC 4                          | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 12. NAIC 5                          | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 13. NAIC 6                          | 0                               | 0                         | 0                         | 0                         | 0                               | 0                               | 0                               |                                 |
| 14. Total Preferred Stock           | 2,424,200                       | 0                         | 0                         | 1,560                     | 2,425,760                       | 0                               | 0                               | 2,424,200                       |
| 15. Total Bonds and Preferred Stock | 56,572,632                      | 10,906,623                | 151,636                   | (381, 125)                | 66,946,494                      | 0                               | 0                               | 56,572,632                      |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

## **SCHEDULE DA - PART 1**

|                  |              | Shor   | t-Term | Inves | tments |             |                                    |                                  |
|------------------|--------------|--------|--------|-------|--------|-------------|------------------------------------|----------------------------------|
|                  |              | 1      |        |       | 2      | 3           | 4                                  | 5<br>Paid for                    |
|                  | <br>Bo<br>Ca | ing ue |        | Р     | Įе     | Actual Cost | Interest Collected<br>Year-to-Date | Accrued Interest<br>Year-to-Date |
| 770999999 Totals |              |        |        |       | ××     |             |                                    |                                  |
|                  |              |        |        |       |        |             |                                    |                                  |

## **SCHEDULE DA - VERIFICATION**

Short-Term Investments

|     |   | 1            | 2                               |
|-----|---|--------------|---------------------------------|
|     |   | Year To Date | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | (1)          | (1)                             |
| 2.  | Cost of short-term investments acquired   | 0            |                                 |
| 3.  | Accrual of discount   | 0            |                                 |
| 4.  | Unrealized valuation increase (decrease)  | 0            |                                 |
| 5.  | Total gain (loss) on disposals  | 0            |                                 |
| 6.  | Deduct consideration received on disposals                                      | 0            |                                 |
| 7.  | Deduct amortization of premium  | 0            |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   | 0            |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                | 0            |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | (1)          | (1)                             |
| 11. | Deduct total nonadmitted amounts  | 0            |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | (1)          | (1)                             |

## Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts

## NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE** 

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

## **SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

|     | (Cash Equivalents)  | 1            | 2                               |
|-----|---|--------------|---------------------------------|
|     |   | Year To Date | Prior Year Ended<br>December 31 |
| 1.  | Book/adjusted carrying value, December 31 of prior year                         | 5,401,880    | 5,377,806                       |
| 2.  | Cost of cash equivalents acquired   | 4,886        | 1,033,682                       |
| 3.  | Accrual of discount   | 0            | 2                               |
| 4.  | Unrealized valuation increase (decrease)  | 0            |                                 |
| 5.  | Total gain (loss) on disposals  |              |                                 |
| 6.  | Deduct consideration received on disposals                                      | 327,679      | 1,009,612                       |
| 7.  | Deduct amortization of premium  | 0            |                                 |
| 8.  | Total foreign exchange change in book/adjusted carrying value                   | 0            |                                 |
| 9.  | Deduct current year's other than temporary impairment recognized                | 0            |                                 |
| 10. | Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 5,079,087    | 5,401,880                       |
| 11. | Deduct total nonadmitted amounts  | 0            |                                 |
| 12. | Statement value at end of current period (Line 10 minus Line 11)                | 5,079,087    | 5,401,880                       |

# Schedule A - Part 2 - Real Estate Acquired and Additions Made **NONE**

Schedule A - Part 3 - Real Estate Disposed **N O N E** 

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made NONE

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid NONE

## **SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

|  |         | Show A      | Il Long-Term Bonds and Stock Acquired During the Current Quarter |           |             |                   |                  |                  |
|--|---------|-------------|--|-----------|-------------|-------------------|------------------|------------------|
| 1 2  | 3       | 4           | 5  | 6         | 7           | 8                 | 9                | 10               |
|  |         |             | •  | •         |             | •                 |                  | NAIC             |
|  |         |             |  |           |             |                   |                  | Designation,     |
|  |         |             |  |           |             |                   |                  | NAIC             |
|  |         |             |  |           |             |                   |                  |                  |
|  |         |             |  |           |             |                   |                  | Designation      |
|  |         |             |  |           |             |                   |                  | Modifier         |
|  |         |             |  |           |             |                   |                  | and              |
|  |         |             |  |           |             |                   |                  | SVO              |
|  |         |             |  | Number of |             |                   | Paid for Accrued | Admini-          |
| CUSIP  |         | Date        |  | Shares of |             |                   | Interest and     | strative         |
| Identification Description   | Foreign | Acquired    | Name of Vendor   | Stock     | Actual Cost | Par Value         | Dividends        | Symbol           |
|  | Foreign |             | MORGAN STANLEY CO  | SIUCK     |             |                   |                  |                  |
|  |         | 03/24/2022  |  |           |             | 100,000<br>75.000 |                  | 2.B FE<br>2.A FE |
| 04686J-AC-5 ATHENE HOLDING LTD   |         | 03/09/2022  | Bank of America Securities                                       |           |             |                   |                  | 1.G FE           |
|  |         |             | ***************************************                          |           |             |                   |                  |                  |
| 06051G-KD-0 BANK OF AMERICA CORP   |         | 03/09/2022  | Unknown  | ······    |             | 600,000<br>75.000 | 6,044            | 1.F FE           |
| 09630-AH-1 BOARDWALK PIPELINES LP  |         | 03/24/2022  | MORGAN STANLEY CO  |           |             |                   |                  | 2.B FE           |
| 103730-BT-6 BP CAPITAL MARKETS AMERICA INC                               |         | 03/24/2022  | JP MORGAN SECS INC., - FIXED INCOME                              |           |             |                   |                  | 1.F FE           |
|  |         | 03/24/2022  |  |           |             |                   |                  | 1.G FE           |
| 172967-MP-3 CITIGROUP INC  |         | 03/09/2022  | Unknown  |           |             | 475.000           |                  | 2.B FE           |
| 256746-AJ-7 DOLLAR TREE INC  |         | 03/09/2022  | JEFFERIES & COMPANY, INC.  |           |             | 900,000           | 7 000            | 2.B FE           |
| 29364G-AL-7 ENTERGY CORP   |         | 03/09/2022  | Unknown  |           | 573,744     | 600,000           | 000,7,           | 2.B FE           |
| 29364G-AP-8 ENTERGY CORP   |         | 03/24/2022  | MORGAN STANLEY CO  |           | 66.824      |                   |                  | 2.B FE           |
| 372460-AA-3 GENUINE PARTS CO   |         | 03/09/2022  | Unknown  |           | 555.069     | 625,000           |                  | 2.B FE           |
| 437076-CJ-9 HOME DEPOT INC   |         | 03/09/2022  | MORGAN STANLEY CO  |           | 222,110     | 250,000           | 160              | 1.F FE           |
| 458140-BU-3 INTEL CORP   |         | 03/24/2022  | MARKETAXESS  |           | 225,300     | 250,000           | 630              | 1.E FE           |
| 46647P-CU-8 JPMORGAN CHASE & CO.   |         | 03/24/2022  | CITIGROUP GLOBAL MARKETS INC.                                    |           | 70.426      | 75.000            | 389              | 1.F FE           |
| 55281Q-AE-6 MCFCLO VIII COM - CDO  |         | 01/18/2022  | Direct   |           | 413,962     | 413.962           |                  | 2.A              |
| 571748-BN-1 MARSH & MCLENNAN COMPANIES INC                               |         | 03/09/2022  | Unknown  |           | 553.344     | 600.000           |                  | 1.G FE           |
| 606822-CB-8 MITSUBISHI UFJ FINANCIAL GROUP INC                           | C       | 03/09/2022  | Unknown  |           | 556,902     | 600,000           | 6 152            | 1.G FE           |
| 67103H-AJ-6 O'REILLY AUTOMOTIVE INC                                      |         | 03/09/2022  | Unknown  |           | 554,589     | 525,000           | 9 800            | 2.A FE           |
| 714046-AN-9 PERKINELMER INC  |         | 03/09/2022  | Unknown  |           |             |                   | 7 070            | 2.B FE           |
| 74460D-AJ-8 PUBLIC STORAGE   |         | 03/24/2022  | MORGAN STANLEY CO  |           | 68.324      |                   | 652              | 1.F FE           |
| 754730-AG-4 RAYMOND JAMES FINANCIAL INC                                  |         | .03/09/2022 | Unknown  |           | 574, 187    | 525.000           |                  | 1.G FE           |
| 756109-AX-2 REALTY INCOME CORP   |         | 03/24/2022  | CITIGROUP GLOBAL MARKETS INC.                                    |           | 73,266      |                   |                  | 1.G FE           |
| 756109-BL-7 REALTY INCOME CORP   |         | 03/09/2022  | Unknown  |           |             |                   |                  | 1.G FE           |
| 760759-BA-7 REPUBLIC SERVICES INC  |         | 03/09/2022  | Unknown  |           | 571,331     | 625.000           |                  | 2.B FE           |
| 87289B-AA-2 TCP 188 A1 - CD0   |         | 03/02/2022  | Direct   |           | .29,997     | .29,997           |                  | 1.A FE           |
| 91324P-ED-0 UNITEDHEALTH GROUP INC                                       |         | 03/24/2022  | Bank of America Securities                                       |           | 233, 115    | 250,000           |                  | 1.F FE           |
| 1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliate | ed)     |             |  |           | 10.830.866  | 11.268.959        | 103.082          |                  |
| 2509999997. Total - Bonds - Part 3                                       | ou,     |             |  |           | 10,830,866  | 11,268,959        | 103,082          | XXX              |
|  |         |             |  |           |             |                   |                  |                  |
| 2509999998. Total - Bonds - Part 5                                       |         |             |  |           | XXX         | XXX               | XXX              | XXX              |
| 2509999999. Total - Bonds  |         |             |  |           | 10,830,866  | 11,268,959        | 103,082          | XXX              |
| 4509999997. Total - Preferred Stocks - Part 3                            |         |             |  |           | 0           | XXX               | 0                | XXX              |
| 4509999998. Total - Preferred Stocks - Part 5                            |         |             |  |           | XXX         | XXX               | XXX              | XXX              |
| 4509999999. Total - Preferred Stocks                                     |         |             |  |           | 0           | XXX               | 0                | XXX              |
| 5989999997. Total - Common Stocks - Part 3                               |         |             |  |           | 0           | XXX               | 0                | XXX              |
| 5989999998. Total - Common Stocks - Part 5                               | ·       |             |  |           | XXX         | XXX               | XXX              | XXX              |
| 598999999. Total - Common Stocks   |         |             |  |           | 0           | XXX               | 0                | XXX              |
| 599999999. Total - Preferred and Common Stocks                           |         |             |  |           | 0           | XXX               | 0                | XXX              |
| 6009999999 - Totals  |         |             |  |           | 10,830,866  | XXX               | 103,082          | XXX              |
|  |         |             |  |           |             |                   |                  | •                |

## **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold. Redeemed or Otherwise Disposed of During the Current Quarter

|            |  |         |            |              | SHOW All LO | ng-renn bo | nds and Stoc | k Solu, Rec | reemed or c   | Jinerwise L | nsposed (  | oi Duning ti | ie Current  | Quarter   |          |                  |          |            |           |            |          |
|------------|--|---------|------------|--------------|-------------|------------|--------------|-------------|---------------|-------------|------------|--------------|-------------|-----------|----------|------------------|----------|------------|-----------|------------|----------|
| 1          | 2  | 3       | 4          | 5            | 6           | 7          | 8            | 9           | 10            | Ch          | ange In Bo | ok/Adjusted  | Carrying Va | lue       | 16       | 17               | 18       | 19         | 20        | 21         | 22       |
|            |  |         |            |              |             |            |              |             |               | 11          | 12         | 13           | 14          | 15        |          |                  |          |            |           |            | NAIC     |
|            |  |         |            |              |             |            |              |             |               |             |            |              |             |           |          |                  |          |            |           |            | Desig-   |
|            |  |         |            |              |             |            |              |             |               |             |            |              |             |           |          |                  |          |            |           |            | nation,  |
|            |  |         |            |              |             |            |              |             |               |             |            |              |             |           |          |                  |          |            |           |            | NAIC     |
|            |  |         |            |              |             |            |              |             |               |             |            |              | Total       | Total     |          |                  |          |            |           |            | Desig-   |
|            |  |         |            |              |             |            |              |             |               |             |            | Current      | Change in   | Foreign   |          |                  |          |            | Bond      |            | nation   |
|            |  |         |            |              |             |            |              |             |               |             |            | Year's       | Book/       | Exchange  | Book/    |                  |          |            | Interest/ |            | Modifier |
|            |  |         |            |              |             |            |              |             | Prior Year    |             | Current    | Other Than   |             | Change in | Adjusted | Foreign          |          |            | Stock     | Stated     | and      |
|            |  |         |            |              |             |            |              |             | Book/         | Linrodized  |            |              |             |           | •        |                  | Realized |            | Dividends | Con-       | SVO      |
| CLICID     |  |         |            |              | Number of   |            |              |             |               | Unrealized  | Year's     | Temporary    |             | Book      | Carrying | Exchange<br>Gain |          | Total Cain |           |            |          |
| CUSIP      |  |         | D:I        | Name         |             | 0          |              | A =4=1      | Adjusted      | Valuation   | (Amor-     | Impairment   |             | /Adjusted | Value at |                  | Gain     | Total Gain | Received  | tractual   | Admini-  |
| Ident-     | 5  |         | Disposal   |              | Shares of   | Consid-    | 5            | Actual      | Carrying      | Increase/   | tization)/ | Recog-       | (11 + 12 -  | Carrying  | Disposal | (Loss) on        |          | (Loss) on  | During    | Maturity   | strative |
| ification  | Description  | eign    |            | of Purchaser | Stock       | eration    | Par Value    | Cost        | Value         | (Decrease)  | Accretion  |              | 13)         | Value     | Date     | Disposal         | Disposal | Disposal   | Year      | Date       | Symbol   |
|            | FHR 2332G ZL - CMO/RMBS  |         |            |              |             | 15,241     | 15,241       | 16,079      | 15,908        | 0           | (667)      | 0            | (667)       | 0         | 15,241   | 0                | 0        | 0          | 147       | 07/15/2031 | 1.A      |
|            | FHR 2697 LG - CMO/RMBS   |         | 03/01/2022 | Paydown      |             | 3,955      | 3,955        | 3,574       | 3,916         | 0           | 39         | 0            | 39          | 0         | 3,955    | 0                | 0        | 0          | 29        | 10/15/2023 | 1.A      |
|            | 9. Subtotal - Bonds - U.S. Special Re                          | evenue  |            |              |             | 19, 196    | 19, 196      | 19,653      | 19,824        | 0           | (629)      | 0            | (629)       | 0         | 19, 196  | 0                | 0        | 0          | 176       | XXX        | XXX      |
|            | National Oceanic & Atmospheric Admin                           |         | 03/15/2022 |              |             | 6,983      | 6,983        | 6,983       | 6,983         | 0           | 0          | 0            | 0           | 0         | 6,983    | 0                | 0        | 0          | 49        | 11/15/2030 | 1.B PL   |
|            | YUBA COUNTY CA (HAMPAC LLC) 4.25 08-2042<br>MCMML VI COM - CDO |         | 03/10/2022 |              |             | 3,747      | 3,747        | 3,747       | 3,748         | 0           | 0          | 0            | 0           | 0         | 3,747    | 0                | 0        | 0          | 2/        | 08/10/2042 | 1.E      |
|            | MCMML VI COM - CDO   | C       | 01/15/2022 | Paydown      |             | 11,996     | 11,996       | 11,879      | (3)<br>11,965 | 0           | 3          |              | 3           |           | 11,996   | 0                | 0        |            |           | 04/15/2030 | 1.E FE   |
|            | NCC 2018-I RA - CDO  | U       |            |              |             | 16,529     | 16,529       | 11,679      | 16,488        |             | الا        |              | الا         |           | 16,529   | 0                | 0        |            | ۷         | 07/15/2030 | 1 G      |
|            | NCC 2018-1 RA - CD0  |         | 01/18/2022 |              |             | 0,020      | 0            | 0           | (5)           | 0           | 5          | 0            | 5           | 0         | 0,020    | 0                | 0        | 0          | 0         |            | 1.G FE   |
|            | NCC 2018-I RB - CD0  |         | 01/18/2022 |              |             | 17,427     |              | 16,907      | 17,381        | 0           | 47         | 0            | 47          | 0         |          | 0                | 0        | 0          | 0         | 07/15/2031 | 2.B      |
|            | NCC 2018-I RB - CD0  |         | 01/18/2022 |              |             | 0          | 0            | 0           | (7)           | 0           | 7          | 0            | 7           | 0         | 0        | 0                | 0        | 0          | 0         |            | 2.B FE   |
| 1109999999 | 9. Subtotal - Bonds - Industrial and M                         | liscell | aneous (Un | affiliated)  |             | 56,683     | 56,683       | 55,655      | 56,550        | 0           | 134        | 0            | 134         | 0         | 56,683   | 0                | 0        | 0          | 76        | XXX        | XXX      |
|            | 7. Total - Bonds - Part 4                                      |         |            |              |             | 75,879     | 75,879       | 75,308      | 76,374        | 0           | (495)      | 0            | (495)       |           | 75,879   | 0                | 0        | 0          | 252       | XXX        | XXX      |
|            | 8. Total - Bonds - Part 5                                      |         |            |              |             | XXX        | XXX          | XXX         | XXX           | XXX         | XXX        | XXX          | XXX         | XXX       | XXX      | XXX              | XXX      | XXX        | XXX       | XXX        | XXX      |
|            | 9. Total - Bonds   |         |            |              |             | 75,879     | 75,879       | 75,308      | 76,374        | 0           | (495)      | 0            | (495)       | 0         | 75,879   | 0                | 0        | 0          | 252       | XXX        | XXX      |
|            | 7. Total - Preferred Stocks - Part 4                           |         |            |              |             | 0          | XXX          | 0           | 0             | 0           | 0          | 0            | 0           | 0         | 0        | 0                | 0        | 0          | 0         | XXX        | XXX      |
|            | 8. Total - Preferred Stocks - Part 5                           |         |            |              |             | XXX        | XXX          | XXX         | XXX           | XXX         | XXX        | XXX          | XXX         | XXX       | XXX      | XXX              | XXX      | XXX        | XXX       | XXX        | XXX      |
| 4509999999 | 9. Total - Preferred Stocks                                    |         |            |              |             | 0          | XXX          | 0           | 0             | 0           | 0          | 0            | 0           | 0         | 0        | 0                | 0        | 0          | 0         | XXX        | XXX      |
| 598999999  | 7. Total - Common Stocks - Part 4                              |         |            |              |             | 0          | XXX          | 0           | 0             | 0           | 0          | 0            | 0           | 0         | 0        | 0                | 0        | 0          | 0         | XXX        | XXX      |
| 598999999  | 8. Total - Common Stocks - Part 5                              |         |            |              |             | XXX        | XXX          | XXX         | XXX           | XXX         | XXX        | XXX          | XXX         | XXX       | XXX      | XXX              | XXX      | XXX        | XXX       | XXX        | XXX      |
| 5989999999 | 9. Total - Common Stocks                                       |         |            | <u>-</u>     |             | 0          | XXX          | 0           | 0             | 0           | 0          | 0            | 0           | 0         | 0        | 0                | 0        | 0          | 0         | XXX        | XXX      |
| 5999999999 | 9. Total - Preferred and Common Sto                            | ocks    |            |              |             | 0          | XXX          | 0           | 0             | 0           | 0          | 0            | 0           | 0         | 0        | 0                | 0        | 0          | 0         | XXX        | XXX      |
| 600999999  | 9 - Totals   |         |            |              |             | 75,879     | XXX          | 75,308      | 76,374        | 0           | (495)      | 0            | (495)       | 0         | 75,879   | 0                | 0        | 0          | 252       | XXX        | XXX      |

## Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By **NONE** 

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To NONE

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees **N O N E** 

Schedule DL - Part 1 - Reinvested Collateral Assets Owned NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned NONE

## **SCHEDULE E - PART 1 - CASH**

| Month    | Fnd  | Depository | Balances  |
|----------|------|------------|-----------|
| IVIOLILI | LIIU |            | Dalalices |

| 1   | 2                      | 3        | 4                 | 5              | Book Ba     | lance at End of Ead | ch Month    | 9       |
|---|------------------------|----------|-------------------|----------------|-------------|---------------------|-------------|---------|
|   |                        |          |                   |                | Dı          | uring Current Quart | er          |         |
|   |                        |          | Amount of         | Amount of      | 6           | 7                   | 8           |         |
|   |                        |          | Interest Received |                |             |                     |             |         |
|   |                        | Rate of  | . 5               | at Current     |             |                     |             |         |
| Depository  |                        | Interest | Quarter           | Statement Date | First Month | Second Month        | Third Month | *       |
| JPMorgan-Chase Bank New York, NY                      |                        | 0.000    | 0                 | 0              | 3,446,353   | 11,423,052          | 1,380,469   | XXX     |
| Wells Fargo San Francisco, CA                         |                        | 0.000    | 0                 | 0              | 867,454     | 1,067,146           | 1,227,622   | XXX.    |
| First National Bank of Omaha                          |                        |          |                   |                |             |                     |             |         |
|   |                        | 0.000    | 0                 | 0              | (210,472)   | (194.004)           | (139,650)   | .xxx    |
| US Bank Cincinnati, OH                                |                        |          | 0                 | 0              |             |                     |             | XXX     |
| VIII.   |                        |          |                   |                |             | ,                   |             | XXX     |
| 0199998. Deposits in depositories that do not         |                        |          |                   |                |             |                     |             | .,,,,,, |
| exceed the allowable limit in any one depository (See |                        |          |                   |                |             |                     |             |         |
| instructions) - Open Depositories                     | XXX                    | XXX      |                   |                |             |                     |             | XXX     |
| 0199999. Totals - Open Depositories                   | XXX                    | XXX      | 0                 | 0              | 4,113,405   | 12.305.357          | 2,481,246   | XXX     |
| 0299998. Deposits in depositories that do not         |                        |          |                   |                | , ,         | , ,                 | , ,         |         |
| exceed the allowable limit in any one depository (See |                        |          |                   |                |             |                     |             |         |
| instructions) - Suspended Depositories                | XXX                    | XXX      |                   |                |             |                     |             | XXX     |
| 0299999. Totals - Suspended Depositories              | XXX                    | XXX      | 0                 | 0              | 0           | 0                   | 0           | XXX     |
| 0399999. Total Cash on Deposit                        | XXX                    | XXX      | 0                 | 0              | 4,113,405   | 12,305,357          | 2,481,246   | XXX     |
| 0499999. Cash in Company's Office                     | XXX                    | XXX      | XXX               | XXX            | 0           | 0                   | 0           | XXX     |
|   |                        | <b></b>  |                   |                |             |                     |             |         |
|   |                        |          |                   |                |             |                     |             |         |
|   |                        | <b></b>  |                   |                |             |                     |             |         |
|   |                        | <b></b>  |                   |                |             |                     |             | <b></b> |
|   |                        | <b></b>  |                   |                |             |                     |             |         |
|   |                        |          |                   |                |             |                     |             |         |
|   |                        | ļ        |                   |                |             |                     |             |         |
| 0599999. Total - Cash                                 | XXX                    | XXX      | 0                 | 0              | 4.113.405   | 12.305.357          | 2.481.246   | XXX     |
| 0099999. 10tal - Casil                                | $\wedge \wedge \wedge$ | ^^^      | U                 | U              | 4,113,403   | 12,303,337          | 2,401,240   | ^^^     |

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

| <b>~</b>     |            |              |                |
|--------------|------------|--------------|----------------|
| Show Invacti | mante Awna | od End of Cu | ırrent Quarter |
|              |            |              |                |

| !                                       | 2  | 3   | 4               | Quarter 5        | 6             | 7              | ۰                  | 0               |
|---|--|-----|-----------------|------------------|---------------|----------------|--------------------|-----------------|
|   | 2  | 3   | 4               | 5                | U             | Book/Adjusted  | Amount of Interest | Amount Received |
| CUSIP                                   | Description  | ode | Date Acquired   | Rate of Interest | Maturity Date | Carrying Value | Due and Accrued    | During Year     |
|   | Total - U.S. Government Bonds  | Juo | Bate / toquirea | rate of interest | Maturity Bate | O O            | 0                  | Darning Feat    |
|   | Total - All Other Government Bonds                                     |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - U.S. States, Territories and Possessions Bonds                 |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - U.S. Political Subdivisions Bonds                              |     |                 |                  |               | 0              | 0                  | 0               |
| 090999999999999999999999999999999999999 | Total - U.S. Special Revenues Bonds                                    |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - Industrial and Miscellaneous (Unaffiliated) Bonds              |     |                 |                  |               | 0              | 0                  | 0               |
| 1309999999.                             | Total - Hybrid Securities  |     |                 |                  |               | 0              | 0                  | 0               |
| 1509999999.                             | Total - Parent, Subsidiaries and Affiliates Bonds                      |     |                 |                  |               | 0              | 0                  | 0               |
| 19099999999.                            | Subtotal - Unaffiliated Bank Loans                                     |     |                 |                  |               | 0              | 0                  | 0               |
| 24199999999.                            | Total - Issuer Obligations   |     |                 |                  |               | 0              | 0                  | 0               |
| 24299999999.                            | Total - Residential Mortgage-Backed Securities                         |     |                 |                  |               | 0              | 0                  | 0               |
| 2439999999.                             | Total - Commercial Mortgage-Backed Securities                          |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - Other Loan-Backed and Structured Securities                    |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - SVO Identified Funds   |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - Affiliated Bank Loans  |     |                 |                  |               | 0              | 0                  | 0               |
|   | Total - Unaffiliated Bank Loans  |     |                 |                  |               | 0              | 0                  | 0               |
| 2509999999.                             |  |     |                 |                  |               | 0              | 0                  | 0               |
|   | FIRST AMER: TRS OBG V SD.  |     | 03/02/2022      | 0.080            |               | 5,079,087      | 124                | 76              |
|   |  |     |                 |                  |               |                |                    |                 |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     | 1               |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 820999999.                              | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
| 8209999999.                             | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |
|   | Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO |     |                 |                  |               | 5,079,087      | 124                | 76              |